

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ERIC HELMS	06/04/2021
JACQUELINE BENNETT	08/16/2021
RECEIVING PARTY DATA	
Name:	THE RESEARCH FOUNDATION FOR THE STATE UNIVERSITY OF NEW YORK
Street Address:	35 STATE STREET
City:	ALBANY
State/Country:	NEW YORK
Postal Code:	12207
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	63012064
Application Number:	17234715
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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ATTORNEY DOCKET NUMBER:	190-2078US01
NAME OF SUBMITTER:	BENJAMIN CLARK
SIGNATURE:	/Benjamin Clark/
DATE SIGNED:	08/17/2021
Total Attachments: 3	
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TECHNOLOGY AND PATENT ASSIGNMENT

This Technology and Patent Assignment (“Assignment”), effective as of the date written below, is made by Eric Helms and Jacqueline Bennett, with primary residences located respectively at 36 Groveland Road, Geneseo, NY 14454 and 2110 Kelly Corner Road, Oneonta, NY 13820 (“Assignor”), to The Research Foundation for The State University of New York (“Foundation”), an educational corporation organized under the laws of the state of New York, with an office located at 35 State Street, Albany NY 12207.

WHEREAS, Assignors have each prepared and submitted to Foundation a technology disclosure identified as 190-2078 and titled “Synthesis of Semicarbazones Utilizing Ethyl lactate or Dimethylisoborbidate as a 'Green Solvent'” describing an idea, invention, newly created work, and/or technology (“Technology”), based on which a US Non-Provisional Patent Application has been filed on April 17, 2020, having serial no. 63/012,064 and titled “METHODS OF FORMING IMINES, IMINE-RELATED AND IMINE-DERIVED COMPOUNDS USING GREEN SOLVENTS” (“Application”); which is based upon and claims priority to US Provisional Patent Application being filed on April 17, 2021, having serial no. 17/234,715 and titled “METHODS OF FORMING IMINES, IMINE-RELATED AND IMINE-DERIVED COMPOUNDS USING GREEN SOLVENTS”.

WHEREAS, Assignors represents that they are each jointly creators and inventors of the Patent Application and Technology and also that each is an author of the technology disclosure;

WHEREAS, Assignors each acknowledge and agree that they have read and understand and that they are subject to the policies of the State University of New York (“SUNY”) and/or Foundation, as applicable;

WHEREAS, pursuant to the 1977 Agreement between Foundation and SUNY, Foundation provides services to SUNY, including, but not limited to, intellectual property management services, and it is the policy of SUNY to assign its intellectual property rights to Foundation for that purpose;

WHEREAS, Assignors each agree to assign and do hereby assign to Foundation, the entirety of their respective rights, title and interests in, to and under the Patent Application and Technology, including the technology disclosure and all intellectual property rights related thereto; and

NOW THEREFORE, in consideration of the premises herein and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignors agree as follows:

1. Assignors each acknowledge their respective obligations to assign and do hereby assign, to Foundation and its successors, legal representatives and assigns, the entirety of their respective worldwide rights, title and interests in, to and under the Patent Application and Technology, including the technology disclosure and the subject matter set forth therein and all intellectual property rights related thereto, including, but not limited to all ideas, inventions, the above identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted in the United States and in all foreign countries and jurisdictions, including all divisionals, continuations, continuations-in-part, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, copyrights, trademark rights, trade secret rights, design rights, and all other intellectual property rights of any kind.

2. Assignors each hereby respectively warrant and represent, and covenant and agree, that that they each have the full right to convey the entirety of their respective interests herein assigned, and that none of them has executed or will execute any agreement in conflict herewith.

3. Assignors each covenant and agree that they will promptly communicate to Foundation, its successors, legal representatives and assigns, any and all facts known to Assignor respecting said Patent Application and Technology and the subject matter thereof, sign all lawful papers, execute all applications for the registration of intellectual property rights, including for patents and copyrights, and make all rightful oaths and declarations, and to cooperate in the preparation, filing, prosecution and maintenance, defense, enforcement and licensing and perfection of title in Foundation, its successors, legal representatives and assigns, for the subject matter of the Patent Application and Technology in all countries.

4. Nothing in this Assignment shall be deemed to limit Assignors' respective rights to scholarly publication of works related to the Patent Application and Technology, or use of such works for other academic, scholarly, or research purposes, subject to SUNY and/or Foundation policies.

Signature: _____

Date: 6/4/2021Eric Helms

Witness: _____

Benjamin Clark

Digitally signed by Benjamin Clark
 DN: cn=Benjamin Clark, o, ou,
 email=ben.clark@rfsuny.org, c=US
 Date: 2021.08.17 10:33:13 -04'00'

Signature: _____

Date: 6/4/2021Print Name: Benjamin Clark

extensions thereof, copyrights, trademark rights, trade secret rights, design rights, and all other intellectual property rights of any kind.

2. Assignors each hereby respectively warrant and represent, and covenant and agree, that that they each have the full right to convey the entirety of their respective interests herein assigned, and that none of them has executed or will execute any agreement in conflict herewith.

3. Assignors each covenant and agree that they will promptly communicate to Foundation, its successors, legal representatives and assigns, any and all facts known to Assignor respecting said Patent Application and Technology and the subject matter thereof, sign all lawful papers, execute all applications for the registration of intellectual property rights, including for patents and copyrights, and make all rightful oaths and declarations, and to cooperate in the preparation, filing, prosecution and maintenance, defense, enforcement and licensing and perfection of title in Foundation, its successors, legal representatives and assigns, for the subject matter of the Patent Application and Technology in all countries.

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Signature: _____

Date: _____

Eric Helms

Witness:

Signature: _____

Date: _____

Print Name: _____

Signature: Jacqueline Bennett

Date: 16 Aug 2021

Jacqueline Bennett

Witness:

Signature: Ronald E Bishop

Date: 16 Aug. 2021

Print Name: Ronald E. Bishop