### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT6869865

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
DMITRY MARTYNOV	08/17/2021
STANISLAV PROTASOV	08/17/2021
SERGUEI BELOUSSOV	08/17/2021

### **RECEIVING PARTY DATA**

Name:	ACRONIS INTERNATIONAL GMBH
Street Address:	RHEINWEG 9
City:	SCHAFFHAUSEN
State/Country:	SWITZERLAND
Postal Code:	8200

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16533103

### CORRESPONDENCE DATA

Fax Number: (212)535-4601

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 2125354600

Email: patentdocket@arentfox.com

**Correspondent Name:** MICHAEL FAINBERG

Address Line 1: 1301 AVENUE OF THE AMERICAS

Address Line 2: ARENT FOX

Address Line 4: NEW YORK, NEW YORK 10019

ATTORNEY DOCKET NUMBER:	035898-00136
NAME OF SUBMITTER:	MICHAEL FAINBERG
SIGNATURE:	/michael fainberg/
DATE SIGNED:	08/18/2021
	This document serves as an Oath/Declaration (37 CFR 1.63).

**Total Attachments: 5** source=Protasov#page1.tif

source=Beloussov#page1.tif

**PATENT REEL: 057209 FRAME: 0425**  source=SubstituteStatement-1#page1.tif
source=SubstituteStatement-1#page2.tif
source=SubstituteStatement-1#page3.tif

PATENT REEL: 057209 FRAME: 0426

### DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT

Title of invention SYSTEMS AND METHODS FOR PREDICTIVE DATA PI	ROTECTION
As the below named inventor, I declare that:	
This declaration is directed to:	
The attached application, or	
United States application or PCT international application num     filed on August 6, 2019	ber <u>16/533,103</u>
The above-identified application was made or authorized to be made by me.	
I believe that I am the original inventor or an original joint inventor of a claimed inventio	n in the application.
I have reviewed and understand the contents of the above-identified application, includ	ing the claims.
I acknowledge the duty to disclose to the United States Patent and Trademark Office al material to patentability as defined in 37 CFR 1,56, including for continuation-in-part ap became available between the filing date of the prior application and the national or PC continuation-in-part application.	plications, material information which
For good and valuable consideration, the receipt and sufficiency of which is hereby ack assign and set over to <b>Acronis International GmbH</b> , having an address at Rheinweg 9 assignee, the entire right, title and interest for the United States and all other countries and/or claimed in the above-identified application, all original, divisional, continuation, significant patients applied for or granted therefor in the United States and all other countries, including said application, and all rights for past infringement, and the Commissioner of Patent authorized and requested to issue all patents on said inventions or resulting there from of the entire interest therein; and the undersigned for myself and my legal representative and covenant without further remuneration, to execute and deliver all divisional, continuous for Patent on said inventions and all assignments thereof to said assignee or its assignits representatives all facts known to the undersigned respecting said inventions, whence interferences or other legal proceedings in which any of said applications or patents may papers, make all rightful oaths, and to do generally everything necessary to assist assignominees to obtain patent protection for said inventions in the United States and all othersaid applications to be borne and paid by said assignee.	9, Schaffhausen, Switzerland 8200, as in and to all inventions disclosed substitute or reissue applications and uding all rights of priority from the filing its and Trademarks is hereby to said assignee herein, as assignee res, heirs and assigns do hereby agree uation, reissue and other applications is, to communicate to said assignee or ever requested, to testify in any ay become involved, to sign all lawful gnee, its successors, assigns and
The undersigned hereby covenants that no assignment, sale, agreement or encumbrar entered into which would conflict with this assignment.	nce has been or will be made or
All statements made herein of my/our own knowledge are true, all statements made he believed to be true, and further that these statements were made with the knowledge the are punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) validity of the application or any patent issuing thereon.	nat willful false statements and the like
LEGAL NAME OF INVENTOR	
Inventor: Stanislav Protasov Da	ite :August 17, 2021
**Signature:	

\*\* An electronic S-signature is permitted. The S-signature must consist only of letters, or Arabic numerals, or both, with appropriate spaces and commas, periods, apostrophes, or hyphens for punctuation, and the person signing the correspondence must insert his or her own S-signature with a first single forward slash mark before and a second single forward slash mark after the S-signature (e.g., /Dr. James T. Jones, Jr./).

PATENT Initial 10/02/12
REEL: 057209 FRAME: 0427

## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT

Title of Invention	SYSTEMS AND METHODS FOR PREDICTIVE DATA PROTECTION
As the below	named inventor, I declare that:
This declara is directed to	
[3	United States application or PCT international application number 16/533,103 filed on August 6, 2019
The above-ide	entified application was made or authorized to be made by me.
I believe that I	am the original inventor or an original joint inventor of a claimed invention in the application.
I have reviewe	ed and understand the contents of the above-identified application, including the claims.
material to pa became availa	the duty to disclose to the United States Patent and Trademark Office all information known to me to be tentability as defined in 37 CFR 1,56, including for continuation-in-part applications, material information which able between the filing date of the prior application and the national or PCT International filing date of the n-part application.
assign and se assignee, the and/or claimed patents applied of said applicate authorized and of the entire in and covenant for Patent on its representation interferences papers, make nominees to co	valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, as assignor, hereby sell, tover to <b>Acronis International GmbH</b> , having an address at Rheinweg 9, Schaffhausen, Switzerland 8200, as entire right, title and interest for the United States and all other countries in and to all inventions disclosed d in the above-identified application, all original, divisional, continuation, substitute or reissue applications and d for or granted therefor in the United States and all other countries, including all rights of priority from the filing tition, and all rights for past infringement, and the Commissioner of Patents and Trademarks is hereby d requested to issue all patents on said inventions or resulting there from to said assignee herein, as assignee hiterest therein; and the undersigned for myself and my legal representatives, heirs and assign do hereby agree without further remuneration, to execute and deliver all divisional, continuation, reissue and other applications said inventions and all assignments thereof to said assignee or its assigns, to communicate to said assignee or tives all facts known to the undersigned respecting said inventions, whenever requested, to testify in any or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful all rightful oaths, and to do generally everything necessary to assist assignee, its successors, assigns and obtain patent protection for said inventions in the United States and all other countries, the expenses incident to the state of the protection for said inventions in the United States and all other countries, the expenses incident to the state of the protection for said assignee.
	ned hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or hich would conflict with this assignment.
believed to be are punishable	made herein of my/our own knowledge are true, all statements made herein on information and belief are true, and further that these statements were made with the knowledge that willful false statements and the like under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both, and may jeopardize the application or any patent issuing thereon.
LEGAL NAM	IE OF INVENTOR
Inventor:	Serguei Beloussov Date: August 17, 2021
**Signature:	

\*\* An electronic S-signature is permitted. The S-signature must consist only of letters, or Arabic numerals, or both, with appropriate spaces and commas, periods, apostrophes, or hyphens for punctuation, and the person signing the correspondence must insert his or her own S-signature with a first single forward slash mark before and a second single forward slash mark after the S-signature (e.g., /Dr. James T. Jones, Jr./).

PATENT Initial 10/02/12
REEL: 057209 FRAME: 0428

Document Description: Oath or declaration filed

PTO/AIA/02 (07-13) Approved for use through 04/30/2017. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)

Title of Invention	SYSTEMS AND METHODS FOR PREDICTIVE DATA PROTECTION
This stateme	ent is directed to:
The att	ached application,
OR	40/500 400
United S	16/533,103 August 6, 2019 States application or PCT international application numberfiled on
LEGAL NA	ME of inventor to whom this substitute statement applies:
1000	Name (first and middle (if any)) and Family Name or Sumame) 'y Martynov
Residence (	except for a deceased or legally incapacitated inventor):
<sub>City</sub> M	oscow <sub>State</sub> Russia
Mailing Addre	ss (except for a deceased or legally incapacitated inventor):
Rheinwe	g 9
<sub>city</sub> Sc	haffhausen state 200 country CH
I believe the in the ap	above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention plication.
The above-i	dentified application was made or authorized to be made by me.
	knowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or ment of not more than five (5) years, or both.
Relationshi	p to the inventor to whom this substitute statement applies:
Le	egal Representative (for deceased or legally incapacitated inventor only),
As	ssignee,
P6	erson to whom the inventor is under an obligation to assign,
P6	erson who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or
Jo	int Inventor.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

# PTO/SB/AIA02 (07-13) Approved for use through 01/31/2014. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**SUBSTITUTE STATEMENT** 

Circumstances permitting execution of this substitute statement:
Inventor is deceased,
Inventor is under legal incapacity,
Inventor cannot be found or reached after diligent effort, or
Inventor has refused to execute the oath or declaration under 37 CFR 1.63.
If there are joint inventors, please check the appropriate box below:
An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.
OR
An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).
WARNING:
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.
PERSON EXECUTING THIS SUBSTITUTE STATEMENT:
Igor Shironin
Signature:
APPLICANT NAME AND TITLE OF PERSON EXECUTING THIS SUBSETUTE STATEMENT:
If the applicant is a juristic entity, list the applicant name and the title of the signer: Acronis International GmbH
Applicant Name:
Title of Person Executing Senior Program Manager This Substitute Statement: The signer, whose title is supplied above, is authorized to act on behalf of the applicant.
Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):
Moscow State Country RU
Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent) c/o Acronis International GmbH Rheinweg 9
Schaffhausen 8200 CH
Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.

### Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

RECORDED: 08/18/2021

PATENT REEL: 057209 FRAME: 0431