506714417 06/14/2021

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6761235

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
CHRISTOPHER THOMAS BRAIN	08/25/2009
CLINTON A. BROOKS	09/29/2009
GUO HE	08/25/2009
YING HOU	08/25/2009
YUE LI	10/29/2009
YIPIN LU	08/28/2009
TROY SMITH	08/27/2009
MOO JE SUNG	08/25/2009
WOJCIECH WRONA	08/25/2009

RECEIVING PARTY DATA

Name:	NOVARTIS AG
Street Address:	LICHTSTRASSE 35
City:	BASEL
State/Country:	SWITZERLAND
Postal Code:	4056

PROPERTY NUMBERS Total: 1

Property Type	Number
Patent Number:	8962630

CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: veronica.romanov@novartis.com

Correspondent Name: VERONICA ROMANOV Address Line 1: 700 MAIN STREET

Address Line 4: CAMBRIDGE, MASSACHUSETTS 02139

ATTORNEY DOCKET NUMBER:	PAT052816-US-DIV
NAME OF SUBMITTER:	VERONICA ROMANOV
SIGNATURE:	/Veronica Romanov/

DATE SIGNED:	06/14/2021
Total Attachments: 19	
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ASSIGNMENT

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, we

Christopher Thomas BRAIN	citizen of United Kingdom	Novartis Institutes for BioMedical Research, Inc.
		250 Massachusetts Avenue
		Cambridge, MA 02139
		:USA
Clinton A. BROCKS	citizen of United States of	3274 Ridgecrest Rd.
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		US
Guo HE	citizen of the United States of America	Novartis Institutes for BioMedical Research, Inc
		250 Massachusetts Avenue
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Ying HOU	citizen of China	Novartis Institutes for BioMedical
		Research, Inc.
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Yue Li	citizen of China	China Novartis Institutes for
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		Zhangjiang Hi-Tech Park, Pudong New Area
		Shanghai 201203
Yipin LU	citizen of China	Novartis institutes for BioMedical Research, Inc.
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Troy SMITH	citizen of United States of America	Novartis Institutes for BioMedical
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Moc Je SUNG	citizen of South Korea / Republic of Korea	Novartis Institutes for BioMedical
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		Cambridge, MA 02139
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PATENT

Wojciech WRONA	citizen of United States of America	Novartis Institutes for BioMedical
		Research, Inc.
		250 Massachusetts Avenue
		Cambridge, MA 02139
		USA
		COM

do hereby sell, assign and transfer to Novartis AG, a company organized under the laws of the Swiss Confederation, of Lichtstrasse 35, 4056 Basel, Switzerland, (hereinafter referred to as the "ASSIGNEE") and its successors, assigns and legal representatives, all of my right, title and interest for all countries of the world in and to (1) all of my inventions and discoveries described in the patent application(s) titled

PYRROLOPYRIMIDINE COMPOUNDS AND THEIR USES

"We hereby authorize ASSIGNEE and their representatives to insert in this Assignment the filing date(s) and Application Number(s) of said patent application(s) when notified thereof.

And we hereby covenant and agree that we will, at any time, (i) upon the request, but at the expense, of **ASSIGNEE** or their successors, assigns or legal representatives execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, patent applications, patents, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates and applications within the scope of (8) and (9) in **ASSIGNEE** or their successors, assigns or legal representatives, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country

or region, (ii) upon the request, but at the expense, of ASSIGNEE or their successors, assigns or legal representatives execute all additional patent applications within the scope of (3), (4) and (6) and all applications within the scope of (8) or (9) and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE or their successors, assigns or legal representatives.

This Assignment is effective as from the earliest priority date as stated above.
Executed this _25 day of
L.S. Christopher Thomas Brain
ACKNOWLEDGEMENT
State of <u>Management</u>)
County of MANUELL)
On this
(Seal) Notary Public Commerwealth of Messacher Notary Public Notary Public May 17, 2011
Executed this 2916 day of State 2009 L.S. Clinton A. Brooks
ACKNOWLEDGEMENT
State of Virginia) State of Virginia) State of Virginia)
On this 29 day of 20 personally appeared before me to me known and known by me to be the same person described in and who executed the foregoing Assignment and acknowledged that (s)he executed the same of his (her) own free will for the purpose set forth.
(Seal)
SHAHID ASLAM NOTARY PUBLIC COMMONNEALD OF VEIGHNA MY COMMISSION EXPESS MAY 31, 2016 COMMISSION EXPESS MAY 31, 2016

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Executed this
Guo He L.S.
ACKNOWLEDGEMENT
State of Massauxity)
) s.s./. County of 1/1/d(XXX
On this
(Seal)
Notary Rublic Notary Rublic
Executed this day of 2000 Commission Expires
Ying Hau
ACKNOWLEDGEMENT
State of Massachulis (5)
County of Middlesex)
On this
(Soan)
Notary Public Jessica N. York
Notary Public Jessica N. Tax Notary Fublic Commonwealth of Massachusetts My Commission Expires May 19, 2011

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Executed this day of	, 28
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Yue Li	
ACKNOWLEDGEMENT	
State of)	
) s.s.; County of)	
On this day of 20 to r in and who executed the foregoing Assignment own free will for the purpose set forth.	, personally appeared before me ne known and known by me to be the same person described and acknowledged that (s)he executed the same of his (her)
(Seal)	
	Notary Public
Executed this 3 day of Avg	•
ACKNOWLEDGEMENT	
State of <u>Massachusetts</u>)) s.s.: County of <u>Massachusetts</u>)	
County of MANGER (X)	
Victoria, nanse to	personally appeared before me me known and known by me to be the same person described tand acknowledged that (s)he executed the same of his (her)
(Seal)	Notary Public Jessica N. Yao Notary Public Commonwealth of Massachuseits My Commission Expires May 19, 2011

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Executed this 21 day of Against 2009
Troy Smith
ACKNOWLEDGEMENT
State of <u>1/2 \(\(\(\) \(\) \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ </u>
County of MKINST
On this day of
(Seal)
Notary Public Jessica N. You Notary Public Notary Public Commonwealth of Massachu
Executed this day of
Moc Je Sung L.S.
ACKNOWLEDGEMENT
State of <u>Marketing</u>)
County of Middle (1997)
On this
Notary Public Sessica N. You Notary Public Commonwealth of Massachuse My Commission Expires

PATENT

Executed this
Wojciech Wrona L.S.
ACKNOWLEDGEMENT
State of Massachusetts)
County of MANGER)
On this
(Seal)
Notary Fublic Jessica N. Yaco Notary Public Notary Public Commonwealth of Commonwealth of Expires My Commission Expires May 19, 2011

ACCEPTANCE OF ASSIGNMENT

With reference to the Assignment to NOVARTIS AG of an invention entitled "PYRROLOPYRIMIDINE COMPOUNDS AND THEIR USES" signed by Christopher Thomas Brain, Clinton A. Brooks, Guo He, Ying Hou, Yue Li, Yipin Lu, Troy Smith, Moo Sung and Wojciech Wrona; this will certify that NOVARTIS AG accepts such Assignment.

	OLIANAL NOV	ARTIS AG	
Ву:			
Name :	Reto Halbeisen	Sabine Zeller	
Function :	Authorized signatory	Authorized signatory	
Date:	24.40.00		

A IE H

中华人民共和国上海市东方公证处

PATENT

ASSIGNMENT

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, we

Christopher Thomas BRAIN	citizen of United Kingdom	dequacy of which are hereby acknowledge Novartis Institutes for BioMedical Research, Inc.
		250 Massachusetts Avenue
		Cambridge, MA 02139
		USA
Clinton A. BROOKS	citizen of United States of	3274 Ridgecrest Rd.
	America	Smyrna, Georgia 30080
		US
Guo HE	citizen of the United States of America	Novartis Institutes for BioMedical Research, Inc.
		250 Massachuseits Avenue
		Cambridge, MA 02139
		USA
Ying HOU	citizen of China	Novartis Institutes for BioMedical
		Research, Inc.
		250 Massachusetts Avenue
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Yue LI	citizen of China	China Novartis Institutes for
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		No. 8 Building
		Lane 898 Halei Road
		Zhangjiang Hi-Tech Park, Pudong New Area
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Yipin LU	citizen of China	Novartis Institutes for BioMedical Research, Inc.
		400 Technology Square
		Cambridge, MA 02139
***************************************		USA
Troy SMITH	citizen of United States of America	Novartis Institutes for BioMedical
	Minerica	Research, Inc.
	,	250 Massachusetts Avenue
		Cambridge, MA 02139
		USA
Moa SUNG	citizen of South Korea /	Novartis Institutes for BioMedical
	Republic of Korea	Research, Inc.
		250 Massachusetts Avenue
		Cambridge, MA 02139

Wojdiech WRONA	citizen of United States of	Novartis Institutes for BioMedical
	America	Research, Inc.
		250 Massachusetts Avenue
		Cambridge, MA 02139
		USA

do hereby sell, assign and transfer to Novartis AG, a company organized under the laws of the Swiss Confederation, of Lichtstrasse 35, 4056 Basel, Switzerland, (hereinafter referred to as the "ASSIGNEE") and its successors, assigns and legal representatives, all of my right, title and interest for all countries of the world in and to (1) all of my inventions and discoveries described in the patent application(s) titled

PYRROLOPYRIMIDINE COMPOUNDS AND THEIR USES

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*We hereby authorize ASSIGNEE and their representatives to insert in this Assignment the filing date(s) and Application Number(s) of said patent application(s) when notified thereof.

And we hereby covenant and agree that we will, at any time, (i) upon the request, but at the expense, of ASSIGNEE or their successors, assigns or legal representatives execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, patent applications, patents, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates and applications within the scope of (8) and (9) in ASSIGNEE or their successors, assigns or legal representatives, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country

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Executed this	day of	, 20
Christopher Thomas	s Brain	L.S.
ACKNOWLEDGEM	5	
State of)	
County of) s.s.:	
	day of	, 20, personally appeared before me
in and who executed own free will for the	d the foregoing Assigr	to me known and known by me to be the same person described nment and acknowledged that (s)he executed the same of his (her;
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		Notary Public
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ACKNOWLEDGEM	ENT	
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to me known and known by me to be the same person descrit in and who executed the foregoing Assignment and acknowledged that (s)he executed the same of his (hown free will for the purpose set forth. (Seal) Notary Public Executed this	
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State of)	
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On this day of, 20, personally appeared before me	ik i i il
to me known and known by me to be the same person describin and who executed the foregoing Assignment and acknowledged that (s)he executed the same of his (hown free will for the purpose set forth.	
(Seal)	
Matani Briblia	~

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Executed this 39 day o	of <u>Oct</u>	2089
Yue Li		L.S.
ACKNOWLEDGEMENT		
State of)) s.s.:	
County of)	
		, 20, personally appeared before me _ to me known and known by me to be the same person described
in and who executed the forego		ment and acknowledged that (s)he executed the same of his (her)
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		Notary Public
Executed this day of	of	20
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Yipin Lu		Land Control of the C
ACKNOWLEDGEMENT		
State of) }s.s:	
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On this day of	······································	, 20, personally appeared before me _ to me known and known by me to be the same person described
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		Notary Public

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Troy Smith		
ACKNOWLEDGEMENT		
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On this day of	, 20 to me	_, personally appeared before me known and known by me to be the same person described
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		Notary Public

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State of	***************************************)		
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ACCEPTANCE OF ASSIGNMENT

With reference to the Assignment to NOVARTIS AG of an invention entitled "PYRROLOPYRIMIDINE COMPOUNDS AND THEIR USES" signed by Christopher Thomas Brain, Clinton A. Brooks, Guo He, Ying Hou, Yue Li, Yipin Lu, Troy Smith, Moo Sung and Wojciech Wrona; this will certify that NOVARTIS AG accepts such Assignment.

	NOVARTIS	AG (7)
Ву:		The state of the s
Name :	Reto Halbeisen	Sabine Zeller
Function :	Authorized signatory	Authorized signatory
Date:	<u> 18 - 11 - 2009 </u>	

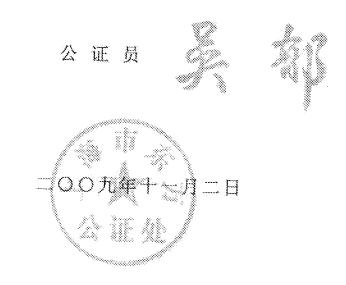
公 证 书

(2009) 沪东证外字第 46591 号

兹证明李悦(女,一九七六年七月二十五日出生,公民身份号码:120104197607250023)于二〇〇九年十月二十九日来到本公证处,在前面的文件上签名,该签名行为属实。

本公证书对该文件的内容未予证明。

中华人民共和国上海市东方公证处



XX 15028828

ATTESTATION

I, the undersigned Civil Law Notary in Basel, Switzerland, Dr. Matthias Staehelin, certify herewith that the signatures attached heretofore are the genuine signatures of Mr. Reto Halbeisen, citizen of Wahlen/BL, Switzerland, residing in Laufen/BL, Switzerland, and Ms. Sabine Zeller, citizen of Ormalingen/BL, Switzerland, residing in Gelterkinden/BL, Switzerland, both acting for Novartis AG, in Basel, Switzerland, both as proxy holders, both with joint signature.

The authenticity of the signatures was established by means of comparison.

BASEL, Switzerland, this 20th (twentieth) day of November 2009 (two thousand and nine)

M. Daele, War

Dr. M. Stachelin

Leg.Prot.Nr. 3060 /200

RECORDED: 06/14/2021

PATENT