506757998 07/09/2021

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6804815

| SUBMISSION TYPE:      | NEW ASSIGNMENT               |
|-----------------------|------------------------------|
| NATURE OF CONVEYANCE: | RELEASE OF SECURITY INTEREST |

#### **CONVEYING PARTY DATA**

| Name                                    | Execution Date |
|---|----------------|
| DEERFIELD PRIVATE DESIGN FUND III, L.P. | 07/08/2021     |

### **RECEIVING PARTY DATA**

| Name:           | KEMPHARM, INC.                    |
|-----------------|-----------------------------------|
| Street Address: | 1120 CELEBRATION BLVD., SUITE 103 |
| City:           | CELEBRATION                       |
| State/Country:  | FLORIDA                           |
| Postal Code:    | 34747                             |

# **PROPERTY NUMBERS Total: 12**

| Property Type       | Number   |
|---------------------|----------|
| Patent Number:      | 8461137  |
| Application Number: | 13378800 |
| Application Number: | 13888578 |
| Application Number: | 13888583 |
| Application Number: | 13888587 |
| Application Number: | 12828456 |
| Application Number: | 13518981 |
| Application Number: | 13581496 |
| Application Number: | 13770462 |
| Application Number: | 14216342 |
| Application Number: | 14234440 |
| Application Number: | 13660112 |

#### **CORRESPONDENCE DATA**

**Fax Number:** (415)693-2222

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 4156932000

Email: crhem@cooley.com

Correspondent Name: COOLEY LLP

Address Line 1: 3 EMBARCADERO CENTER, 20TH FLOOR
Address Line 4: SAN FRANCISCO, CALIFORNIA 94111

506757998 REEL: 056803 FRAME: 0133

PATENT

| ATTORNEY DOCKET NUMBER:                          | 315517.100   |  |
|--|--------------|--|
| NAME OF SUBMITTER:                               | C. RHEM      |  |
| SIGNATURE:                                       | /CR/         |  |
| DATE SIGNED:                                     | 07/09/2021   |  |
| Total Attachments: 6                             |              |  |
| source=Kempharm - Deerfield IP release#page1.tif |              |  |
| source=Kempharm - Deerfield IP release#page2.tif |              |  |
| source=Kempharm - Deerfield IP release#page3.tif |              |  |
| source=Kempharm - Deerfield IP release           | se#page4.tif |  |
| source=Kempharm - Deerfield IP release           | se#page5 tif |  |

source=Kempharm - Deerfield IP release#page6.tif

#### RELEASE OF SECURITY INTEREST IN INTELLECTUAL PROPERTY

This Release of Security Interest in Intellectual Property is made as of July 8, 2021, by DEERFIELD PRIVATE DESIGN FUND III, L.P. ("**Grantee**") in favor of KEMPHARM, INC., a Delaware corporation ("**Grantor**") with its principal place of business located at 1120 Celebration Blvd., Suite 103, Celebration, FL 34747.

#### Recital

WHEREAS Grantor granted to Grantee a security interest in the patents and trademarks described on Exhibits A and B attached hereto (collectively, the "**Intellectual Property**") under Intellectual Property Security Agreements dated as of June 2, 2014 and February 28, 2020 (collectively, the "**IP Security Agreement**") and recorded with the U.S. Patent and Trademark Office as set forth on Exhibits A and B.

WHEREAS, Grantor has requested and Grantee has agreed to release its security interest in the Intellectual Property and terminate the IP Security Agreement.

#### Agreement

Now, therefore, Grantee agrees that it terminates and releases its security interest in the Intellectual Property, terminates the IP Security Agreement, and reassigns to Grantor, without warranty or recourse, all interest of Grantee in the Intellectual Property.

[Signature Page Follows]

253239265 v1

# **GRANTEE:**

DEERFIELD PRIVATE DESIGN FUND III, L.P. By: Deerfield Mgmt, III, L.P., General Partner By: J.E. Flynn Capital III, LLC, General Partner

Name: David Clark

Title: Authorized Signatory

253239265 v1

### **Exhibit A - Patents**

#### See attached

Grantee's security interest recorded at the U.S. Patent and Trademark Office on (i) June 3, 2014 at Reel Number 033079 and Frame Number 0927; and (ii) February 28, 2020 at Reel Number 051968 and Frame Number 0714.

253239265 v1

Exhibit A

United States Federal Patent Registrations and Applications

| Title  | Арріп Мо  | Appin.<br>Date | Patent No | Grant Date | Country          |
|--|-----------|----------------|-----------|------------|------------------|
| BENZOIC ACID, BENZOIC ACID DERIVATIVES AND HETEROARYL CARBOXYLIC ACID CONJUGATES OF HYDROCODONE, PRODRUGS, METHODS OF MAKING AND USE THEREOF | 12/828381 | 07/01/2010     | 8461137   | 06/11/2013 | United<br>States |
| BENZOIC ACID, BENZOIC ACID DERIVATIVES AND HETEROARYL CARBOXYLIC ACID CONJUGATES OF HYDROCODONE, PRODRUGS, METHODS OF MAKING AND USE THEREOF | 13/378800 | 07/01/2010     |           |            | United<br>States |
| BENZOIC ACID, BENZOIC ACID DERIVATIVES AND HETEROARYL CARBOXYLIC ACID CONJUGATES OF HYDROCODONE, PRODRUGS, METHODS OF MAKING AND USE THEREOF | 13/888578 | 05/07/2013     |           |            | United<br>States |
| BENZOIC ACID, BENZOIC ACID DERIVATIVES AND HETEROARYL CARBOXYLIC ACID CONJUGATES OF HYDROCODONE, PRODRUGS, METHODS OF MAKING AND USE THEREOF | 13/888583 | 05/07/2013     |           |            | United<br>States |
| BENZOIC ACID, BENZOIC ACID DERIVATIVES AND HETEROARYL CARBOXYLIC ACID CONJUGATES OF HYDROCODONE, PRODRUGS, METHODS OF MAKING AND USE THEREOF | 13/888587 | 05/07/2013     |           |            | United<br>States |
| PHENYLETHANOIC ACID, PHENYLPROPANOIC ACID AND PHENYLPROPENOIC ACID CONJUGATES AND PRODRUGS OF HYDROCODONE, METHOD OF MAKING AND USE THEREOF  | 12/828456 | 07/01/2010     |           |            | United<br>States |
| AMINO ACID CONJUGATES OF QUETIAPINE, PROCESS FOR MAKING AND USING THE SAME   | 13/518981 | 12/22/2010     |           |            | United<br>States |

| Title  | Appin No. | Appin<br>Date | Patent No. Grant Date | Date Country     |
|--|-----------|---------------|-----------------------|------------------|
| FATTY ACID CONJUGATES OF QUETIAPINE, PROCESS FOR MAKING AND USING THE SAME   | 13/581496 | 03/09/2011    |                       | United           |
| FATTY ACID CONJUGATES OF QUETIAPINE, PROCESS FOR MAKING AND USING THE SAME   | 13/770462 | 02/19/2013    |                       | United<br>States |
| AMINO ACID CONJUGATES OF QUETIAPINE, PROCESS FOR MAKING AND USING THE SAME   | 14/216342 | 03/17/2014    |                       | United<br>States |
| METHYLPHENIDATE-OXOACID CONJUGATES, PROCESSES OF MAKING AND USING THE SAME   | 14/234440 | 07/27/2012    |                       | United<br>States |
| BENZOIC ACID, BENZOIC ACID DERIVATIVES AND HETEROARYL CARBOXYLIC ACID CONJUGATES OF HYDROMORPHONE, PRODRUGS, METHODS OF MAKING AND |           |               |                       | United           |
| USE THEREOF  | 13/660112 | 10/25/2012    |                       | States           |

#### **Exhibit B - Trademarks**

| Mark     | Country | Serial No. | Registratio<br>n No. | Registration<br>Date |
|----------|---------|------------|----------------------|----------------------|
| KEMPHARM | US      | 78910382   | 3407202              | 4/1/2008             |
|          |         |            |                      |                      |
|          |         |            |                      |                      |

Grantee's security interest recorded at the U.S. Patent and Trademark Office on (i) June 3, 2014 at Reel Number 5294 and Frame Number 0605; and (ii) February 28, 2020 at Reel Number 6878 and Frame Number 0760.

253239265 v1

**RECORDED: 07/09/2021**