### 506770622 07/16/2021

### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6817439

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
ANIKETA A. SHINDE	07/09/2021
DAN W. GUEVARRA	07/09/2021
RYAN JONES JR.	07/07/2021
SLOBODAN MITROVIC	07/10/2021
JOHN M. GREGOIRE	07/14/2021

#### **RECEIVING PARTY DATA**

Name:	CALIFORNIA INSTITUTE OF TECHNOLOGY
Street Address:	1200 EAST CALIFORNIA BLVD.
Internal Address:	MC 6-32
City:	PASADENA
State/Country:	CALIFORNIA
Postal Code:	91125

#### **PROPERTY NUMBERS Total: 2**

Property Type	Number
Application Number:	61979298
Application Number:	14683596

#### **CORRESPONDENCE DATA**

**Fax Number:** (858)458-9986

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 8584583607

**Email:** joseph.baker@bbiplaw.com **Correspondent Name:** JOSEPH R. BAKER, JR.

Address Line 1: 4370 LA JOLLA VILLAGE DRIVE, SUITE 303

Address Line 4: SAN DIEGO, CALIFORNIA 92122

ATTORNEY DOCKET NUMBER:	00016-074002
NAME OF SUBMITTER:	JOSEPH R. BAKER, JR.
SIGNATURE:	/Joseph R. Baker, Jr./
DATE SIGNED:	07/16/2021

PATENT 506770622 REEL: 056881 FRAME: 0355

Total Attachments: 10	
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PATENT REEL: 056881 FRAME: 0356

THIS ASSIGNMENT, by Aniketa A. Shinde, residing at 2120 W. Arrow Route, Apt. 525, Upland, California 91786, United States of America; Dan W. Guevarra, residing at 10571 Lyndora Street, Norwalk, California 90650, United States of America; Ryan Jones, Jr., residing at 1430 Capinero Drive, Pasadena, California 91105, United States of America; Martin R. Marcin, residing at 580 Winncastle Street, Simi Valley, California 93065, United States of America; Slobodan, Mitrovic, residing at 409 N Oak Ave, Pasadena, California 91107. United States of America; John M. Gregoire, residing at 461 W. Orange Grove Avenue, Sierra Madre, California 91024, United States of America; (hereinafter referred to as "the Assignors"), respectively, witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in

SCANNING OPTICAL-ELECTROCHEMICAL INSTRUMENT

(1)	⊠ (a)	provis	sional application bearing Application No. <u>61/979,298,</u> and filed on <u>April 14, 2014;</u>
	(b)		to be filed herewith; and
			SCANNING DROP SENSOR
(2)	$\boxtimes$	non-p	rovisional application
	(a)	$\boxtimes$	bearing Application No. <u>14/683,596</u> , and filed on <u>April 10, 2015</u> , now U.S. Patent No.: <u>9,645,109</u> , dated <u>May 9, 2017</u> ;
	(b)		having an oath or declaration executed on even date herewith prior to filing of application;
	(c)		having an oath or declaration executed on a different date than this

Whereas, The California Institute of Technology, a corporation having a principal place of business at 1200 East California Blvd., MC 6-32, Pasadena, California 91125, United States of America (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefore and thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefore and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behoof and the use and behoof of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held

and enjoyed by the Assignors had this sale and assignment not been made;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

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AND the Assignors hereby authorize and request the attorneys of Gavrilovich, Dodd & Lindsey LLP to insert in the spaces provided above the title of the invention, filing date, application number, and attorney's docket number of said application when known.

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behoof of the Assignee, its successors, legal representatives, and assigns.

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(2)	$\boxtimes$	non-provisional application
	(a)	bearing Application No.14/683,596, and filed on April 10, 2015, now U.S. Patent No.: 9,645,109, dated May 9, 2017;
	(b)	having an oath or declaration executed on even date herewith prior filing of application;
	(c)	having an oath or declaration executed on a different date than this Assignment; and

Whereas, The California Institute of Technology, a corporation having a principal place of business at 1200 East California Blvd., MC 6-32, Pasadena, California 91125, United States of America (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefore and thereon.

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	(a)	bearing Application No. 14/683,596, and filed on April 10, 2015, U.S. Patent No.: 9,645,109, dated May 9, 2017;	now
	(b)	having an oath or declaration executed on even date herewith p filing of application;	rior to
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