

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT6860657

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JOACHIM STEFFEN	07/27/2021
DANIEL KOLSTER	07/27/2021
ANDRE MUELLER	08/03/2021
RECEIVING PARTY DATA	
Name:	CARL ZEISS MEDITEC AG
Street Address:	GOESCHWITZER STRASSE 51-52
City:	JENA
State/Country:	GERMANY
Postal Code:	07745
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16870880
CORRESPONDENCE DATA	
Fax Number:	(202)706-7348
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	2025068739
Email:	office@ewers-iplaw.com
Correspondent Name:	FALK EWERS
Address Line 1:	EWERS IP LAW PLLC
Address Line 2:	1612 K STREET, NW, SUITE 1204
Address Line 4:	WASHINGTON, D.C. 20006
ATTORNEY DOCKET NUMBER:	2811-1003-N
NAME OF SUBMITTER:	FALK EWERS
SIGNATURE:	/Falk Ewers/
DATE SIGNED:	08/11/2021
Total Attachments: 6	
source=Executed_Assignment_STEFFEN_2811-1003-N#page1.tif	
source=Executed_Assignment_STEFFEN_2811-1003-N#page2.tif	
source=Executed_Assignment_KOLSTER_2811-1003-N#page1.tif	

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source=Executed_Assignment_MUELLER_2811-1003-N#page1.tif
source=Executed_Assignment_MUELLER_2811-1003-N#page2.tif

Inventor Assignment

CONFIRMATION OF TRANSFER AND ASSIGNMENT

THIS Confirmation of Transfer and Assignment Agreement ("Agreement") is entered into by and between Joachim Steffen (employee) of Donauschwabenstrasse 9, 73463 Westhausen, Germany (address/domicile) ("Assignor") and Carl Zeiss Meditec AG, a corporation organized and existing under the laws of Germany (employer) ("Assignee" and with Assignor, "Parties") with its principal place of business at Goeschwitzer Str. 51-52, 07745 Jena, Germany (address) and effective as of the date indicated below:

WHEREAS, Assignor is employed by Assignee;

WHEREAS, Assignor, incident to its employment with Assignee, invented a certain invention identified as

SURGICAL MICROSCOPE WITH STAND AND METHOD FOR CONFIGURING A STAND

("Invention") for which U.S. Patent Application serial no. 16/870,880 for United States Letters Patent was filed on May 08, 2020 ("Application");

WHEREAS, both the Assignor and Assignee are subject to the German Employees Inventions Act (Arbeitnehmererfindungsgesetz) ("Act");

WHEREAS, on November 14, 2018 (date) Assignor notified Assignee of the existence of the Invention by submitting an invention report;

WHEREAS, Assignee did not waive its claim to the invention within 4 months of receiving the invention report;

WHEREAS, as a result of the foregoing, the Parties hereby agree and affirm that Assignor has transferred to Assignee its entire right, including any and all priority rights, title and interest in the Invention known, recognized, and/or permitted to be transferred by operation of law under the Act;

WHEREAS, Assignee is obliged and has the sole right to apply for a patent in Germany, and is also entitled to apply for a patent abroad;

WHEREAS, Assignee wishes to prosecute a patent of the Invention in the United States with the United States Patent & Trademark Office ("Office") and elsewhere;

WHEREAS, in order to effectuate the Assignee's intention and desire to submit the Application to the Office and prosecute the patent of the Invention, Assignor hereby wishes to confirm the prior transfer of rights, title and interests in the Invention to the Assignee by operation of law under the Act and, to the extent that may be necessary, for any reason whatsoever, including but not limited to prosecute the Application, to assign to Assignee any and all rights, title and interests in the Invention which may not have been transferred at the time of the original transfer under the Act including, but not limited to the right to prosecute the Patent and submit the

Application in the United States of America to the Office or defend or prosecute claims for infringement arising before or after the assignment in the United States and elsewhere;

WHEREAS, the Parties also wish to memorialize and notify any and all persons, wherever located, of Assignor's transfer or assignment of the entire right (including priority rights), interests, and title in the Invention to the Assignee whether by operation of the Act or this Agreement or otherwise; and

NOW, THEREFORE, in consideration of the promises, the mutual undertakings and agreements herein set forth between the Parties, and other good and valuable consideration the receipt of which is hereby acknowledged, Assignor, by its signature below, does contract, covenant and agree to the terms which follow:

1. Assignor confirms the prior transfer of, and by this Agreement, hereby sells, assigns, and transfers to Assignee any and all of its entire rights, title and interests which have not been previously transferred or which arose post-transfer under the Act, including, but not limited to: (a) the Invention, (b) the Application, and all foreign counterparts, all international stage applications, national stage applications, divisions, reissues, continuations in whole or in part, substitutions, renewals and extensions thereof derived from said Application (including patents, utility models, and industrial designs), (c) any and all Letters Patent, utility model registrations, design registrations, and other protective rights, which may be granted therefrom in the United States and its territorial possessions, in any other foreign country or region, and under any treaty or convention, and (d) any and all priority rights under 35 U.S.C. §119, under the International Convention for the Protection of Industrial Property (Paris Convention), under the Patent Cooperation Treaty (PCT), under the European Patent Convention, and under the laws and rules of all foreign countries Nunc Pro Tunc on the filing date of the above identified Application.

2. Assignee and Assignee's successor and assigns are to enjoy rights, interests, and title in the Invention as fully and exclusively as if same were held and enjoyed by Assignor had the rights, interests, and title in the Invention not been transferred to Assignee under the Act or otherwise and had Assignor not executed this Agreement, for the full term of any Letters Patent and Registration which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation, or extension thereof.

3. Assignor further agrees that it will, without charge to Assignee, but at Assignee's expense: (a) cooperate with Assignee in the prosecution of any patent application Assignee makes or submits in connection with the Invention, or improvements on the Invention, to the Office or any foreign counterpart; (b) execute, verify, acknowledge and deliver any and all documents and papers, including patent applications and instruments of transfer, and (c) perform any and all such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registration for the Invention and Improvements on the Invention in any country, and to vest title thereto in Assignee or in Assignee's successors and/or assigns.


SIGNATURE OF ASSIGNOR/INVENTOR

Date: Jul. 27, 2021

Joachim Steffen
NAME OF ASSIGNOR/INVENTOR

Inventor Assignment

CONFIRMATION OF TRANSFER AND ASSIGNMENT

THIS Confirmation of Transfer and Assignment Agreement ("Agreement") is entered into by and between Daniel Kolster (employee) of Adolph-Kolping-Strasse 12, 73447 Oberkochen, Germany (address/domicile) ("Assignor") and Carl Zeiss Meditec AG, a corporation organized and existing under the laws of Germany (employer) ("Assignee" and with Assignor, "Parties") with its principal place of business at Goeschwitzer Str. 51-52, 07745 Jena, Germany (address) and effective as of the date indicated below:

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WHEREAS, on November 14, 2018 (date) Assignor notified Assignee of the existence of the Invention by submitting an invention report;

WHEREAS, Assignee did not waive its claim to the invention within 4 months of receiving the invention report;

WHEREAS, as a result of the foregoing, the Parties hereby agree and affirm that Assignor has transferred to Assignee its entire right, including any and all priority rights, title and interest in the Invention known, recognized, and/or permitted to be transferred by operation of law under the Act;

WHEREAS, Assignee is obliged and has the sole right to apply for a patent in Germany, and is also entitled to apply for a patent abroad;

WHEREAS, Assignee wishes to prosecute a patent of the Invention in the United States with the United States Patent & Trademark Office ("Office") and elsewhere;

WHEREAS, in order to effectuate the Assignee's intention and desire to submit the Application to the Office and prosecute the patent of the Invention, Assignor hereby wishes to confirm the prior transfer of rights, title and interests in the Invention to the Assignee by operation of law under the Act and, to the extent that may be necessary, for any reason whatsoever, including but not limited to prosecute the Application, to assign to Assignee any and all rights, title and interests in the Invention which may not have been transferred at the time of the original transfer under the Act including, but not limited to the right to prosecute the Patent and submit the

Application in the United States of America to the Office or defend or prosecute claims for infringement arising before or after the assignment in the United States and elsewhere;

WHEREAS, the Parties also wish to memorialize and notify any and all persons, wherever located, of Assignor's transfer or assignment of the entire right (including priority rights), interests, and title in the Invention to the Assignee whether by operation of the Act or this Agreement or otherwise; and

NOW, THEREFORE, in consideration of the promises, the mutual undertakings and agreements herein set forth between the Parties, and other good and valuable consideration the receipt of which is hereby acknowledged, Assignor, by its signature below, does contract, covenant and agree to the terms which follow:

1. Assignor confirms the prior transfer of, and by this Agreement, hereby sells, assigns, and transfers to Assignee any and all of its entire rights, title and interests which have not been previously transferred or which arose post-transfer under the Act, including, but not limited to: (a) the Invention, (b) the Application, and all foreign counterparts, all international stage applications, national stage applications, divisions, reissues, continuations in whole or in part, substitutions, renewals and extensions thereof derived from said Application (including patents, utility models, and industrial designs), (c) any and all Letters Patent, utility model registrations, design registrations, and other protective rights, which may be granted therefrom in the United States and its territorial possessions, in any other foreign country or region, and under any treaty or convention, and (d) any and all priority rights under 35 U.S.C. §119, under the International Convention for the Protection of Industrial Property (Paris Convention), under the Patent Cooperation Treaty (PCT), under the European Patent Convention, and under the laws and rules of all foreign countries Nunc Pro Tunc on the filing date of the above identified Application.

2. Assignee and Assignee's successor and assigns are to enjoy rights, interests, and title in the Invention as fully and exclusively as if same were held and enjoyed by Assignor had the rights, interests, and title in the Invention not been transferred to Assignee under the Act or otherwise and had Assignor not executed this Agreement, for the full term of any Letters Patent and Registration which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation, or extension thereof.

3. Assignor further agrees that it will, without charge to Assignee, but at Assignee's expense: (a) cooperate with Assignee in the prosecution of any patent application Assignee makes or submits in connection with the Invention, or improvements on the Invention, to the Office or any foreign counterpart; (b) execute, verify, acknowledge and deliver any and all documents and papers, including patent applications and instruments of transfer, and (c) perform any and all such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registration for the Invention and Improvements on the Invention in any country, and to vest title thereto in Assignee or in Assignee's successors and/or assigns.

Daniel Kolster
SIGNATURE OF ASSIGNOR/INVENTOR

Date: Jul. 27, 2021

Daniel Kolster
NAME OF ASSIGNOR/INVENTOR

Inventor Assignment

CONFIRMATION OF TRANSFER AND ASSIGNMENT

THIS Confirmation of Transfer and Assignment Agreement (“Agreement”) is entered into by and between André Mueller (employee) of Rosensteinstrasse 2, 89551 Koenigsbronn-Zang, Germany (address/domicile) (“Assignor”) and Carl Zeiss Meditec AG, a corporation organized and existing under the laws of Germany (employer) (“Assignee” and with Assignor, “Parties”) with its principal place of business at Goeschwitz Str. 51-52, 07745 Jena, Germany (address) and effective as of the date indicated below:

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WHEREAS, Assignee wishes to prosecute a patent of the Invention in the United States with the United States Patent & Trademark Office (“Office”) and elsewhere;

WHEREAS, in order to effectuate the Assignee’s intention and desire to submit the Application to the Office and prosecute the patent of the Invention, Assignor hereby wishes to confirm the prior transfer of rights, title and interests in the Invention to the Assignee by operation of law under the Act and, to the extent that may be necessary, for any reason whatsoever, including but not limited to prosecute the Application, to assign to Assignee any and all rights, title and interests in the Invention which may not have been transferred at the time of the original transfer under the Act including, but not limited to the right to prosecute the Patent and submit the

Application in the United States of America to the Office or defend or prosecute claims for infringement arising before or after the assignment in the United States and elsewhere;

WHEREAS, the Parties also wish to memorialize and notify any and all persons, wherever located, of Assignor's transfer or assignment of the entire right (including priority rights), interests, and title in the Invention to the Assignee whether by operation of the Act or this Agreement or otherwise; and

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1. Assignor confirms the prior transfer of, and by this Agreement, hereby sells, assigns, and transfers to Assignee any and all of its entire rights, title and interests which have not been previously transferred or which arose post-transfer under the Act, including, but not limited to: (a) the Invention, (b) the Application, and all foreign counterparts, all international stage applications, national stage applications, divisions, reissues, continuations in whole or in part, substitutions, renewals and extensions thereof derived from said Application (including patents, utility models, and industrial designs), (c) any and all Letters Patent, utility model registrations, design registrations, and other protective rights, which may be granted therefrom in the United States and its territorial possessions, in any other foreign country or region, and under any treaty or convention, and (d) any and all priority rights under 35 U.S.C. §119, under the International Convention for the Protection of Industrial Property (Paris Convention), under the Patent Cooperation Treaty (PCT), under the European Patent Convention, and under the laws and rules of all foreign countries Nunc Pro Tunc on the filing date of the above identified Application.

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3. Assignor further agrees that it will, without charge to Assignee, but at Assignee's expense: (a) cooperate with Assignee in the prosecution of any patent application Assignee makes or submits in connection with the Invention, or improvements on the Invention, to the Office or any foreign counterpart; (b) execute, verify, acknowledge and deliver any and all documents and papers, including patent applications and instruments of transfer, and (c) perform any and all such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registration for the Invention and Improvements on the Invention in any country, and to vest title thereto in Assignee or in Assignee's successors and/or assigns.


SIGNATURE OF ASSIGNOR/INVENTOR

Date: Aug 03, 2021

André Mueller
NAME OF ASSIGNOR/INVENTOR