

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
YADONG LIU	04/15/2019
RECEIVING PARTY DATA	
Name:	SUZHOU NOVARTIS PHARMA TECHNOLOGY CO., LTD.
Street Address:	#18 TONGLIAN ROAD, RIVERSIDE INDUSTRIAL PARK, CHANGSHU ECONOMIC DEVELOPMENT ZONE,
City:	CHANGSHU/JIANGSU PROVINCE
State/Country:	CHINA
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	17260393
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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ATTORNEY DOCKET NUMBER:	PAT058177-US-PCT02
NAME OF SUBMITTER:	KATHLEEN DRURY
SIGNATURE:	/Kathleen Drury/
DATE SIGNED:	07/06/2021
Total Attachments: 9	
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ASSIGNMENT

This Assignment Agreement is entered into by and between

**PENG FU, c/o SUZHOU NOVARTIS PHARMA TECHNOLOGY CO., LTD. #18
TONGLIAN ROAD RIVERSIDE INDUSTRIAL PARK CHANGSHU ECONOMIC
DEVELOPMENT ZONE, 215537 CHANGSHU / JIANGSU PROVINCE , CN, a citizen of
CHINA;**

**YU GAI, c/o SUZHOU NOVARTIS PHARMA TECHNOLOGY CO., LTD.#18
TONGLIAN ROAD, RIVERSIDE INDUSTRIAL PARK, CHANGSHU ECONOMIC
DEVELOPMENT ZONE, 215537 CHANGSHU / JIANGSU PROVINCE , CN, a citizen of
CHINA;**

**FENG GAO, c/o SUZHOU NOVARTIS PHARMA TECHNOLOGY CO., LTD., #18
TONGLIAN ROAD, RIVERSIDE INDUSTRIAL PARK, CHANGSHU ECONOMIC
DEVELOPMENT ZONE, 215537 CHANGSHU / JIANGSU PROVINCE , CN, a citizen of
CHINA;**

**WEIYONG KONG, c/o SUZHOU NOVARTIS PHARMA TECHNOLOGY CO., LTD. #18
TONGLIAN ROAD RIVERSIDE INDUSTRIAL PARK CHANGSHU ECONOMIC
DEVELOPMENT ZONE , 215537 CHANGSHU / JIANGSU PROVINCE , CN, a citizen
of CHINA;**

**YADONG LU, c/o SUZHOU NOVARTIS PHARMA TECHNOLOGY CO., LTD. #18
TONGLIAN ROAD RIVERSIDE INDUSTRIAL PARK CHANGSHU ECONOMIC
DEVELOPMENT ZONE , 215537 CHANGSHU / JIANGSU PROVINCE, CN, a citizen of
CHINA;**

**ZHONGCHENG (JACOB) MIN, c/o SUZHOU NOVARTIS PHARMA TECHNOLOGY
CO., LTD. #18 TONGLIAN ROAD RIVERSIDE INDUSTRIAL PARK CHANGSHU
ECONOMIC DEVELOPMENT ZONE , 215537 CHANGSHU / JIANGSU PROVINCE ,
CN, a citizen of CHINA;**

**SHAOFENG RONG, c/o SUZHOU NOVARTIS PHARMA TECHNOLOGY CO., LTD.
#18 TONGLIAN ROAD RIVERSIDE INDUSTRIAL PARK CHANGSHU ECONOMIC
DEVELOPMENT ZONE , 215537 CHANGSHU / JIANGSU PROVINCE , CN, a citizen
of CHINA;**

**CHUTIAN SHU, c/o SUZHOU NOVARTIS PHARMA TECHNOLOGY CO., LTD. #18
TONGLIAN ROAD, RIVERSIDE INDUSTRIAL PARK, CHANGSHU, ECONOMIC
DEVELOPMENT ZONE, 215537 CHANGSHU / JIANGSU PROVINCE , CN, a citizen of
CHINA;**

**CAN WANG, c/o SUZHOU NOVARTIS PHARMA TECHNOLOGY CO., LTD. #18
TONGLIAN ROAD, RIVERSIDE INDUSTRIAL PARK, CHANGSHU ECONOMIC
DEVELOPMENT ZONE, 215537 CHANGSHU / JIANGSU PROVINCE, CN, a citizen of
CHINA;**

**RUIDONG WANG, c/o SUZHOU NOVARTIS PHARMA TECHNOLOGY CO., LTD. #18
TONGLIAN ROAD RIVERSIDE INDUSTRIAL PARK CHANGSHU ECONOMIC
DEVELOPMENT ZONE CHANGSHU / JIANGSU PROVINCE 215537 CHINA, a citizen
of CHINA;**

**JIBIN ZHAO, c/o SUZHOU NOVARTIS PHARMA TECHNOLOGY CO., LTD. #18
TONGLIAN ROAD RIVERSIDE INDUSTRIAL PARK CHANGSHU ECONOMIC
DEVELOPMENT ZONE , 215537 CHANGSHU / JIANGSU PROVINCE , CN, a citizen
of CHINA;**

**XIANGLIN ZHAO, c/o SUZHOU NOVARTIS PHARMA TECHNOLOGY CO., LTD. #18
TONGLIAN ROAD, RIVERSIDE INDUSTRIAL PARK, CHANGSHU ECONOMIC
DEVELOPMENT ZONE, 215537 CHANGSHU / JIANGSU PROVINCE, CN, a citizen of
CHINA;**

**YI ZHAO, c/o SUZHOU NOVARTIS PHARMA TECHNOLOGY CO., LTD. #18
TONGLIAN ROAD RIVERSIDE INDUSTRIAL PARK CHANGSHU ECONOMIC
DEVELOPMENT ZONE, 215537 CHANGSHU / JIANGSU PROVINCE , CN, a citizen of
CHINA;**

**JIANGUANG ZHOU, c/o SUZHOU NOVARTIS PHARMA TECHNOLOGY CO.,
LTD.#18 TONGLIAN ROAD,RIVERSIDE INDUSTRIAL PARK, CHANGSHU
ECONOMIC DEVELOPMENT ZONE , 215537 CHANGSHU / JIANGSU PROVINCE ,
CN, a citizen of CHINA**

**(hereinafter "Inventor(s)"), and SUZHOU NOVARTIS PHARMA TECHNOLOGY CO.,
LTD., SUZHOU NOVARTIS PHARMA TECH CO LTD.#18 TONGLIAN
ROADRIVERSIDE INDUSTRIAL PARKCHANGSHU ECONOMIC DEVELOPMENT
ZONECHANGSHU / JIANGSU PROVINCECHINA, a company organized under the laws of
CHINA.**

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, I/We the Inventor(s) do hereby confirm and agree that, by operation of law and/or contract, and/or by the fulfillment of any and all legal requirements regarding the transfer of employee inventions in my/our country of employment, SUZHOU NOVARTIS PHARMA TECHNOLOGY CO., LTD. is the true and lawful owner of all right, title and interest in all inventions, discoveries, patents/utility models, applications, and rights described in paragraphs (1) through (8) below.

If, however, under the laws of any country, I/We the Inventor(s) nevertheless have any ownership right, title or interest in any of the items described herein (which I/We do not believe

to be the case and make no claim in or to), for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/We the Inventor(s) do hereby sell, assign and transfer to SUZHOU NOVARTIS PHARMA TECHNOLOGY CO., LTD. and its successors, assigns and legal representatives (hereinafter referred to collectively as the "ASSIGNEE"), all of our right, title and interest for all countries of the world in and to

(1) all of our inventions and discoveries described in the provisional or non-provisional patent, utility model, or other application(s) entitled

CHEMICAL PROCESS FOR PREPARING PHENYLPYPERIDINYL INDOLE DERIVATIVES

and filed in the _____ N/A _____ Office on _____ N/A _____
and accorded Application Number _____ N/A _____ and/or filed as a PCT
International Application on July 16, 2018 and accorded International Patent Application
Number PCT/CN2018/095828 ;

(2) the application(s) identified in paragraph (1), and all applications claiming priority from such application(s), directly or indirectly, including all national stages of any international patent application(s);

(3) the right to file patent, utility model, or other applications on any invention or discovery disclosed in any of the applications identified in paragraphs (1) and (2), including the right to file such applications on said inventions and discoveries in the names of ASSIGNEE or their designees or in our/my name, at ASSIGNEE's election and in accordance with applicable law in all countries and regions; and the right to file all patent, utility model, or other applications in all countries and regions claiming the priority of any of the provisional or non-provisional application(s) identified in paragraphs (1) or (2);

(4) all rights to claim priority from any of the applications referred to in paragraphs (1), (2), and (3) or from any application from which any of the applications referred to in paragraphs (1), (2) and (3) claim priority in all countries and regions under the Paris Convention for the Protection of Industrial Property, the WTO GATT TRIPS Agreement, the Inter-American Convention relating to Inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application is a provisional patent application, under 35 USC 119(e);

(5) all continuations, continuations-in-part, and divisionals of any United States patent application(s) or international patent application(s) designating the United States, any national stages of any international application(s), and any other patent application(s) described in paragraphs (1) through (4) hereof, including further continuations, continuations-in-part, and divisionals such as, but not limited to, continuations of continuations and continuations of divisionals;

(6) all patents, utility models, or other grants that issue from any of the applications referred to herein and all rights and remedies associated therewith including the right to sue for and recover past damages and to recover under 35 U.S.C. § 154 (d) or any other law permitting remedies for infringement prior to issuance of the patent;

(7) all registrations and confirmations of, and importation certificates based upon, one or more of said patents, utility models, or other grants, and applications for such registrations, confirmations and importation certificates and;

(8) all reissues, renewals and extensions of said patents, utility models, registrations, confirmations and importation certificates, reexamination certificates issued for said patents and supplementary protection certificates based upon said patents and applications for such reissues, renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said ASSIGNEE to the full ends of the terms for which said patents, utility models, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this sale, assignment and transfer had not been made.

I/We the Inventor(s) hereby authorize ASSIGNEE and their representatives to insert in paragraph (1) of this Assignment the filing date(s) and Application Number(s) of said patent, utility model, or other application(s) when notified thereof.

I/We the Inventor(s) hereby covenant and agree that I/We will, at any time, (i) upon the request, but at the expense, of ASSIGNEE, execute and deliver all documents that may be necessary or desirable to perfect the title to the foregoing inventions and discoveries, applications, patents, utility models, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates, and applications within the scope of (7) and (8), in ASSIGNEE, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of ASSIGNEE execute all additional applications within the scope of paragraphs (1) through (6) and all applications within the scope of (7) or (8), and (iii) make all rightful oaths and declarations and do all lawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE.

Should any provision of this Assignment be deemed invalid or unenforceable by reason of any law, statute, regulation or judgment, existing now or in the future in any jurisdiction, such provision shall be modified in such jurisdiction so as to nearly approximate the intent of the Parties. If this cannot be done, such invalid or unenforceable provision shall be divisible and be deleted in any such jurisdiction, and all other provisions shall remain in full force and effect. The modification or deletion of any provision in one jurisdiction shall have no effect on this Assignment in any other jurisdiction.

This Assignment is effective as from the earliest priority date as stated above.

Executed this _____ day of _____, 20_____.

PENG FU

Witness

Witness

Executed this _____ day of _____, 20_____.

YU GAI

Witness

Witness

Executed this _____ day of _____, 20_____.

FENG GAO

Witness

Witness

Executed this _____ day of _____, 20____.

WEIYONG KONG

Witness

Witness

Executed this 15th day of April, 2019.

Yadong Lu

YADONG LU

刘丹萍
Witness **DAN PING LIU**, B33F502, Zhoushi Rd 148, Southeast Village, Zhongpu Town, Pudong
Shanghai, 201318, China

张灵玲
Witness **AI LING ZHANG**, B33F502, Zhoushi Rd 148, Southeast Village, Zhongpu Town, Pudong,
Shanghai, 201318, China

Executed this _____ day of _____, 20____.

ZHONGCHENG (JACOB) MIN

Witness

Witness

Executed this _____ day of _____, 20____.

SHAOFENG RONG

Witness

Witness

Executed this _____ day of _____, 20____.

CHUTIAN SHU

Witness

Witness

Executed this _____ day of _____, 20____.

CAN WANG

Witness

Witness

Executed this _____ day of _____, 20____.

RUIDONG WANG

Witness

Witness

Executed this _____ day of _____, 20____.

JIBIN ZHAO

Witness

Witness

Executed this _____ day of _____, 20____.

XIANGLIN ZHAO

Witness

Witness

Executed this _____ day of _____, 20_____.

YI ZHAO

Witness

Witness

Executed this _____ day of _____, 20_____.

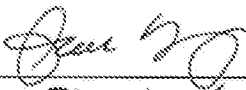
JIANGUANG ZHOU

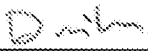
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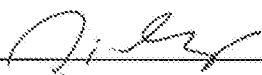
Witness

SUZHOU NOVARTIS PHARMA TECHNOLOGY CO., LTD.

Executed this 22nd day of April, 2019.

BY 
NAME: FAN YANQIANG
Authorized Signatory


Witness LU DAI B4FS, 428 Jinke Rd. Shanghai, China


Witness PENG NI B4FS, 428 Jinke Rd., Shanghai, China