

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT6608702

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	TERMINATION AND RELEASE OF SECURITY INTEREST IN INTELLECTUAL PROPERTY	
<b>CONVEYING PARTY DATA</b>		
<b>Name</b>		<b>Execution Date</b>
WILMINGTON TRUST, NATIONAL ASSOCIATION		03/16/2021
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	ADVANCED LIGHTING TECHNOLOGIES, LLC	
<b>Street Address:</b>	7905 COCHRAN ROAD, SUITE 300	
<b>City:</b>	GLENWILLOW	
<b>State/Country:</b>	OHIO	
<b>Postal Code:</b>	44139	
<b>Name:</b>	ADLT FINANCE CO.	
<b>Street Address:</b>	7905 COCHRAN ROAD, SUITE 300	
<b>City:</b>	GLENWILLOW	
<b>State/Country:</b>	OHIO	
<b>Postal Code:</b>	44139	
<b>Name:</b>	9999 SALES, INC.	
<b>Street Address:</b>	7905 COCHRAN ROAD, SUITE 300	
<b>City:</b>	GLENWILLOW	
<b>State/Country:</b>	OHIO	
<b>Postal Code:</b>	44139	
<b>Name:</b>	ADLT REALTY CORP. I, INC.	
<b>Street Address:</b>	7905 COCHRAN ROAD, SUITE 300	
<b>City:</b>	GLENWILLOW	
<b>State/Country:</b>	OHIO	
<b>Postal Code:</b>	44139	
<b>Name:</b>	ADVANCED LIGHTING MATERIALS NORTH AMERICA, INC.	
<b>Street Address:</b>	7905 COCHRAN ROAD, SUITE 300	
<b>City:</b>	GLENWILLOW	
<b>State/Country:</b>	OHIO	
<b>Postal Code:</b>	44139	
<b>Name:</b>	ADVANCED LIGHTING TECHNOLOGIES AUSTRALIA, INC.	
<b>Street Address:</b>	7905 COCHRAN ROAD, SUITE 300	

PATENT

<b>City:</b>	GLENWILLOW
<b>State/Country:</b>	OHIO
<b>Postal Code:</b>	44139
<b>Name:</b>	APL ENGINEERED MATERIALS, INC.
<b>Street Address:</b>	7905 COCHRAN ROAD, SUITE 300
<b>City:</b>	GLENWILLOW
<b>State/Country:</b>	OHIO
<b>Postal Code:</b>	44139
<b>Name:</b>	EDSG, INC.
<b>Street Address:</b>	7905 COCHRAN ROAD, SUITE 300
<b>City:</b>	GLENWILLOW
<b>State/Country:</b>	OHIO
<b>Postal Code:</b>	44139
<b>Name:</b>	EPIC DESIGN SERVICES GROUP, INC.
<b>Street Address:</b>	7905 COCHRAN ROAD, SUITE 300
<b>City:</b>	GLENWILLOW
<b>State/Country:</b>	OHIO
<b>Postal Code:</b>	44139
<b>Name:</b>	LIGHTING RESOURCES INTERNATIONAL, INC.
<b>Street Address:</b>	7905 COCHRAN ROAD, SUITE 300
<b>City:</b>	GLENWILLOW
<b>State/Country:</b>	OHIO
<b>Postal Code:</b>	44139
<b>Name:</b>	VENTURE LIGHTING INTERNATIONAL, INC.
<b>Street Address:</b>	7905 COCHRAN ROAD, SUITE 300
<b>City:</b>	GLENWILLOW
<b>State/Country:</b>	OHIO
<b>Postal Code:</b>	44139

**PROPERTY NUMBERS Total: 49**

<b>Property Type</b>	<b>Number</b>
<b>Patent Number:</b>	5530321
<b>Patent Number:</b>	5539271
<b>Patent Number:</b>	5882237
<b>Patent Number:</b>	5924787
<b>Patent Number:</b>	6339287
<b>Patent Number:</b>	6661175
<b>Patent Number:</b>	6791254
<b>Patent Number:</b>	7153179

Property Type	Number
Patent Number:	7220035
Patent Number:	8668841
Patent Number:	8766537
Patent Number:	8277274
Patent Number:	8264147
Patent Number:	7583030
Patent Number:	7572163
Patent Number:	7396271
Patent Number:	7281492
Patent Number:	7187111
Patent Number:	7126283
Patent Number:	7057335
Patent Number:	6910932
Patent Number:	6897609
Patent Number:	6857926
Patent Number:	6833676
Patent Number:	6830495
Patent Number:	6815888
Patent Number:	6791280
Patent Number:	6674249
Patent Number:	6612892
Patent Number:	6554456
Patent Number:	6517404
Patent Number:	6271635
Patent Number:	6072286
Patent Number:	6022125
Patent Number:	5972442
Patent Number:	5896013
Patent Number:	D403100
Patent Number:	5572818
Patent Number:	D291928
Patent Number:	6541915
Patent Number:	6749478
Patent Number:	6781312
Patent Number:	7105989
Application Number:	10457442
Application Number:	11397558
Application Number:	11645725

Property Type	Number
Application Number:	13084414
Application Number:	13176882
Application Number:	13735275

**CORRESPONDENCE DATA**

**Fax Number:** (202)887-4288  
*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

**Phone:** 2028874000  
**Email:** dlee@akingump.com  
**Correspondent Name:** DAVID C. LEE  
**Address Line 1:** 2001 K STREET, N.W.  
**Address Line 2:** ROBERT S. STRAUSS TOWER  
**Address Line 4:** WASHINGTON, D.C. 20006

<b>ATTORNEY DOCKET NUMBER:</b>	6989098.001
<b>NAME OF SUBMITTER:</b>	DAVID C. LEE
<b>SIGNATURE:</b>	/David C. Lee/
<b>DATE SIGNED:</b>	03/18/2021
	This document serves as an Oath/Declaration (37 CFR 1.63).

**Total Attachments: 10**  
source=ADLT - IP Termination and Release of Security Interest (1L Credit Agreement) (Executed)#page1.tif  
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**TERMINATION AND RELEASE OF SECURITY INTEREST  
IN INTELLECTUAL PROPERTY**

This **TERMINATION AND RELEASE OF SECURITY INTEREST IN INTELLECTUAL PROPERTY**, dated as of March 16, 2021 (this “Release”), is made by Wilmington Trust, National Association, as administrative agent and collateral agent (the “Administrative Agent”) in favor of Advanced Lighting Technologies, LLC, as Borrower (the “Borrower”), ADLT Finance Co., 9999 Sales, Inc., ADLT Realty Corp. I, Inc., Advanced Lighting Materials North America, Inc., Advanced Lighting Technologies Australia, Inc., APL Engineered Materials, Inc., EDSG, Inc., Epic Design Services Group, Inc., Lighting Resources International, Inc. and Venture Lighting International, Inc. (collectively with the Borrower, the “Grantors”).

**WHEREAS**, pursuant to that certain First Lien Credit Agreement dated as of October 4, 2017 (as amended, restated, amended and restated, supplemented or otherwise modified from time to time, the “Credit Agreement”) and that certain First Lien Security Agreement dated as of October 4, 2017 (as amended, restated, amended and restated, supplemented or otherwise modified from time to time, the “Security Agreement”) by and among the Grantors and the Administrative Agent, the Grantors granted to the Administrative Agent, for the benefit of the Secured Parties, a security interest in, among other property, certain intellectual property of the Grantors, and agreed as a condition thereof to execute that certain Intellectual Property Security Agreement, dated as of October 4, 2017, by and between the Grantors and the Administrative Agent (the “IP Security Agreement”) for recording with the United States Patent and Trademark Office (the “USPTO”) and the United States Copyright Office (the “USCO”);

**WHEREAS**, the IP Security Agreement was recorded (i) with the USPTO on October 5, 2017, at Reel: 044213, Frame: 0227 (with respect to the Patents described in the IP Security Agreement) and Reel: 006175 Frame: 0730 (with respect to the Trademarks described in the IP Security Agreement) and (ii) with the USCO on October 5, 2017 (Document Number V9951D525); and

**WHEREAS**, as confirmed by that certain Payoff Letter, dated as of March 15, 2021, all obligations of the Grantors under the Credit Agreement have been terminated (other than certain surviving obligations as set forth therein) and, in connection therewith, the Administrative Agent has released its security interest in the Intellectual Property Collateral (as defined below).

**NOW THEREFORE**, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Administrative Agent, on behalf of the Secured Parties, and the Grantors agree as follows:

**SECTION 1. Defined Terms.** All capitalized terms used herein but not otherwise defined herein have the meanings given to them in the Credit Agreement, Security Agreement or IP Security Agreement, as applicable.

**SECTION 2. Termination and Release.** The Administrative Agent, on behalf of itself, its successors and assigns, and the Secured Parties, without representation or warranty by, or recourse to the Administrative Agent of any kind, hereby:

(a) unconditionally terminates, cancels, discharges, and releases all of its security interest in and to, and the right to set off against, the Grantors’ intellectual property to the extent constituting Collateral (as defined in the Security Agreement), including, but not limited to, the foregoing listed on Schedule A, Schedule B and Schedule C attached hereto (the “Intellectual Property Collateral”), granted pursuant to the Security Agreement or the IP Security Agreement, and any right, title or interest of the Administrative Agent in such Intellectual Property Collateral shall hereby cease and become void. If and to the extent

that the Administrative Agent has acquired any right, title or interest in and to the Intellectual Property Collateral, the Administrative Agent, on behalf of itself, its successors and assigns, and the Secured Parties, without recourse to or representation or warranty of any kind by the Administrative Agent, hereby unconditionally re-transfers, re-conveys, and re-assigns such right, title or interest to the Grantors; and

(b) authorizes the recordation of this Release with the USPTO and the USCO at the Grantors' expense.

**SECTION 3. Choice of Law.** This Release shall be governed by and construed in accordance with the laws of the State of New York.

**SECTION 4. Further Assurances.** The Administrative Agent hereby agrees, at the Grantors' expense, to duly execute, acknowledge, procure and deliver any further documents and to do such other acts as may be reasonably necessary to effect the release of the security interest contemplated hereby.

[Signatures on following page]

**IN WITNESS WHEREOF**, the Administrative Agent, on behalf of itself, its successors and assigns, and the Secured Parties, has caused this Termination and Release of Security Interest in Intellectual Property to be duly executed as of the date first set forth above.

Administrative Agent:

WILMINGTON TRUST, NATIONAL ASSOCIATION,  
as Administrative Agent

By: \_\_\_\_\_

Name: Joseph Feil

Title: Vice President

**Schedule A**

**United States Patents and Patent Applications**

<b>ADVANCED LIGHTING TECHNOLOGIES, LLC</b>		
<b>Patent/Application</b>	<b>Patent Number/ Application Number/ Publication Number</b>	<b>Issue Date/ Filing Date/ Publication Date</b>
POWER SUPPLY FOR A GAS DISCHARGE LAMP	<b>Patent #:</b> 5530321	<b>Issue Dt:</b> 06/25/1996
HORIZONTAL BURNING METAL HALIDE LAMP	<b>Patent #:</b> 5539271	<b>Issue Dt:</b> 07/23/1996
FLUORESCENT LAMP CONTAINING A MERCURY ZINC AMALGAM AND A METHOD OF MANUFACTURE	<b>Patent #:</b> 5882237	<b>Issue Dt:</b> 03/16/1999
METAL HALIDE LAMPS AND METHOD OF MANUFACTURE	<b>Patent #:</b> 5924787	<b>Issue Dt:</b> 07/20/1999
FLUORESCENT LAMP CONTAINING A MERCURY ZINC AMALGAM AND A METHOD OF MANUFACTURE	<b>Patent #:</b> 6339287	<b>Issue Dt:</b> 01/15/2002
SOLID LAMP FILL MATERIAL AND METHOD OF DOSING HID LAMPS	<b>Patent #:</b> 6661175	<b>Issue Dt:</b> 12/09/2003
FLUORESCENT LAMP CONTAINING A MERCURY ZINC AMALGAM AND A METHOD OF MANUFACTURE	<b>Patent #:</b> 6791254	<b>Issue Dt:</b> 09/14/2004
OXIDATION-PROTECTED METALLIC FOIL AND METHOD	<b>Patent #:</b> 7153179	<b>Issue Dt:</b> 12/26/2006
COMPACT, HIGH-EFFICIENCY ILLUMINATION SYSTEM FOR VIDEOIMAGING DEVICES <sup>1</sup>	<b>Patent #:</b> 7220035	<b>Issue Dt:</b> 05/22/2007
BISMUTH-ZINC-MERCURYAMALGAM, FLUORESCENT LAMPS, AND RELATED METHODS	<b>Patent #:</b> 8668841	<b>Issue Dt:</b> 03/11/2014
INFRARED HALOGEN LAMP WITH IMPROVED EFFICIENCY	<b>Patent #:</b> 8766537	<b>Issue Dt:</b> 07/01/2017
FLUORESCENT LAMP CONTAINING A MERCURY ZINC AMALGAM AND A METHOD OF	<b>Patent #:</b> 8277274	<b>Issue Dt:</b> 10/02/2012

<sup>1</sup> Jointly Owned: ADVANCED LIGHTING TECHNOLOGIES, INC. and ENERGY FOCUS, INC.



<b>ADVANCED LIGHTING TECHNOLOGIES, LLC</b>		
<b>Patent/Application</b>	<b>Patent Number/ Application Number/ Publication Number</b>	<b>Issue Date/ Filing Date/ Publication Date</b>
MANUFACTURE		
OXIDATION-PROTECTED METALLIC FOIL AND METHODS	<b>Patent #:</b> 8264147	<b>Issue Dt:</b> 09/11/2012
DOPANT-FREE TUNGSTEN ELECTRODES IN METAL HALIDE LAMPS	<b>Patent #:</b> 7583030	<b>Issue Dt:</b> 09/01/2009
HIGH INTENSITY DISCHARGE LAMPS, ARC TUBES, AND METHODS OF MANUFACTURE	<b>Patent #:</b> 7572163	<b>Issue Dt:</b> 08/11/2009
METHOD OF MAKING A PLASMA LAMP	<b>Patent #:</b> 7396271	<b>Issue Dt:</b> 07/08/2008
SYSTEM AND METHOD FOR GENERATING A DISCHARGE IN GASES	<b>Patent #:</b> 7281492	<b>Issue Dt:</b> 10/16/2007
SYSTEM AND METHOD FOR SUPPORTING ARC TUBES IN HID LAMPS	<b>Patent #:</b> 7187111	<b>Issue Dt:</b> 03/06/2007
SYSTEM AND METHOD FOR GENERATING A DISCHARGE IN HIGH PRESSURE GASES	<b>Patent #:</b> 7126283	<b>Issue Dt:</b> 10/24/2006
BARRIER COATINGS AND METHODS IN DISCHARGE LAMPS	<b>Patent #:</b> 7057335	<b>Issue Dt:</b> 06/06/2006
SOLID MERCURY RELEASING MATERIAL AND METHOD OF DOSING MERCURY INTO DISCHARGE LAMPS	<b>Patent #:</b> 6910932	<b>Issue Dt:</b> 06/28/2005
PLASMA LAMP AND METHOD	<b>Patent #:</b> 6897609	<b>Issue Dt:</b> 05/24/2005
METHOD OF MAKING ARC TUBES	<b>Patent #:</b> 6857926	<b>Issue Dt:</b> 02/22/2005
SOLID LAMP FILL MATERIAL AND METHOD OF DOSING HID LAMPS	<b>Patent #:</b> 6833676	<b>Issue Dt:</b> 12/21/2004
SOLID LAMP FILL MATERIAL AND METHOD OF DOSING HID LAMPS	<b>Patent #:</b> 6830495	<b>Issue Dt:</b> 12/14/2004
HALOGEN LAMPS, FILL MATERIAL AND METHODS OF DOSING HALOGEN LAMPS	<b>Patent #:</b> 6815888	<b>Issue Dt:</b> 11/09/2004
SYSTEM AND METHOD FOR GENERATING A DISCHARGE IN HIGH PRESSURE GASES	<b>Patent #:</b> 6791280	<b>Issue Dt:</b> 09/14/2004
RESISTIVELY BALLASTED GASEOUS DISCHARGE LAMP CIRCUIT AND METHOD	<b>Patent #:</b> 6674249	<b>Issue Dt:</b> 01/06/2004




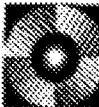


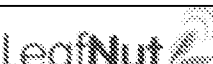
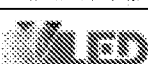


<b>ADVANCED LIGHTING TECHNOLOGIES, LLC</b>		
<b>Patent/Application</b>	<b>Patent Number/ Application Number/ Publication Number</b>	<b>Issue Date/ Filing Date/ Publication Date</b>
HIGH INTENSITY DISCHARGE LAMPS, ARC TUBES AND METHODS OF MANUFACTURE	<b>Patent #:</b> 6612892	<b>Issue Dt:</b> 09/02/2003
EFFICIENT DIRECTIONAL LIGHTING SYSTEM	<b>Patent #:</b> 6554456	<b>Issue Dt:</b> 04/29/2003
HIGH INTENSITY DISCHARGE LAMPS, ARC TUBES AND METHODS OF MANUFACTURE	<b>Patent #:</b> 6517404	<b>Issue Dt:</b> 02/11/2003
DIMMING SYSTEM AND METHOD FOR MAGNETICALLY BALLASTED GASEOUS DISCHARGE LAMPS	<b>Patent #:</b> 6271635	<b>Issue Dt:</b> 08/07/2001
AUXILIARY LIGHTING CONTROL CIRCUIT AND METHOD FOR A HID LAMP LIGHTING SYSTEM	<b>Patent #:</b> 6072286	<b>Issue Dt:</b> 06/06/2000
COMBINATION METAL HALIDE AND AUXILIARY BULB LAMP	<b>Patent #:</b> 6022125	<b>Issue Dt:</b> 02/08/2000
STRENGTHENING AGENT, STRENGTHENED METAL HALIDE PARTICLES, AND IMPROVED LAMP FILL MATERIAL	<b>Patent #:</b> 5972442	<b>Issue Dt:</b> 10/26/1999
OPERATING CIRCUIT FOR AN INDUCTIVELY BALLASTED ARC DISCHARGE LAMP	<b>Patent #:</b> 5896013	<b>Issue Dt:</b> 04/20/1999
LAMPHOLDER ASSEMBLY WITH INTEGRAL BALLAST FOR A STANDING LAMP	<b>Patent #:</b> D403,100	<b>Issue Dt:</b> 12/22/1998
FIBER OPTIC LIGHT BAR FOR EDGE LIGHTED SIGNAGE	<b>Patent #:</b> 5572818	<b>Issue Dt:</b> 11/12/1996
FLUORESCENT LIGHTING FIXTURE	<b>Patent #:</b> D291928	<b>Issue Dt:</b> 09/15/1987
HIGH PRESSURE ARC LAMP ASSISTED START UP DEVICE AND METHOD	<b>Patent #:</b> 6541915	<b>Issue Dt:</b> 04/01/2003
METHOD OF MAKING AN ELECTRIC LAMP HAVING A GAS FILLED OUTER JACKET	<b>Patent #:</b> 6749478	<b>Issue Dt:</b> 06/15/2004
HORIZONTAL BURNING HID LAMPS AND ARC TUBES	<b>Patent #:</b> 6781312	<b>Issue Dt:</b> 08/24/2004
PLASMA LAMP AND METHOD	<b>Patent #:</b> 7105989	<b>Issue Dt:</b> 09/12/2006
HIGH INTENSITY DISCHARGE	<b>Application #:</b> 10457442	<b>Publication Dt:</b>


<b>ADVANCED LIGHTING TECHNOLOGIES, LLC</b>		
<b>Patent/Application</b>	<b>Patent Number/ Application Number/ Publication Number</b>	<b>Issue Date/ Filing Date/ Publication Date</b>
LAMPS, ARC TUBES AND METHODS OF MANUFACTURE		01/22/2004
FIBER ILLUMINATION SYSTEM FOR BACK LIGHTING	<b>Application #:</b> 11397558	<b>Publication Dt:</b> 11/09/2006
PROJECTION LIGHT SOURCE AND METHODS OF MANUFACTURE	<b>Application #:</b> 11645725	<b>Publication Dt:</b> 08/30/2007
MECHANICALLY PLATED PELLETS AND METHOD OF MANUFACTURE	<b>Application #:</b> 13084414	<b>Publication Dt:</b> 10/13/2011
DISCHARGE LAMP WITH LONG LIFE	<b>Application #:</b> 13176882	<b>Publication Dt:</b> 08/02/2012
INTEGRATED OPTIC LAMP	<b>Application #:</b> 13735275	<b>Publication Dt:</b> 07/10/2014

<b>VENTURE LIGHTING INTERNATIONAL, INC.</b>		
<b>Patent/Application</b>	<b>Patent Number/ Application Number/ Publication Number</b>	<b>Issue Date/ Filing Date/ Publication Date</b>
HORIZONTAL BURNING METAL HALIDE LAMP	<b>Patent #:</b> 5055740	<b>Issue Dt:</b> 10/08/1991
ARC TUBE ASSEMBLY	<b>Patent #:</b> 4959587	<b>Issue Dt:</b> 09/25/1990
SOLID STATE BALLAST FOR HIGH INTENSITY DISCHARGE LAMPS	<b>Patent #:</b> 5225742	<b>Issue Dt:</b> 07/06/1993
HORIZONTAL BURNING METAL HALIDE LAMP	<b>Patent #:</b> 5539271	<b>Issue Dt:</b> 07/23/1996
HIGH INTENSITY ARC DISCHARGE LAMP HAVING CLIP MEMBER TO SECURE BASE TO OUTER LAMP ENVELOPE	<b>Patent #:</b> 5550422	<b>Issue Dt:</b> 08/27/1996
ULTRA-PURE METAL HALIDE PARTICLES	<b>Patent #:</b> 3948793	<b>Issue Dt:</b> 04/06/1976

## Schedule B

### United States Registered and Applied for Trademarks

ADVANCED LIGHTING TECHNOLOGIES, LLC				
Trademark	Status	Int'l Class(es)	Serial Number	Registration Number
	Registered	9, 11, 37, 42	75/193,130	2,396,124
	Registered	9, 11, 37, 42	75/193,127	2,276,319
	Registered	9, 11, 37, 42	75/193,126	2,442,930
	Registered	11	74/685,870	2,103,892
AGRICULTURAL LIGHTING TECHNOLOGIES	Registered	11	86/521,710	4,943,123
DESIGNER COLOR	Registered	11	75/292,526	2,414,899
ENERGY MASTER	Registered	11	74/202,220	1,715,439
EVER-START	Registered	11	85/730,974	4,419,720
	Registered	35	75/460,924	2,424,348
	Registered	37, 42	77/313,564	4,016,207
LEAFNUT	Registered	9	85/760,344	4,499,022
	Registered	9	85/760,328	4,499,021
	Registered	11	86/452,435	5,281,604
MICROSUN	Registered	11	74/685,868	2,099,921
NATURAL WHITE	Registered	11	76/596,435	3,204,297
SMARTPAC	Registered	40	75/132,747	2,241,770
	Registered	40	75/132,748	2,223,069
SPORT 60	Registered	11	75/326,475	2,288,431
SUNMASTER	Registered	11	75/392,841	2,355,783
UNI-FORM	Registered	11	75/193,129	2,283,584
	Registered	11	75/193,132	2,283,585
UV SHIELD	Registered	11	75/398,602	2,396,198
VENTURE	Registered	11	74/164,191	1,707,980

ADVANCED LIGHTING TECHNOLOGIES, LLC				
Trademark	Status	Int'l Class(es)	Serial Number	Registration Number
	Registered	9, 11, 37, 42	75/193,131	2,324,735
VENTURE LIGHTING INTERNATIONAL	Registered	11	73/553,314	1,396,659
VYBRANT 2X	Registered	11	85/665,731	4,412,001
WHITE-LUX	Registered	11	74/086,494	1,730,895

**Schedule C**

**United States Registered Copyrights**

ADVANCED LIGHTING TECHNOLOGIES, LLC		
Title	Date Registered	Registration No.
The world leader in high purity material and components for the lighting industry	4-29-2003	TX0005704494