### 506818767 08/16/2021

### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6865588

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
ANURAG BHATIA	07/12/2020
SANJOY MAITY	07/10/2020
JOSEPRABU INBARAJ	07/16/2020
JASON MESSER	07/01/2020
CLARK KERR	08/06/2021
MUTHUKKUMARAN RAMALINGAM	07/12/2020
GOPINATH SEKARAN	07/13/2020

#### **RECEIVING PARTY DATA**

Name:	AMERICAN MEGATRENDS INTERNATIONAL, LLC
Street Address:	3095 SATELLITE BOULEVARD
Internal Address:	SUITE 425
City:	DULUTH
State/Country:	GEORGIA
Postal Code:	30096

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16781379

#### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: PATENT@LOCKELORD.COM

Correspondent Name: LOCKE LORD LLP Address Line 1: P.O. BOX 55874

Address Line 4: BOSTON, MASSACHUSETTS 02205

ATTORNEY DOCKET NUMBER:	0112454.251US1
NAME OF SUBMITTER:	TIM TINGKANG XIA
SIGNATURE:	/TIM TINGKANG XIA/
DATE SIGNED:	08/16/2021

PATENT REEL: 057187 FRAME: 0343

506818767

This document serves as an Oath/Declaration (37 CFR 1.63). source=0112454251US1CDASS#page1.tif source=0112454251US1CDASS#page2.tif source=0112454251US1CDASS#page3.tif source=0112454251US1CDASS#page4.tif

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**Total Attachments: 10** 

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### LOCKE LORD LLP

3333 Piedmont Rd NE # 1200, Atlanta, GA 30305 Tel: (404) 870-4600

### DECLARATION AND ASSIGNMENT FOR PATENT AND DESIGN APPLICATIONS

UNITED STATES PATENT RIGHTS, OR United States Plus all Foreign Patent Rights

Title of invention ⇒

### TECHNIQUES OF SENDING ALERTS FROM STORAGE SERVICES RUNNING ON SERVER SAN TARGET

As a below named inventor (hereinafter designated as the undersigned), I hereby declare that:

Application not Attached

This declaration is directed to the application attached hereto. If the application is not attached hereto, the application is as identified by the attorney docket number as set forth above and/or the following:

Enter Appln. No.

United States Application Number or PCT International Appln. No. 16/781,379

Enter Filing Date ⇒ filed on February 4, 2020

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the above-identified application, including the claims.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56.

WHEREAS, the undersigned has invented certain new and useful improvements described in the application identified.

Insert Name of Assignee

WHEREAS, AMERICAN MEGATRENDS INTERNATIONAL, LLC

insert Address of Assignee

🧦 of 3095 Satellite Boulevard, Building 800, Suite 425, Duluth, GA 30096

its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America and

Check Box if Appropriate

in any foreign countries.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned has sold, assigned and transferred, and by these presents does (do) sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America, its territories, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America, its territories, dependencies and possessions, and if the box above is designated, in any and all foreign countries; and to any and all divisions, reissues, continuations, conversions and extensions thereof for the full term or terms for which the same may be granted.

The undersigned agrees to execute all papers necessary in connection with this application and any continuing, divisional, conversion or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agrees to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division, conversion or reissue thereof or Letters Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agrees to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agrees to perform all affirmative acts which may be necessary to obtain a grant of (a) valid United States of America patent(s) or a grant of (a) valid United States of America and any foreign patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorizes and requests the Patent and Trademark Office Officials in the United States of America and in any foreign countries to issue any and all Letters Patent(s) resulting from said application or any continuing, divisional conversion or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has the full right to convey the entire interest herein assigned, and that he has not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

The undersigned hereby acknowledges that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

In witness whereof, executed by the undersigned on the date opposite the undersigned name.

#### LEGAL NAME OF INVENTOR

Inventor's Name	Anurag Bhatia	Date: <u>07/12/2020</u>
lovemor's Signature → Signatur	e:	
An application data sheet i Use a separate form for each	PTO/S8/14 or equivalent), including naming the chinchestor; or check the box below and complete	e entire inventive entity, must accompany this form. the attached page(s) to list additional inventors.
Additional inventors a	re being named on the1 supplemental sheet(	s) attached hereto.

Page 2

LEGAL NAME OF JOINT INVENTOR, IF ANY

### ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 1

Date: 10 July, 2020 Inventor's Name of Inventor: Sanjoy Maity inventor's Signature - Signature: LEGAL NAME OF JOINT INVENTOR, IF ANY → Inventor: Joseprabu Inbaraj Date: fuvestor's Signature -> Signature: LEGAL NAME OF JOINT INVENTOR, IF ANY ⇒Inventor: Jason Messer Inventor's Name Date: faventor's Signature: LEGAL NAME OF IOINT INVENTOR, IF ANY ⇒<sub>Inventor.</sub> Clark Kerr Inventor's Name Date: Inventor's Signature ⇔Signature: LEGAL NAME OF JOINT INVENTOR, IF ANY ⇒Inventor: Muthukkumaran Ramalingam Date: Inventor's Name Inventor's Signature →Signature: LEGAL NAME OF JOINT INVENTOR, IF ANY ⇒Inventor: Gopinath Sekaran Date: Inventor's Name Inventor's Signature (\*\*) Signature: LEGAL NAME OF IOINT INVENTOR, IF ANY Inventor's Name Date: inventor's Signature →Signature: LEGAL NAME OF JOINT INVENTOR, IF ANY Inventor's Name Finventor: Date: timentor's Signature →Signature:

### ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 1

LEGAL NAME OF JOINT INVENTOR, IF ANY Inventor's Name of Inventor: Sanjoy Maity Date: invento/x Signature - Signature: LEGAL NAME OF JOINT INVENTOR, IF ANY Joseprabu Inbaraj Date: 07/16/2020 Inventor's Signature - Signature: ..... LEGAL NAME OF JOINT INVENTOR, IF ANY Pinventor Jason Messer Date: Inventor's Signature: LEGAL NAME OF JOINT INVENTOR, IF ANY \*Inventor Clark Kerr Date Inventor's Name Inventor's Signature Signature: LEGAL NAME OF JOINT INVENTOR, IF ANY Pinventor: Muthukkumaran Ramalingam Date: Inventor's Name Inverior's Signature - Signature: LEGAL NAME OF JOINT INVENTOR, IF ANY \*Inventor: Gopinath Sekaran Date: Inventor's Name Inventor's Signature \*Signature\* LEGAL NAME OF JOINT INVENTOR, IF ANY Inventor's Name "Inventor Date: Inventor's Signature Signature: LEGAL NAME OF JOINT INVENTOR, IF ANY inventor's Name Date:

Inventor's Signature: "Signature:

LEGAL NAME OF JOINT INVENTOR, IF ANY

### ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 1

Inventor's Name	⇒ Inventor:	Sanjoy Maity	Date
Inventor's Signature	⇒ Signature:		
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY	
Inventor's Name	⇒ Inventor:	Joseprabu Inbaraj	Date:
Inventor's Signature	* Signature:		
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY	
inventor's Name	"lnventor:	Jason Messer	Date: 7/1/20
Inventor's Signature	⇔Signature:		
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY	
itiventor's Name	*Inventor:	Clark Kerr	Date:
Inventor's Signature	⇔Signature:		
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY	
Inventor's Name	⇔lnventor:	Muthukkumaran Ramalingam	Plata.
		277	Date:
Inventor's Signature	⇔Signature:		
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY	
Inventor's Name	Sinventor:	Gopinath Sekaran	Date:
Inventor's Signature	*Signature:		
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY	
Inventor's Name	⇒inventor:		Dates
Inventor's Signature	°Signature:	***************************************	
	LEGAL NA	ame of joint inventor, if any	
Inventor's Name	⇒Inventor.		Date:
Inventor's Signature	⇒Signature:		

LEGAL NAME OF JOINT INVENTOR, IF ANY

### ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 1

Inventor's Name	* Inventor:	Sanjoy Maity	Date:	
Inventor's Signature				
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY		
Inventor's Name	* Inventor;	Joseprabu Inbaraj	Date:	
Inventor's Signature	⇒ Signature;			
	legal na	AME OF JOINT INVENTOR, IF ANY		
Inventor's Name		Jason Messer	Date:	
Inventor's Signature				
Inventor's Name		AME OF JOINT INVENTOR, IF ANY  Clark Kerr	Energy.	
Inventor's Signature	Signature:	Clark Kerr		
**				
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY		
inventor's Name	⇒Inventor:	Muthukkumaran Ramalingam	Date:	07/12/2020
Inventor's Signature	⇒Signature:	Midhukkumaran Ramalingam		
	LEGAL NA	ME OF JOINT INVENTOR, IF ANY		
Inventor's Name	⇒Inventor:	Gopinath Sekaran	Date:	
Inventor's Signature				
		ME OF JOINT INVENTOR, IF ANY		
Inventor's Name	⇒Inventor:		Date:	
Inventor's Signature	⇔Signature:			
	LEGAL NA	ME OF JOINT INVENTOR, IF ANY		
Inventor's Name	⇒Inventor:		Date:	
Inventor's Signature	⇒Signature:			

### ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 1

	LEGAL NA	AME OF JOINT INVENTOR, IF ANY			
inventor's Name •	⇒ Inventor.	Sanjoy Maity	**************************************	Date:	
inventor's Signature	<ul> <li>Signature:</li> </ul>				
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY			ý
Inventor's Name	⇒ Inventor:	Joseprabu Inbaraj		Date:	
inventor's Signature				****	
	88	AME OF JOINT INVENTOR, IF ANY			
Inventor's Name	⇒Inventor:	Jason Messer		Date:	
Inventor's Signature	⇔Signature:				
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY			
Inventor's Name	⇒inventor;	Clark Kerr		Date:	
inventor's Signature	<sup>o</sup> Signature:				
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY			
inventor's Name	<sup>4</sup> Inventor	Muthukkumaran Ramalingam	******************************	Date:	
Inventor's Signature	⇔Signature:				
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY			
inventor's Figure	⇔Inventor:	Gopinath Sekaran		Date:	13-JULY-2020
Inventor's Signature	~Signature:	<u> </u>			
	LEGAL NA	AME OF JOINT INVENTOR, IF ANY	es e		
Inventor's Name	⇒Inventor:		. i <del>mmender in der der der der der de</del> t i	Date: _	
Inventor's Signature	⇔Signature.			***************************************	
	LEGAL N	AME OF JOINT INVENTOR, IF ANY			
Inventor's Name	<sup>©</sup> Inventor:			Date: _	
inventor's Signature	*Signature				· · · · · · · · · · · · · · · · · · ·

Doc code: Oath

Document Description: Oath or declaration filed

PTO/AIA

Approved for use through 11/30/2020. OMB 0651-0032

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### SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)

Title of Invention	TECHNIQUES OF SENDING ALERTS FROM STORAGE SERVICES RUNNING ON SERVER SAN TARGET				
This stateme	int is directed to:				
The ati	ached application,				
OR		د د سه			
United 8	States application or PCT international	application number	781,379 filed on	February 4, 2020	
LEGAL NA	ME of inventor to whom this sub	ostitute statement appli	es:		
8	Name (first and middle (if any)) and Fa	amily Name or Surname)			
Clark I					
<del>}</del>	except for a deceased or legally incapa	icitated inventor):			
<sub>city</sub> Atla	anta	<sub>State</sub> GA	Country US		
Mailing Addre	ss (except for a deceased or legally incapa allowford Road NE	citated inventor):			
<sub>city</sub> Atla	anta	<sub>State</sub> GA	<sub>zio</sub> 30345	Country US	
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application.					
The above-i	dentified application was made or auth	orized to be made by me.			
I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.					
Relationship to the inventor to whom this substitute statement applies:					
Legal Representative (for deceased or legally incapacitated inventor only),					
Assignee,					
Person to whom the inventor is under an obligation to assign,					
P	Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or				
	int Inventor.				

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 OFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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### SUBSTITUTE STATEMENT

<b>~</b>					
Circumstances permitting execution of this sub	stitute statement				
Inventor is deceased,	Inventor is deceased,				
Inventor is under legal incapacity,	Inventor is under legal incapacity,				
Inventor cannot be found or reached a	Inventor cannot be found or reached after diligent effort, or				
Inventor has refused to execute the o	ath or declaration under	37 CFR 1.63.			
If there are joint inventors, please check the ap	opropriate box below:				
An application data sheet under 37 Cl or is currently submitted.	FR 1.76 (PTO/AIA/14 or	equivalent) naming the enti	re inventive entity has been		
OR					
An application data sheet under 37 C Statement Supplemental Sheet (PTO information is attached. See 37 CFR	/AIA or equivalent) na		ubmitted. Thus, a Substitute ntity and providing inventor		
	WARNING:		······································		
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO of support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a catent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.					
PERSON EXECUTING THIS SUBSTITUTE STA	ATEMENT:				
			06-Aug-2021		
Name: Sanjoy Maity  ——Beckspoolby	ame: Sanjoy Maity Date Optional:				
Signature: Saudoy Maily					
APPLICANT NAME AND TITLE OF PERSON E	·····				
If the applicant is a juristic entity list the applicar American Megatrends		ne signer:			
Applicant Name:					
Title of Person Executing This Substitute Statement President & CE	0				
The signer whose title is supplied above is aut					
Residence of the signer unless provided in		eet PTO AIA or equiva	lent		
Duluth State GA Country 30096					
Mailing Address of the signer unless provided in an application data sheet PTO AIA or equivalent 3095 Satellite Blvd., Bldg 800, Suite 425					
<sub>City</sub> Duluth	<sub>State</sub> GA	Zip 30096	Country US		
Note: Use an additional PTO AIA form for ea after diligent effort, or has refused to execute th	ich inventor who is dece e oath or declaration un		cannot be found or reached		

### Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of
  presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to
  opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PATENT REEL: 057187 FRAME: 0354

RECORDED: 08/16/2021