

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
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EPAS ID: PAT6855750

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
WILLIAM JOHN POTTER	07/21/2021
EDWARD W. GAUGHAN	08/05/2021
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	WESTINGHOUSE AIR BRAKE TECHNOLOGIES CORPORATION
<b>Street Address:</b>	30 ISABELLA ST.
<b>City:</b>	PITTSBURGH
<b>State/Country:</b>	PENNSYLVANIA
<b>Postal Code:</b>	15212
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	17397766
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(314)584-4062
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	3145844080
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<b>Correspondent Name:</b>	THE SMALL PATENT LAW GROUP, LLC
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<b>ATTORNEY DOCKET NUMBER:</b>	WABP18185USUTL2 (1475US1)
<b>NAME OF SUBMITTER:</b>	PHILIP S. HOF
<b>SIGNATURE:</b>	/Philip S. Hof/
<b>DATE SIGNED:</b>	08/09/2021
<b>Total Attachments: 5</b>	
source=WABP18185USUTL2_Declaration_Assignment_09AUG2021#page1.tif	
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**COMBINED DECLARATION AND GLOBAL ASSIGNMENT  
FOR UTILITY OR DESIGN PATENT APPLICATION**

As the below named inventor(s), I/we hereby declare that this declaration and assignment are directed to:

**VEHICLE BRAKE CONTROL SYSTEM AND METHOD**

- ☒ the specification of which is attached hereto OR
- ☐ United States application or PCT international application number \_\_\_\_\_ filed on \_\_\_\_\_, as amended on [date] (if applicable). (I hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application when known.)

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable by fine or imprisonment, or both. If in the United States, the relevant statute is 18 USC § 1001 and imprisonment is for not more than five (5) years.

I have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application including, for continuation-in-part applications, material information which became available between the filing date of the prior application and the filing date of the continuation-in-part application. If in the United States such information is as defined in 37 CFR § 1.56.

In accordance with my obligation(s) under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, a Services Agreement between Wabtec Corporation or one of its subsidiaries such as Transportation IP Holdings, LLC (collectively "Wabtec") and your employer), and/or for other good and valuable consideration of which I acknowledge receipt, I/we,

Inventor First Name	Inventor Middle Name	Inventor Last Name		Residence Town	Residence State	Residence Country
William	John	Potter	of	Trafford	PA	US
Edward	W.	Gaughan	of	Greensburg	PA	US

hereby sell and assign to:

Name of Company:	<i>Westinghouse Air Brake Technologies Corporation</i>
Entity Type (optional):	<i>Delaware Limited Liability Company</i>
Address:	<i>30 Isabella St., Pittsburgh, Pennsylvania 15212 USA</i>

herein referred to as "Company," its successors and assigns my entire respective rights, titles and interests in and to the invention and improvements made or conceived by me described in the application(s) listed above and in the following table (collectively the "Invention"):

Country of Filing	Type of Filing	Application Number	Filing Date	Title
US	Provisional Utility	63/070,898	27-AUG-2020	Vehicle Brake Control System And Method

*(I hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application(s) when known.)*

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, continuations-in-part, reexaminations, renewals, and reissues thereof, and all rights of priority resulting from the filing of the application(s), and authorize and request any official whose duty it is to issue patents, to issue any patent on the inventions and improvements resulting therefrom to the Company, or its successors or assigns, and agree that on request and without further consideration, I will communicate to the Company or its representatives or nominees any facts known to us respecting the inventions and improvements and testify in any legal proceeding, make all rightful oaths, sign all lawful papers and execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent, utility model, or other similar rights in all countries including, but not limited to, any provisional, non-provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, for any derivation proceedings relating thereto, and, as to any patents that issue from such applications, for any supplemental examination, derivation proceeding, opposition, post grant review, reissue, re-examination, *inter partes* review, or extension thereof, and generally do everything possible to aid the Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for the invention and its improvements in all countries.

Remuneration claims of the inventor(s)/assignor(s) potentially arising from any country's law on employee Invention or service Invention, or any other respective country law are addressed as follows:

- I acknowledge and understand that the rights to the Invention belong to Wabtec Corporation, or its subsidiary/designee.
- I acknowledge and agree that the Invention(s) were created in the normal course of my duties (sometimes referred to as *Inventions de mission attribuables*).

- I acknowledge and agree that my accepting the remuneration award associated with this declaration and assignment document is a full, fair and sufficient accounting of the currently known value, and this value is paid prior to the commercial exploitation of the Invention.
- To the extent allowed, I waive any right to future compensation in exchange for the present value of the remuneration award.
- I acknowledge and agree that I am unaware of any exceptional circumstances regarding the Invention.
- I acknowledge and agree that Wabtec's resources, support and knowledge were used in the creation of the Invention at the direction of Wabtec.
- I acknowledge and understand that Wabtec timely claims rights to the Invention.
- I acknowledge that collective labor agreements and/or local regulations may affect the validity and implementation of aspects of this declaration and assignment document. In such circumstances, I agree that the terms set forth herein control and are applied to the extent allowable.

*[Signature Blocks follow]*

## INVENTOR 1

Signature: William John Potter  
 William John Potter

Date: 7/21/2021

Witnessed by: Vincent F. Troiani  
 Signature

Date: 7/21/2021

Vincent F. Troiani  
 Printed Name of Witness

Witnessed by: Frank Huchrowski  
 Signature

Date: 7/21/2021

FRANK HUCHROWSKI  
 Printed Name of Witness

## SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

William John Potter  
 STATE OF

Date: \_\_\_\_\_

ss.

COUNTY OF

This \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_ before me personally came the above-named **William John Potter** assignor to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
 (Notary Public)

INVENTOR 2

Signature: Edward W. Gaughan  
Edward W. Gaughan

Date: 8/5/21

Witnessed by: \_\_\_\_\_  
Signature

Date: \_\_\_\_\_

Printed Name of Witness

Witnessed by: \_\_\_\_\_  
Signature

Date: \_\_\_\_\_

Printed Name of Witness

SECTION BELOW IS FOR USE ONLY IF SIGNING IN PRESENCE OF A NOTARY

\_\_\_\_\_  
Edward W. Gaughan

Date: \_\_\_\_\_

STATE OF

ss.

COUNTY OF

This \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_ before me personally came the above-named **Edward W. Gaughan** assignor to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)