506837590 08/26/2021

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6884411

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT		
NATURE OF CONVEYANCE:	Corrective Assignment to correct the ASSIGNEE TO ADD THE OMITTED 1ST ASSIGNEE "FUJITSU OPTICAL COMPONENTS LIMITED" previously recorded on Reel 052101 Frame 0361. Assignor(s) hereby confirms the ASSIGNMENT.		
RESUBMIT DOCUMENT ID:	506658981		

# **CONVEYING PARTY DATA**

Name	Execution Date
YASUHIRO OHMORI	02/12/2020
MASAHARU DOI	02/12/2020
SHINTARO TAKEUCHI	02/12/2020
TAKEHITO TANAKA	02/12/2020
YOSHINOBU KUBOTA	02/12/2020
SHINJI IWATSUKA	01/28/2020
KENJI ENDOU	02/21/2020
HIROKI HARA	01/28/2020
TOSHINORI MATSUURA	02/03/2020
TAKASHI KIKUKAWA	01/28/2020

## **RECEIVING PARTY DATA**

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Street Address:	2-5-1, NIHONBASHI, CHUO-KU
City:	TOKYO
State/Country:	JAPAN
Postal Code:	103-6128

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16799763

PATENT REEL: 057294 FRAME: 0371

506837590

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NAME OF SUBMITTER:	RENELL FEIMSTER FOR TOMOKI TANIDA	
SIGNATURE:	/Renell Feimster for Tomoki Tanida/	
DATE SIGNED:	08/26/2021	

#### **Total Attachments: 11**

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PATENT

**REEL: 057294 FRAME: 0372** 

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

 SUBMISSION TYPE:
 NEW ASSIGNMENT

 NATURE OF CONVEYANCE:
 ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
YASUHIRO OHMORI	02/12/2020
MASAHARU DOI	02/12/2020
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TAKEHITO TANAKA	02/12/2020
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SHINJI IWATSUKA	01/28/2020
KENJI ENDOU	02/21/2020
HIROKI HARA	01/28/2020
TOSHINORI MATSUURA	02/03/2020
TAKASHI KIKUKAWA	01/28/2020

#### **RECEIVING PARTY DATA**

Name:	TDK CORPORATION
Street Address:	2-5-1, NIHONBASHI, CHUO-KU
City:	TOKYO
State/Country:	JAPAN
Postal Code:	103-6128

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number	
Application Number:	16799763	

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PATENT REEL: 057294 FRAME: 0373

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Date: 03/12/2020			
Total Attachments: 6 source=Combined_Dec_Assign#page1.tif source=Combined_Dec_Assign#page2.tif source=Combined_Dec_Assign#page3.tif source=Combined_Dec_Assign#page4.tif source=Combined_Dec_Assign#page5.tif source=Combined_Dec_Assign#page6.tif			
RECEIPT INFORMATION			
EPAS ID: PAT60			
Receipt Date: 03/12/2020			

PATENT REEL: 057294 FRAME: 0374

Attorney Docket No.	
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## COMBINED DECLARATION AND ASSIGNMENT FOR PATENT APPLICATION

特許出願の宣誓書および譲渡書

As a below named inventor, I hereby declare that:

私は、下記に記名された発明者として、以下について宣誓する:

I believe I am the original or an original joint inventor of a claimed invention in the application for which a patent is sought on the invention entitled:

私は、下記の名称の発明について、特許が求められている、本願の請求項に係る発明のもともとの発明者、または、もともとの共同発明者である:

#### OPTICAL MODULATOR,

This declaration is directed to: この宣誓書は:

×	the attacl	ned application, or		
	添付され	た出願、または		
	United States application number or PCT international application			
	number_	filed on		•
		に出願された米国出願もし	 くは PCT 国際出願番号	に宛てられている。

The above-identified application was made or authorized to be made by me.

上記の出願は、私自身、または私が権限を授与したものによって行われたものである。

In the event that the filing date and/or application number are not entered above at the time I execute this document, and if such information is deemed necessary, I hereby authorize and request the registered practitioners of **McDermott Will & Emery LLP**, associated with the Customer Number 20277, to insert above the filing date and/or application number of the application.

私がこの文書に署名する際に出願日および/または出願番号が記入されていない場合であって、その情報が必要であると認められる場合、私は、カスタマーナンバー20277の McDermott Will & Emery LLP の登録実務家が、本願の出願日および/または出願番号を記入すること承認する。

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

本宣誓書において、故意に虚偽の申立を行った場合は、18 U.S.C. 1001 により、罰金、最高 5 年の禁固刑、またはその両方の対象となることを認める。

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#### ASSIGNMENT 譲渡書

In consideration of the premises and other good and valuable consideration in hand paid, the receipt and sufficiency of which is hereby acknowledged, the undersigned, who have made a certain new and useful invention, hereby sell, assign and transfer unto

#### FUJITSU OPTICAL COMPONENTS LIMITED

having an address at 1-1, Kamikodanaka 4-chome, Nakahara-ku, Kawasaki-shi, Kanagawa 211-8588 JAPAN

#### **TDK Corporation**

having an address at 2-5-1, Nihonbashi, Chuo-ku, Tokyo 103-6128 JAPAN

(hereinafter designated as the Assignee), its successors and assigns the entire right, title and interest for the United States of America as defined in 35 U.S.C. § 100 in the invention described in the application identified in this document, and the undersigned hereby authorize and request the United States Commissioner of Patents and Trademarks to issue any and all United States Letters Patent which may be granted therefor and any and all extensions, divisions, reissues, substitutes, renewals, continuations, or continuations-in-part thereof, and the right to all benefits under the International Convention for the Protection of Industrial Property to the Assignee, for its interest as Assignee, its successors, assigns and legal representatives; the undersigned agree that the attorneys of record in the application shall hereafter act on behalf of the Assignee;

新規かつ有用な発明をした署名者は、既述の事項および有効な約因が十分であることを確認し、ここに、上記の住所を持つ譲受人、その相続人および承継人に対し、この文書で特定された出願に開示された発明につき、35 U.S.C. §100 で定義されるアメリカ合衆国における、全ての権利、所有権、および利益を譲渡し、署名者は、特許庁長官に対し、その発明についての全ての米国特許権、および全ての存続期間の延長特許、分割出願の特許、再発行特許、代替出願の特許、更新出願の特許、継続出願の特許、または一部継続出願の特許の発行を要請し、さらに、工業所有権の保護に関する国際条約の下で認められる全ての利益に対する権利を、譲受人、その相続人、その承継人に譲渡および移転する。署名者は、本願の代理人が、譲受人を代理することに同意する。

AND the undersigned hereby agree to transfer a like interest, and to render all necessary assistance in making application for and obtaining original, divisional, reissued or extended Letters Patent of the United States, upon request of the Assignee, its successors, assigns and legal representatives, and without further remuneration, in and to any improvements, and applications for patent based thereon, growing out of or related to the said invention; and to execute any papers by the Assignee, its successors, assigns and legal representatives, deemed essential to Assignee's full protection and title in and to the invention hereby transferred.

署名者は、類似の権利を譲渡することに同意し、譲受人、その相続人、承継人、および法定代理人の求めにより、さらなる報酬を要求することなく、上記発明に関連して得られる改良発明、その改良発明に基づく特許出願について、出願の作成、最初の特許、その分割出願の特許、再発行特許、および延長特許を米国において取得するための全ての必要な援助を行うこと同意すると共に、譲受人、その相続人、承継人、および法定代理人によって作成された、譲受人がここで譲渡される発明の保護と権利に必須と思われるいかなる文書に署名することに同意する。

Page 2 of 4

·	•
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Second inventor's signature	Date
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Legal name of third inventor, if any	
Shintaro TAKEUCHI	
Third inventor's signature	Date
Shintara Takeuchi	Feb. 12, 2020
Legal name of fourth inventor, if any	•
Takehito TANAKA	
Fourth inventor's signature	Date
Legal name of fifth inventor, if any	
Yoshinobu KUBOTA	
Fifth inventor's signature	Date
1 T	

Attorney Docket No.

Legal name of sixth inventor	
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Sixth inventor's signature	Date
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Kenji ENDOU	
Seventh inventor's signature	Date
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Legal name of eighth inventor, if any	
Hiroki HARA	
Eighth inventor's signature	Date
Hiroki Hara	Jan. 28. 2020
Legal name of ninth inventor, if any	
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Ninth inventor's signature	Date
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Tenth inventor's signature	Date
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Attorney Docket No.

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Second inventor's signature Date	
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Third inventor's signature Date	
Legal name of fourth inventor, if any	
Takehito TANAKA	
Fourth inventor's signature Date	
Jakalito Janaka Fe	6. (2, 2020
Legal name of fifth inventor, if any	
Yoshinobu KUBOTA	
Fifth inventor's signature Date	

Attorney Docket No.

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