

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6817226

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ANDRÉ WESENBERG	01/07/2020
THORSTEN GROH	07/16/2021
RECEIVING PARTY DATA	
Name:	AUDI AG
Street Address:	85045
City:	INGOLSTADT
State/Country:	GERMANY
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16711745
CORRESPONDENCE DATA	
Fax Number:	(703)991-7071
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Email:	patent@maierandmaier.com
Correspondent Name:	MAIER & MAIER, PLLC
Address Line 1:	345 SOUTH PATRICK STREET
Address Line 4:	ALEXANDRIA, VIRGINIA 22314
ATTORNEY DOCKET NUMBER:	07780558US
NAME OF SUBMITTER:	TIMOTHY J. MAIER
SIGNATURE:	/Timothy J. Maier/
DATE SIGNED:	07/16/2021
This document serves as an Oath/Declaration (37 CFR 1.63).	
Total Attachments: 3	
source=Combined Declaration and Assignment#page1.tif	
source=Combined Declaration and Assignment#page2.tif	
source=Combined Declaration and Assignment#page3.tif	

DECLARATION AND ASSIGNMENT

Title of Invention: METHOD FOR CONTROLLING A STEER-BY-WIRE STEERING SYSTEM IN A MOTOR VEHICLE AND STEER-BY-WIRE STEERING SYSTEM

DECLARATION

As a below named inventor, I hereby declare that:

This declaration is directed to:

- The attached application, or
- United States application or PCT international application number 16/711,745, filed on December 12, 2019

The above-identified application was made or authorized to be made by me. I believe I am the original inventor or an original joint inventor of a claimed invention in the application. I have reviewed and understood the contents of the above-identified application, including the claims, and any claim amendments made in the application. I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR 1.56.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC 1001 by fine or imprisonment of not more than five (5) years, or both.

ASSIGNMENT

WHEREAS, I, André WESENBERG, whose post office address is Erich-Kästner-Straße 15, 85276 Pfaffenhofen, Germany, hereinafter referred to as ASSIGNOR, am the owner of useful improvements in METHOD FOR CONTROLLING A STEER-BY-WIRE STEERING SYSTEM IN A MOTOR VEHICLE AND STEER-BY-WIRE STEERING SYSTEM (hereinafter referred to as the INVENTION) for which the above application for United States Letters Patent was filed on: December 12, 2019, Serial No.: 16/711,745; or established by PCT International Patent Application No.: _____ filed: _____ designating the United States of America;

WHEREAS, AUDIAG, whose post office address is 85045 Ingolstadt, Germany, hereinafter referred to as ASSIGNEE, are desirous of acquiring the entire right, title and interest in and to the same in the United States;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, I, ASSIGNOR, by these presents do sell, assign and transfer unto said ASSIGNEE, the entire right, title, and interest in and to said INVENTION and application throughout the United States of America, including any and all Letters Patent granted on any division, continuation, continuation-in-part and reissue of said application.

ALSO, ASSIGNOR hereby agrees to execute any documents that legally may be required in connection with the filing, prosecution and maintenance of said application or any other patent application(s) in the United States for said INVENTION, including additional documents that may be required to affirm the rights of ASSIGNEE in and to said INVENTION, all without further consideration. ASSIGNOR also agrees, without further consideration and at ASSIGNEE'S expense, to identify and communicate to ASSIGNEE at ASSIGNEE'S request documents and information concerning the INVENTION that are within ASSIGNOR'S possession or control, and to provide further assurances and testimony on behalf of ASSIGNEE that lawfully may be required of ASSIGNOR in respect of the prosecution, maintenance and defense of any patent application or patent encompassed within the terms of this instrument. ASSIGNOR'S obligations under this instrument shall extend to ASSIGNOR'S heirs, executors, administrators and other legal representatives.

ALSO, ASSIGNOR hereby authorizes and requests the Director of the U.S. Patent and Trademark office to issue any and all Letters Patent referred to above to ASSIGNEE, as the ASSIGNEE of the entire right, title and interest in and to the same, for ASSIGNEE'S sole use and behoof, and for the use and behoof of ASSIGNEE'S legal representatives and successors, to the full end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR authorizes any member of the firm of Maier & Maier, PLLC to insert or complete any information in this document needed to effect its recordal in the U.S. Patent and Trademark Office.

Legal Name of Inventor: André WESENBERG

x André Wesenberg
Inventor's Signature

x 07.01.2020
Date

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY
OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)**

Title of Invention	METHOD FOR CONTROLLING A STEER-BY-WIRE STEERING SYSTEM IN A MOTOR VEHICLE AND STEER-BY-WIRE STEERING SYSTEM		
This statement is directed to:			
<input type="checkbox"/> The attached application.			
OR			
<input checked="" type="checkbox"/> United States application or PCT international application number <u>16/711,745</u> filed on <u>December 12, 2019</u>			
LEGAL NAME of inventor to whom this substitute statement applies:			
(E.g., Given Name (first and middle (if any)) and Family Name or Surname)			
Thorsten GROH			
Residence (except for a deceased or legally incapacitated inventor):			
City	State	Country	
Neuburg an der Donau		Germany	
Mailing Address (except for a deceased or legally incapacitated inventor):			
Matthias-Bauer-Str. 61			
City	State	Zip	Country
Neuburg an der Donau		86633	Germany
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.			
The above-identified application was made or authorized to be made by me.			
I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.			
Relationship to the inventor to whom this substitute statement applies:			
<input type="checkbox"/> Legal Representative (for deceased or legally incapacitated inventor only).			
<input checked="" type="checkbox"/> Assignee.			
<input type="checkbox"/> Person to whom the inventor is under an obligation to assign.			
<input type="checkbox"/> Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or			
<input type="checkbox"/> Joint Inventor.			

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT
REEL: 056897 FRAME: 0062

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

SUBSTITUTE STATEMENT

Circumstances permitting execution of this substitute statement:

- Inventor is deceased.
- Inventor is under legal incapacity.
- Inventor cannot be found or reached after diligent effort, or
- Inventor has refused to execute the oath or declaration under 37 CFR 1.63.

If there are joint inventors, please check the appropriate box below:

- An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.
- OR
- An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

Name: *Dr. Hermann Kremer* *J.V. Thomas Reichert* Date (Optional):

Signature:

APPLICANT NAME AND TITLE OF PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

If the applicant is a juristic entity, list the applicant name and the title of the signer:

AUDI AG

Applicant Name:

Title of Person Executing This Substitute Statement: *Head of Patent Department* *Senior Patent Manager*

The signer, whose title is supplied above, is authorized to act on behalf of the applicant.

Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):

City *Ingoistadt* State *Bavaria* Country *Germany*

Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):

City *Ingoistadt* State *Bavaria* Zip *85045* Country *Germany*

Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.