

## PATENT ASSIGNMENT COVER SHEET

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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
ZHANLING CHENG	08/11/2016
XINGCHUN HAN	08/11/2016
MIN JIANG	08/11/2016
JIANHUA WANG	08/11/2016
YOUNGGUANG WANG	08/11/2016
SONG YANG	08/11/2016
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<b>Name:</b>	ROCHE R&D CENTER (CHINA) LTD.
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<b>Internal Address:</b>	CAILUN ROAD
<b>City:</b>	SHANGHAI
<b>State/Country:</b>	CHINA
<b>Postal Code:</b>	201203
<b>PROPERTY NUMBERS Total: 3</b>	
<b>Property Type</b>	<b>Number</b>
<b>PCT Number:</b>	CN2016087607
<b>PCT Number:</b>	EP2017065690
<b>Application Number:</b>	16227076
<b>CORRESPONDENCE DATA</b>	
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<b>ATTORNEY DOCKET NUMBER:</b>	P33716-US
<b>NAME OF SUBMITTER:</b>	JANET TSE

PATENT

<b>SIGNATURE:</b>	/Janet Tse/
<b>DATE SIGNED:</b>	06/17/2021
<b>Total Attachments: 6</b> source=33716assignment_shanghai_inventors_to_roche_shanghai#page1.tif source=33716assignment_shanghai_inventors_to_roche_shanghai#page2.tif source=33716assignment_shanghai_inventors_to_roche_shanghai#page3.tif source=33716assignment_shanghai_inventors_to_roche_shanghai#page4.tif source=33716assignment_shanghai_inventors_to_roche_shanghai#page5.tif source=33716assignment_shanghai_inventors_to_roche_shanghai#page6.tif	

# 公 证 书

中华人民共和国上海市东方公证处

**ASSIGNMENT AGREEMENT**

I/We, the undersigned

**Inventor(s)**

Zhanling CHENG, a Chinese citizen  
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Roche R&amp;D Center (China) Ltd

Roche R&amp;D Center (China) Ltd

hereinafter ASSIGNOR(S), herewith declare that I am/we are the sole inventor(s)

of the invention entitled:

**NOVEL TETRAHYDROPYRIDOPYRIMIDINES FOR THE TREATMENT AND PROPHYLAXIS OF HBV INFECTION**

for which (a) priority application(s) was (were) filed with the

**Patent Office**

State Intellectual Property Office of the People's Republic of China

as outlined below:

Case No.	Country	Filing Date	Serial No.
P33716	WO	29 June 2016	PCT/CN2016/087607

ASSIGNOR(S) acknowledge(s) the previous transfer to

Roche R&D Center (China) Ltd.  
Building 5, No. 720, Cailun Road  
Shanghai, 201203  
China

hereinafter ASSIGNEE, of all right, title, and interest in, to, and deriving from the inventions disclosed in the priority application(s) set forth above by operation of law, contract, or otherwise.

As far as right, title, and interest of the ASSIGNOR(S) in, to, and deriving from the inventions disclosed in the patent application(s) set forth above may not have been transferred to ASSIGNEE, ASSIGNOR(S) herewith assign(s) to ASSIGNEE all right, title, and interest in, to, and deriving from the invention and to the above priority application(s), including the right to claim priority.

ASSIGNEE by virtue of this assignment thereof shall have the exclusive right and is fully entitled to claim priority of the priority application(s) set forth above, to apply for, obtain, and own patents worldwide in its own name and at its own costs that claim priority to and are based in whole or in part on the priority application(s), to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

ASSIGNOR(S)

Shanghai Aug 11 2016  
Place, Date

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Zhanling CHENG

Shanghai 2016/8/29  
Place, Date

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Xingchun HAN

Shanghai Aug-11-2016  
Place, Date

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Place, Date

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Place, Date

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Place, Date

Song Yang 杨松  
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ASSIGNEE

Roche R&D Center (China) Ltd.

Shanghai Sept. 29, 2016  
Place, Date

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# 公 证 书

(2016)沪东证外字第 57437 号

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公民身份号码：320525197604303012

191004011

PATENT

REEL: 056580 FRAME: 0161

公证事项：签名

兹证明程战领、江敏、王建华、王永光、杨松于二〇一六年八月十一日，韩兴春、罗氏研发（中国）有限公司的委托代理人朱颖先于二〇一六年九月二十九日在上海市，分别在前面的《ASSIGNMENT AGREEMENT》上签名，上述签名行为均属实。

中华人民共和国上海市东方公证处

公证员

沈宇明

二〇一六年十月九日



91004012

Translation

NOTARIAL CERTIFICATE

(2016)H.D.Z.W.Z.No.57437

Applicant:

CHENG Zhanling, male, born on October 19, 1979

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Legal Representative: ALEXANDER DAVID HARDY

Entrusted Agent: ZHU Yingxian, male, born on April 30, 1976, Citizen ID  
No.: 320525197604303012

Notarized Matter: Signature

This is to certify that CHENG Zhanling, JIANG Min, WANG Jianhua,  
WANG Yongguang, and YANG Song on August 11, 2016, HAN Xingchun  
and ZHU Yingxian who is the entrusted agent of Roche R&D Center (China)  
Ltd. on September 29, 2016, affixed respective signatures to the foregoing  
ASSIGNMENT AGREEMENT in Shanghai and the aforesaid signatures are  
genuine.

SHEN Yuming (Seal)

Notary Public

The People's Republic of China

Shanghai Oriental Notary Public Office

(Seal)

October 9, 2016

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