

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT6859877

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the ASSIGNEE'S NAME AND ADDRESS ON THE COVER SHEET previously recorded on Reel 050220 Frame 0047. Assignor(s) hereby confirms the ASSIGNMENT.
RESUBMIT DOCUMENT ID:	506783458

CONVEYING PARTY DATA

Name	Execution Date
PETER R. HORSFALL	02/08/2001
ALEXANDER C. RISEMAN	04/23/2001

RECEIVING PARTY DATA

Name:	EBS SERVICE COMPANY LIMITED
Street Address:	LAVATERSTRASSE 40
City:	ZURICH
State/Country:	SWITZERLAND
Postal Code:	CH-8027

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	09753940

CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 3122910860
Email: jpadalino@lsk-iplaw.com
Correspondent Name: LEMPIA SUMMERFIELD KATZ LLC
Address Line 1: 20 SOUTH CLARK STREET
Address Line 2: SUITE 600
Address Line 4: CHICAGO, ILLINOIS 60603

ATTORNEY DOCKET NUMBER:	004672-01692Z-US
NAME OF SUBMITTER:	JAMES L. KATZ
SIGNATURE:	/James L. Katz/
DATE SIGNED:	08/11/2021

Total Attachments: 9

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PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT5695392

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
PETER R. HORSFALL	02/08/2001
ALEXANDER C. RISEMAN	04/23/2001
RECEIVING PARTY DATA	
Name:	NEX GROUP PLC <u>EBS SERVICE COMPANY LIMITED</u>
Street Address:	2 BROADGATE <u>LAVATERSTRASSE 40</u>
City:	LONDON <u>ZURICH</u>
State/Country:	UNITED KINGDOM <u>SWITZERLAND</u>
Postal Code:	EG2M 7UR <u>CH-8027</u>
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	09753940
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Email:	michelle.marsh@cmegroup.com, jpadalino@lsk-iplaw.com
Correspondent Name:	LEMPIA SUMMERFIELD KATZ LLC
Address Line 1:	20 S. CLARK STREET, SUITE 600
Address Line 4:	CHICAGO, ILLINOIS 60603
ATTORNEY DOCKET NUMBER:	004672-01692Z-US
NAME OF SUBMITTER:	JAMES L. KATZ
SIGNATURE:	/JAMES L. KATZ/
DATE SIGNED:	08/30/2019
Total Attachments: 7	
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WHEREAS, I (We), Peter R. HORSFALL and Alexander C. Riseman, as assignor(s), have invented certain improvements in CONVERSATIONAL DEALING SYSTEM for which an application for United States Letters Patent has been executed by me (us) of even date herewith; and

WHEREAS, EBS Service Company Limited, a corporation organized and existing under the laws of Switzerland, located at Lavaterstrasse 40, CH-8027 Zurich, Switzerland, as assignee, is desirous of acquiring all right, title and interest in and to said invention and any Patent that may be granted therefor.

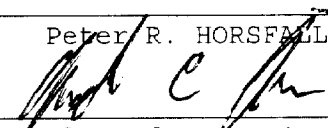
NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, I (We), as assignor(s), hereby sell, assign and set over to said assignee the entire right, title and interest for the United States and all other countries in and to said invention and the aforesaid application for Patent, all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States and all other countries, including all rights of priority from the filing of said application, and all rights for past infringement, and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said inventions or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for myself (ourselves) and my (our) legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all divisional, continuation, reissue and other applications for Patent on said inventions and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said inventions, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to assist assignee, its successors, assigns and nominees to obtain patent protection for said invention in the United States and all other countries, the expenses incident to said applications to be borne and paid by said assignee.

Date: _____

Date: 4-23-01

Date: _____

Date: _____

Peter R. HORSFALL


Alexander C. Riseman

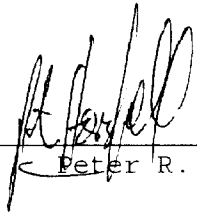
LEGALIZATION RECOMMENDED

WHEREAS, I (We), Peter R. HORSFALL and Alexander C. REISMAN, as assignor(s), have invented certain improvements in CONVERSATIONAL DEALING SYSTEM for which an application for United States Letters Patent has been executed by me (us) of even date herewith; and

WHEREAS, EBS Service Company Limited, a corporation organized and existing under the laws of Switzerland, located at Lavaterstrasse 40, CH-8027 Zurich, Switzerland, as assignee, is desirous of acquiring all right, title and interest in and to said invention and any Patent that may be granted therefor.

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, I (We), as assignor(s), hereby sell, assign and set over to said assignee the entire right, title and interest for the United States and all other countries in and to said invention and the aforesaid application for Patent, all original, divisional, continuation, substitute or reissue applications and patents applied for or granted therefor in the United States and all other countries, including all rights of priority from the filing of said application, and all rights for past infringement, and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said inventions or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for myself (ourselves) and my (our) legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all divisional, continuation, reissue and other applications for Patent on said inventions and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said inventions, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to assist assignee, its successors, assigns and nominees to obtain patent protection for said invention in the United States and all other countries, the expenses incident to said applications to be borne and paid by said assignee.

Date: 8 FEB 01



Peter R. HORSFALL

Date: _____

Alexander C. REISMAN

Date: _____

Date: _____

LEGALIZATION RECOMMENDED



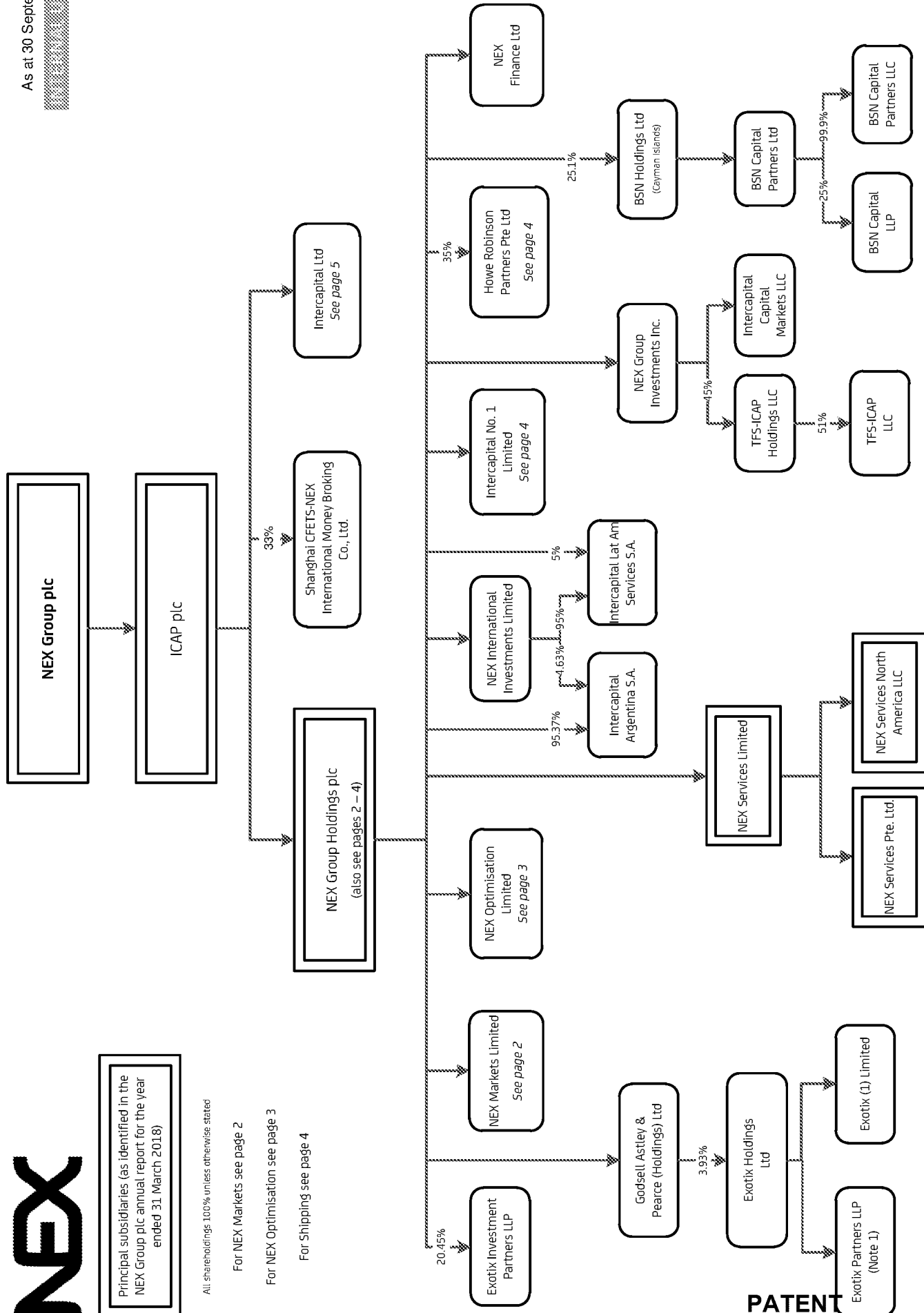
Principal subsidiaries (as identified in the NEX Group plc annual report for the year ended 31 March 2018)

All shareholdings 100% unless otherwise stated

For NEX Markets see page 2

For NEX Optimisation see page 3

For Shipping see page 4



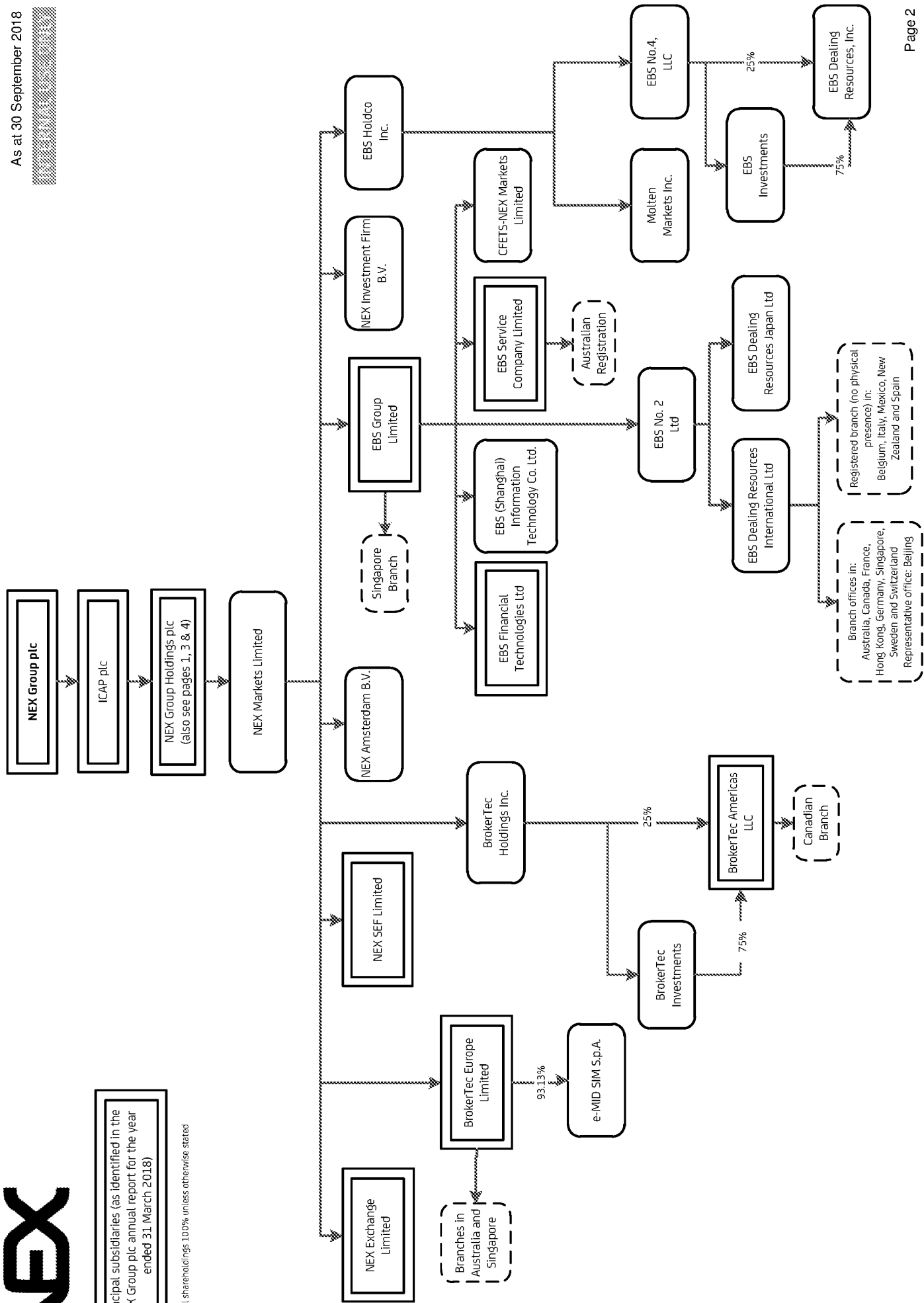
PATENT

Note 1: Exotix Limited holds one capital point in Exotix Partners LLP on trust for Exotix Holdings Limited.



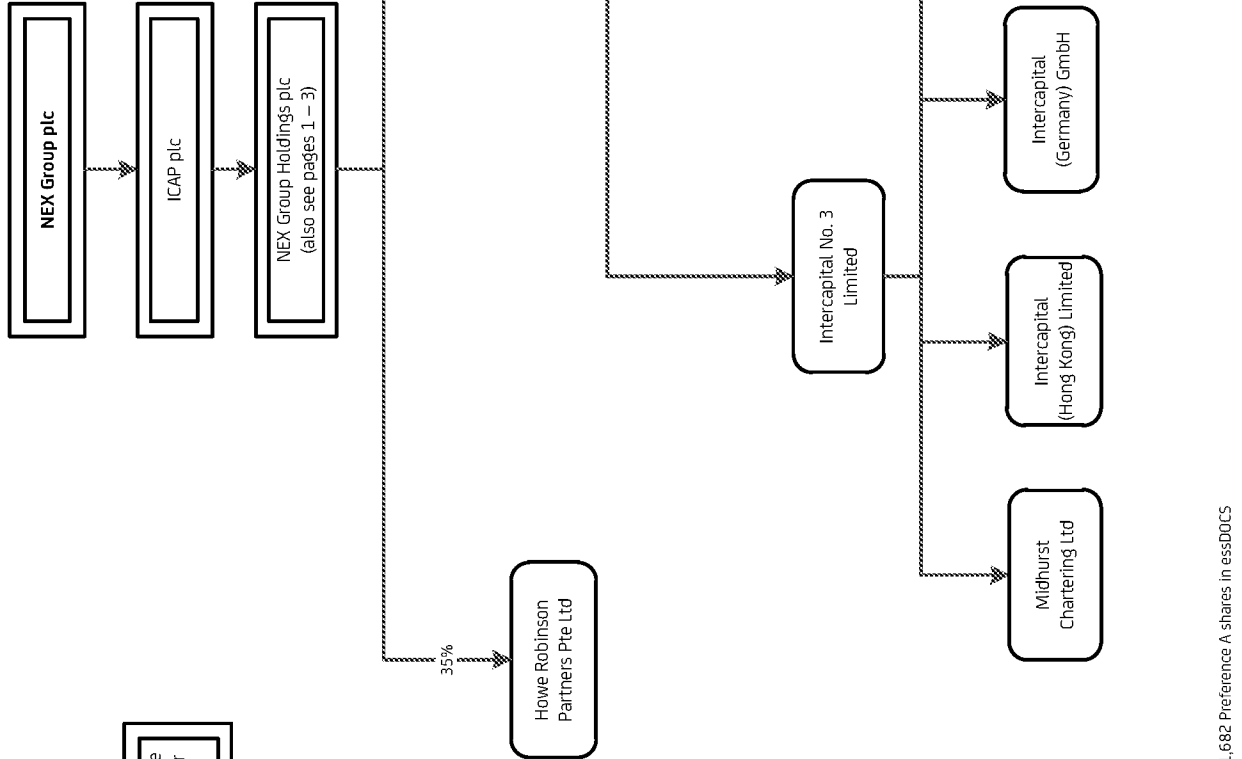
Principal subsidiaries (as identified in the NEX Group plc annual report for the year ended 31 March 2018)

All shareholdings 100% unless otherwise stated



Principal subsidiaries (as identified in the NEX Group plc annual report for the year ended 31 March 2018)

All shareholdings 100% unless otherwise stated



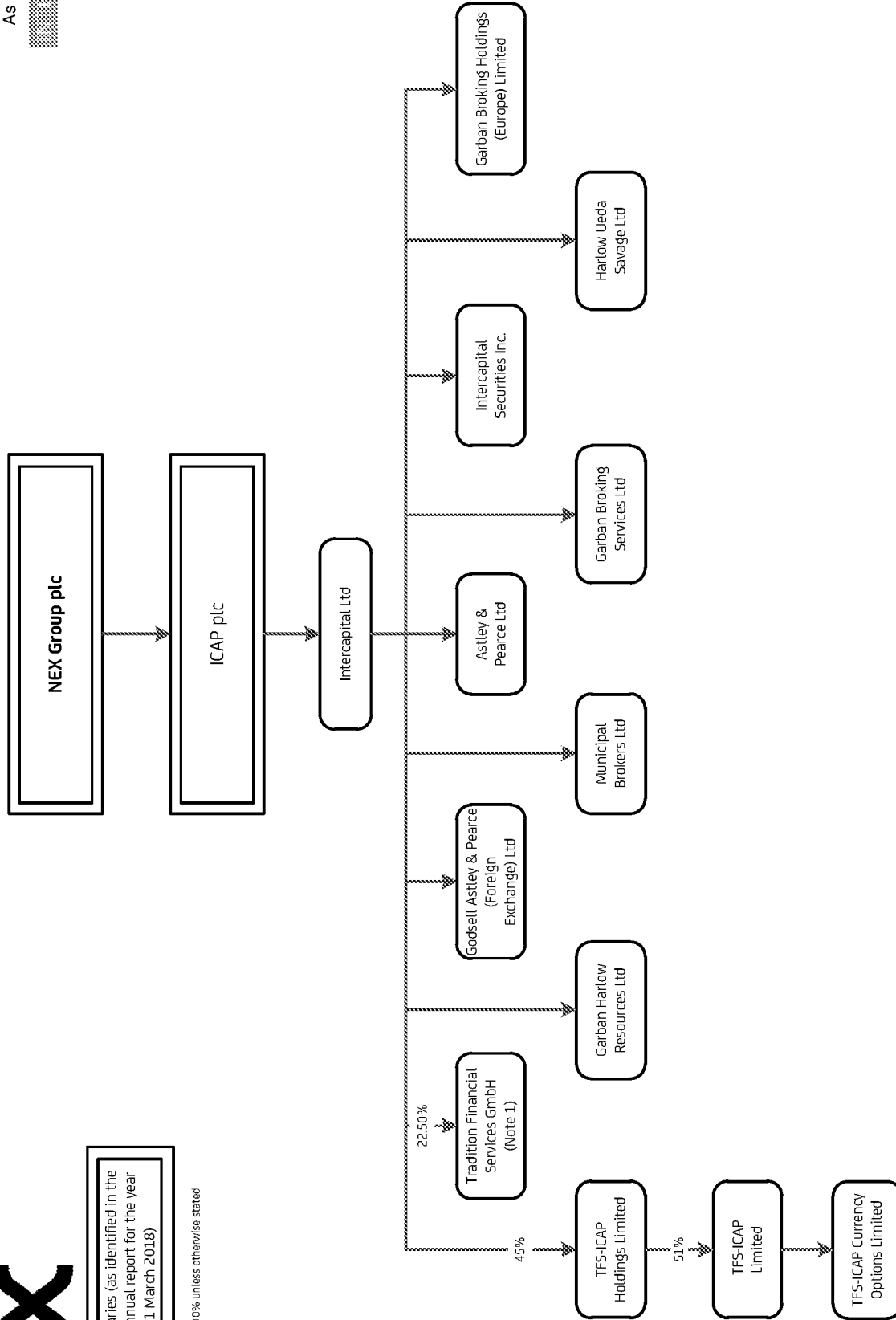
Note 1: Intercapital No. 1 Limited holds 11,682 Preference A shares in essDOCS Limited.

Note 2: Intercapital No. 2 Limited has 50% voting interest and 45% economic interest in Capital Shipbrokers Ltd.



Principal subsidiaries (as identified in the NEX Group plc annual report for the year ended 31 March 2018)

All shareholdings 100% unless otherwise stated



Note 1: Intercapital Limited has 33.33% nominal holding and 22.50% voting and economic interest in Tradition Financial Services GmbH.