506733831 06/24/2021

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6780648

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
RICHARD CO	11/10/2017
DHIRAJ SUBHASH BAWADHANKAR	11/13/2017
FLORIAN HUCHEDÉ	11/10/2017
JOHN BALAAM ALEXANDER KERPEL	11/10/2017
ANDREY LOPATIN	11/14/2017
ROMIL PRADIP PAREKH	11/13/2017
ROBERT WILLIAM TAYLOR	11/10/2017

RECEIVING PARTY DATA

Name:	CHICAGO MERCANTILE EXCHANGE INC.
Street Address: 20 SOUTH WACKER DRIVE	
City:	CHICAGO
State/Country: ILLINOIS	
Postal Code: 60606	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	17357324

CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone:	312-291-0860
Email:	docket-us@lsk-iplaw.com
Correspondent Name:	LEMPIA SUMMERFIELD KATZ LLC/CME
Address Line 1:	20 SOUTH CLARK STREET
Address Line 2:	SUITE 600
Address Line 4:	CHICAGO, ILLINOIS 60603

ATTORNEY DOCKET NUMBER:	004672-17553B-US
NAME OF SUBMITTER:	JAMES L. KATZ
SIGNATURE:	/James L. Katz/
DATE SIGNED:	06/24/2021

Total Attachments: 14	
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WHEREAS, we, Richard Co, Dhiraj Subhash Bawadhankar, Florian Huchedé, John Balaam Alexander Kerpel, Andrey Lopatin, Romil Pradip Parekh, and Robert William Taylor (ASSIGNOR) are the inventors of certain inventions and improvements described in a U.S. patent application having the title **"Listed Options Position Compression System,"** which application was filed on <u>November 14, 2017</u> and assigned Application No. <u>15/812,573</u>;

WHEREAS, Chicago Mercantile Exchange Inc., 20 South Wacker Drive, Chicago, Illinois 60606 (ASSIGNEE), desires to acquire the entire right, title and interest in and to said application, inventions and improvements and any patents that may be granted on or as a result thereof in the United States of America (U.S.) and in any and all foreign countries;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged,

We hereby assign, to the above named ASSIGNEE, its successors, assigns and legal representatives (NOMINEES), the entire right, title and interest in and to said application (including the right to claim priority thereto), inventions and improvements throughout the world, and any and all patents, petty patents and utility model patents which may be granted therefor, and all continuations, continuations-in-part, divisions, reissues, extensions, renewals, and any non-provisional based on a provisional thereof (LETTERS PATENT).

And we covenant that we have full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement or do anything in conflict herewith;

And we further covenant and agree that we will without undue delay execute without further consideration all such papers as may be necessary to perfect the title to said application, inventions and improvements, and LETTERS PATENT in the ASSIGNEE or its NOMINEES, and I agree to communicate to said ASSIGNEE or its NOMINEES all known facts respecting said application, inventions, improvements, and LETTERS PATENT, to testify in any legal proceedings, to sign all lawful papers, and generally to do all things necessary to aid ASSIGNEE or its NOMINEES to obtain and enforce for their own benefit patent protection for said application, inventions and improvements in any and all countries, all at the expense, however, of the ASSIGNEE or its NOMINEES;

And, we hereby grant the attorneys at the law firm of Banner & Witcoff the power to insert into this document information sufficient to identify the patent application to which this assignment pertains.

11/10/2017	Kichard Co
Date	0085497135BB456
Date	Dhiraj Subhash Bawadhankar
Date	Florian Huchedé
Date	John Balaam Alexander Kerpel
Date	Andrey Lopatin
Date	Romil Pradip Parekh
Date	Robert William Taylor

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Date	Richard Co
11/13/2017	toawad hankar
Date	TCE4C4C91C41404
Date	Florian Huchedé
Date	John Balaam Alexander Kerpel
Date	Andrey Lopatin
Date	Romil Pradip Parekh
Date	Robert William Taylor

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Date	Florian Huchede
Date	John Balaam Alexander Kerpel
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Date	Romil Pradip Parekh
Date	Robert William Taylor

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Date	Richard Co
Date	Dhiraj Subhash Bawadhankar
Date	Florian Huchedé
11/10/2017	John Balaam Alexander kerpel
Date	3DC8592F84574D2
Date	Andrey Lopatin
Date	Romil Pradip Parekh
Date	Robert William Taylor

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Date	Dhiraj Subhash Bawadhankar
Date	Florian Huchedé
Date	John Balaam Alexander Kerpel
11/14/2017	Andrei Lopatin
Date	6754C6F2B5E3478)
Date	Romil Pradip Parekh
Date	Robert William Taylor

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11/13/2017	Romit Parekh
Date	Romit Porekt 1E9CD2017946458, Irekh
Date	Robert William Taylor

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IN TESTIMONY WHEREOF, the Assignors have executed this Agreement.

Date	Richard Co
Date	Dhiraj Subhash Bawadhankar
Date	Florian Huchedé
Date	John Balaam Alexander Kerpel
Date	Andrey Lopatin
Date	Romil Pradip Parekh
11/10/2017	Robert Taylor
Date	Robert Taylor

17936615_1 BW/CME Page 2 of 2

RECORDED: 06/24/2021