## 506727310 06/22/2021

# PATENT ASSIGNMENT COVER SHEET

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 SUBMISSION TYPE:
 NEW ASSIGNMENT

 NATURE OF CONVEYANCE:
 ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
HENRY PEARCE	08/18/2015
JAMES TICKNER	08/18/2015

#### **RECEIVING PARTY DATA**

Name:	THE NASDAQ OMX GROUP, INC.	
Street Address:	ONE LIBERTY PLAZA	
Internal Address:	165 BROADWAY	
City:	NEW YORK	
State/Country:	NEW YORK	
Postal Code:	10006	

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	17354043

## **CORRESPONDENCE DATA**

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NAME OF SUBMITTER: JOHN R. LASTOVA

SIGNATURE: /John R. Lastova/

DATE SIGNED: 06/22/2021

**Total Attachments: 2** 

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PATENT 506727310 REEL: 056616 FRAME: 0176

# ASSIGNMENT FOR PROVISIONAL APPLICATION (All Rights)

WHEREAS, Henry PEARCE and James TICKNER (hereinafter ASSIGNOR(S)) have made invention(s) disclosed in a provisional application entitled COMPUTER-IMPLEMENTED

SYSTEMS AND METHODS FOR TARGETING INVESTOR CONTACTS COMPATIBLE

WITH A COMPANY for which a so-entitled application was filed in the United States Patent and

Trademark Office on August 14, 2015 under Application No. 62/205,115;

WHEREAS, THE NASDAQ OMX GROUP, INC. (hereinafter ASSIGNEE), a corporation of Delaware, having an office and place of business at One Liberty Plaza, 165 Broadway, New York, NY 10006, is desirous of acquiring an interest therein;

NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNOR by these presents hereby sells, assigns, and transfers unto the said ASSIGNEE, its successors, assigns, and legal representatives, ASSIGNOR'S entire right, title and interest in the United States of America and all foreign countries, in and to the invention as described in the aforesaid application, and to the said application and to all subsequent applications based thereon including any and all regular, PCT, continuation, continuation-in-part, division, reissue, and substitute applications, and all resulting patents, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and the ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns, and legal representatives. It is understood and agreed that ASSIGNEE'S attorneys Nixon & Vanderhye P.C. have represented only ASSIGNEE and will continue to represent only ASSIGNEE with respect to this invention;

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PATENT REEL: 056616 FRAME: 0177 ASSIGNOR hereby agrees to transfer a like interest upon request of said ASSIGNEE, its successors, assigns, and legal representatives, and without further remuneration, in and to any improvements, and applications for patents based thereon, growing out of or related to the said invention;

ASSIGNOR hereby agrees to execute any papers by ASSIGNEE, its successors, assigns, and legal representatives, deemed essential to ASSIGNEE'S full protection and title in and to the invention hereby transferred; and

ASSIGNOR hereby agrees, upon request of ASSIGNEE, and without further remuneration, to execute any and all papers desired by ASSIGNEE, for the filing and granting of foreign applications and the perfecting of title thereto in ASSIGNEE.

AGREED and executed as noted below:

BS 5
Date

Witnessed by:

Shajawika Deminadhu B/
Name:

Date:

Jamies TICKNER

Witnessed by:

Shajawika Deminadhu B/
Date:

Date:

Date:

Date: