## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6888661

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
FAN ZHANG	12/09/2019
YU CAI	08/26/2021
CHENRONG XIONG	12/15/2019
XUANXUAN LU	12/09/2019

## **RECEIVING PARTY DATA**

Name:	SK HYNIX INC.
Street Address:	2091, GYEONGCHUNG-DAERO, BUBAL-EUB, GYEONGGI-DO
City:	ICHEON-SI
State/Country:	KOREA, REPUBLIC OF

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16717888

### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Email:** patentprocurement@perkinscoie.com, jcoyman@perkinscoie.com

Correspondent Name: PERKINS COIE LLP - SDO GENERAL

Address Line 1: PO BOX 1247

Address Line 4: SEATTLE, WASHINGTON 98111-1247

ATTORNEY DOCKET NUMBER: 088453-8802.US01	
NAME OF SUBMITTER:	JILL COYMAN
SIGNATURE:	/JILL COYMAN/
DATE SIGNED:	08/27/2021
	This document serves as an Oath/Declaration (37 CFR 1.63).

**Total Attachments: 12** 

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Docket No. 088453-8802,US01 (PATENT)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Applicant: SK hynix Inc. Inventors: Fan Zhang et al.

Application No.: Not Yet Assigned

Filing Date: Herewith

Title: STORAGE DEVICE PERFORMANCE OPTIMIZATION USING DEEP LEARNING

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## DECLARATION AND ASSIGNMENT BY INVENTOR UNDER RULE 37 C.F.R. § 1.63

I, Fan Zhang, of San Jose, California, hereby declare that:

I believe I am the sole original inventor or an original joint inventor of a claimed invention in a patent application entitled:

#### STORAGE DEVICE PERFORMANCE OPTIMIZATION USING DEEP LEARNING

which is attached hereto and identified as Attorney Docket No. 088453-8802.US01, and which claims the benefit of priority of U.S. Provisional Patent Application No. 62/787,182, filed on December 31, 2018.

I believe the following persons are original joint inventors of the above-identified patent application(s):

Yu Cai, of San Jose, California;

Chenrong Xiong, of San Jose, California; and

Xuanxuan Lu, of San Jose California.

The above-identified application was made or authorized to be made by me.

I have reviewed and understand the contents of the above-identified patent application including the claims.

I am aware of the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR §1.56.

Docket No. 088453-8802.US01

Inventor : Fan Zhang et al. Appl. No. : Not Yet Assigned

Filed: Herewith Page: 2 of 3

I hereby acknowledge that any willful false statement made in the above declaration is punishable under 18 USC §1001 by fine or imprisonment of not more than five (5) years, or both.

#### ASSIGNMENT

I hereby assign to <u>SK hynix Inc.</u>, a corporation of Republic of Korea, having a place of business at 2091, Gyeongchung-daero, Bubal-eub, Icheon-si, Gyeonggi-Do, Republic of Korea, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in any and all inventions and improvements which are described in an application for United States Patent, entitled *STORAGE DEVICE PERFORMANCE OPTIMIZATION USING DEEP LEARNING*, filed on <u>December 17, 2019</u>, and assigned U.S. Application Number <u>16/717,888</u>, and which claims the benefit of priority of U.S. Provisional Patent Application No. 62/787,182, filed on December 31, 2018. I authorize and request an attorney appointed in said application to hereafter insert above the filing date and application number of said application when known.

This assignment includes the entire right, title and interest in said application(s), all legal equivalents thereof in any country, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions and improvements, including, but not limited to, all divisions, continuations, reissues, reexamination certificates, any and all certificates issued in any post-grant proceeding, and extensions of said application(s) and patents of the United States, foreign patents, utility models, and design registrations, and the right to claim priority based on the filing date of said application(s) under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes, and the right to seek relief and recover all damages, including, but not limited to, a reasonable royalty, by reason of infringement or any other violation of patent or patent application rights. I authorize the Assignee to apply in all countries in my name or in the name of the Assignee for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements.

In addition, I hereby confirm the sale, assignment, and transfer to the Assignee of the entire right, title and interest throughout the world in said inventions and improvements by operation of an employment agreement between me and the Assignee existing at the time said inventions and improvements were made. Should I have any remaining interest, I hereby sell, assign, and transfer to the Assignee any right, title and interest I may have in said inventions and improvements anywhere in the world.

The foregoing assignments, sale and transfer have been made for good and valuable consideration, the receipt of which is hereby acknowledged.

Inventor : Fan Zhang et al. Docket No. 088453-8802.US01

Appl. No.: Not Yet Assigned

Filed: Herewith Page: 3 of 3

I hereby represent and covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into that would conflict with the assignment of said inventions and improvements to the Assignee.

I further covenant for myself and my respective heirs, legal representatives and assigns, to assist the Assignee in handling various procedures, tasks and documentation in connection with this assignment, including, but not limited to, providing to the Assignee promptly upon the request of the Assignee all pertinent facts and documents relating to said invention and improvements, and said patents, said legal equivalents or other legal instrument as may be known and accessible to me and testifying as to the same in any interference, litigation, or proceeding relating thereto, and promptly executing and delivering to the Assignee or its legal representative any and all papers, documents, instruments or affidavits in connection with obtaining, maintaining, issuing or enforcing said application(s), said inventions and improvements, and said patents, said equivalents and other legal instrument which may be necessary or desirable to carry out the purposes thereof.

I am competent to execute the above declaration and assignment. I hereby have duly executed the declaration and assignment below with my name.

Full name of inventor:	FA <u>NZHANO</u> )
Inventor's signature:	
Date:	12/9/19

Perkins Coie LLP P.O. Box 1247

Seattle, Washington 98111-1247

Telephone: (858) 720-5700 Facsimile: (206) 359-7198

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Applicant: SK hynix Inc.

Inventors: Fan Zhang et al.

Application No.: Not Yet Assigned

Filing Date: Herewith

Title: STORAGE DEVICE PERFORMANCE OPTIMIZATION USING DEEP LEARNING

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# DECLARATION AND ASSIGNMENT BY INVENTOR UNDER RULE 37 C.F.R. § 1.63

I, Yu Cai, of San Jose, California, hereby declare that:

I believe I am the sole original inventor or an original joint inventor of a claimed invention in a patent application entitled:

### STORAGE DEVICE PERFORMANCE OPTIMIZATION USING DEEP LEARNING

which is attached hereto and identified as Attorney Docket No. 088453-8802.US01, and which claims the benefit of priority of U.S. Provisional Patent Application No. 62/787,182, filed on December 31, 2018.

I believe the following persons are original joint inventors of the above-identified patent application(s):

Fan Zhang, of San Jose, California;

Chenrong Xiong, of San Jose, California; and

Xuanxuan Lu, of San Jose California;

The above-identified application was made or authorized to be made by me.

I have reviewed and understand the contents of the above-identified patent application including the claims.

I am aware of the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR §1.56.

Docket No. 088453-8802.US01

Inventor: Fan Zhang et al. Appl. No.: Not Yet Assigned

Filed : Herewith Page : 2 of 3

I hereby acknowledge that any willful false statement made in the above declaration is punishable under 18 USC §1001 by fine or imprisonment of not more than five (5) years, or both.

#### **ASSIGNMENT**

I hereby assign to <u>SK hynix Inc.</u>, a corporation of Republic of Korea, having a place of business at 2091, Gyeongchung-daero, Bubal-eub, Icheon-si, Gyeonggi-Do, Republic of Korea, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in any and all inventions and improvements which are described in an application for United States Patent, entitled *STORAGE DEVICE PERFORMANCE OPTIMIZATION USING DEEP LEARNING*, filed on <u>December 17, 2019</u>, and assigned U.S. Application Number <u>16/717,888</u>, and which claims the benefit of priority of U.S. Provisional Patent Application No. 62/787,182, filed on December 31, 2018. I authorize and request an attorney appointed in said application to hereafter insert above the filing date and application number of said application when known.

This assignment includes the entire right, title and interest in said application(s), all legal equivalents thereof in any country, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions and improvements, including, but not limited to, all divisions, continuations, reissues, reexamination certificates, any and all certificates issued in any post-grant proceeding, and extensions of said application(s) and patents of the United States, foreign patents, utility models, and design registrations, and the right to claim priority based on the filing date of said application(s) under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes, and the right to seek relief and recover all damages, including, but not limited to, a reasonable royalty, by reason of infringement or any other violation of patent or patent application rights. I authorize the Assignee to apply in all countries in my name or in the name of the Assignee for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements.

In addition, I hereby confirm the sale, assignment, and transfer to the Assignee of the entire right, title and interest throughout the world in said inventions and improvements by operation of an employment agreement between me and the Assignee existing at the time said inventions and improvements were made. Should I have any remaining interest, I hereby sell, assign, and transfer to the Assignee any right, title and interest I may have in said inventions and improvements anywhere in the world.

The foregoing assignments, sale and transfer have been made for good and valuable consideration, the receipt of which is hereby acknowledged.

Inventor : Fan Zhang et al. Appl. No. : Not Yet Assigned

Filed : Herewith Page : 3 of 3

I hereby represent and covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into that would conflict with the assignment of said inventions and improvements to the Assignce.

I further covenant for myself and my respective heirs, legal representatives and assigns, to assist the Assignee in handling various procedures, tasks and documentation in connection with this assignment, including, but not limited to, providing to the Assignee promptly upon the request of the Assignee all pertinent facts and documents relating to said invention and improvements, and said patents, said legal equivalents or other legal instrument as may be known and accessible to me and testifying as to the same in any interference, litigation, or proceeding relating thereto, and promptly executing and delivering to the Assignee or its legal representative any and all papers, documents, instruments or affidavits in connection with obtaining, maintaining, issuing or enforcing said application(s), said inventions and improvements, and said patents, said equivalents and other legal instrument which may be necessary or desirable to carry out the purposes thereof.

I am competent to execute the above declaration and assignment. I hereby have duly executed the declaration and assignment below with my name.

Full name of inventor:	YU_CAI, ,	
Inventor's signature:	Carlyn	
Date: 8/2	6/2021	

Perkins Cole LLP P.O. Box 1247 Scattle, Washington 98111-1247 Telephone: (858) 720-5700

Facsimile: (206) 359-7198

91004-7110/144364714.2

Docket No. 088453-8862,US01

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Applicant: SK hynix Inc.

Inventors: Fan Zhang et al.

Application No.: Not Yet Assigned

Filing Date: Herewith

Title: STORAGE DEVICE PERFORMANCE OPTIMIZATION USING DEEP LEARNING

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# DECLARATION AND ASSIGNMENT BY INVENTOR UNDER RULE 37 C.F.R. § 1.63

I, Chenrong Xiong, of San Jose, California, hereby declare that:

I believe I am the sole original inventor or an original joint inventor of a claimed invention in a patent application entitled:

## STORAGE DEVICE PERFORMANCE OPTIMIZATION USING DEEP LEARNING

which is attached hereto and identified as Attorney Docket No. 088453-8802.US01, and which claims the benefit of priority of U.S. Provisional Patent Application No. 62/787,182, filed on December 31, 2018.

I believe the following persons are original joint inventors of the above-identified patent application(s):

Fan Zhang, of San Jose, California;

Yu Cai, of San Jose, California; and

Xuanxuan Lu, of San Jose California.

The above-identified application was made or authorized to be made by me.

I have reviewed and understand the contents of the above-identified patent application including the claims.

I am aware of the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR §1.56.

Docket No. 088453-8802.US01

Inventor: Fan Zhang et al. Appl. No.: Not Yet Assigned

Filed : Herewith Page : 2 of 3

I hereby acknowledge that any willful false statement made in the above declaration is punishable under 18 USC § 1001 by fine or imprisonment of not more than five (5) years, or both.

#### **ASSIGNMENT**

I hereby assign to <u>SK hynix Inc.</u>, a corporation of Republic of Korea, having a place of business at 2091, Gyeongchung-daero, Bubal-eub, Icheon-si, Gyeonggi-Do, Republic of Korea, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in any and all inventions and improvements which are described in an application for United States Patent, entitled *STORAGE DEVICE PERFORMANCE OPTIMIZATION USING DEEP LEARNING*, filed on <u>December 17, 2019</u>, and assigned U.S. Application Number <u>16/717,888</u>, and which claims the benefit of priority of U.S. Provisional Patent Application No. 62/787,182, filed on December 31, 2018. I authorize and request an attorney appointed in said application to hereafter insert above the filing date and application number of said application when known.

This assignment includes the entire right, title and interest in said application(s), all legal equivalents thereof in any country, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions and improvements, including, but not limited to, all divisions, continuations, reissues, reexamination certificates, any and all certificates issued in any post-grant proceeding, and extensions of said application(s) and patents of the United States, foreign patents, utility models, and design registrations, and the right to claim priority based on the filing date of said application(s) under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes, and the right to seek relief and recover all damages, including, but not limited to, a reasonable royalty, by reason of infringement or any other violation of patent or patent application rights. I authorize the Assignee to apply in all countries in my name or in the name of the Assignee for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements.

In addition, I hereby confirm the sale, assignment, and transfer to the Assignee of the entire right, title and interest throughout the world in said inventions and improvements by operation of an employment agreement between me and the Assignee existing at the time said inventions and improvements were made. Should I have any remaining interest, I hereby sell, assign, and transfer to the Assignee any right, title and interest I may have in said inventions and improvements anywhere in the world.

The foregoing assignments, sale and transfer have been made for good and valuable consideration, the receipt of which is hereby acknowledged.

Inventor : Fan Zhang et al. Docket No. 088453-8802.US01

Appl. No. : Not Yet Assigned

Filed : Herewith Page : 3 of 3

I hereby represent and covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into that would conflict with the assignment of said inventions and improvements to the Assignee.

I further covenant for myself and my respective heirs, legal representatives and assigns, to assist the Assignee in handling various procedures, tasks and documentation in connection with this assignment, including, but not limited to, providing to the Assignee promptly upon the request of the Assignee all pertinent facts and documents relating to said invention and improvements, and said patents, said legal equivalents or other legal instrument as may be known and accessible to me and testifying as to the same in any interference, litigation, or proceeding relating thereto, and promptly executing and delivering to the Assignee or its legal representative any and all papers, documents, instruments or affidavits in connection with obtaining, maintaining, issuing or enforcing said application(s), said inventions and improvements, and said patents, said equivalents and other legal instrument which may be necessary or desirable to carry out the purposes thereof.

I am competent to execute the above declaration and assignment. I hereby have duly executed the declaration and assignment below with my name.

Full name of inventor:	CHENRONG	XIONG
Inventor's signature:		mfdra VI I married from the contract of the co
Date: 12/15		

Perkins Coie LLP P.O. Box 1247 Seattle, Washington 98111-1247

Telephone: (858) 720-5700 Facsimile: (206) 359-7198

Docket No. 088453-8802.US01 (PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Applicant: SK hynix Inc.
Inventors: Fan Zhang et al.

Application No.: Not Yet Assigned

Filing Date: Herewith

Title: STORAGE DEVICE PERFORMANCE OPTIMIZATION USING DEEP LEARNING

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# DECLARATION AND ASSIGNMENT BY INVENTOR UNDER RULE 37 C.F.R. § 1.63

I, Xuanxuan Lu, of San Jose, California, hereby declare that:

I believe I am the sole original inventor or an original joint inventor of a claimed invention in a patent application entitled:

### STORAGE DEVICE PERFORMANCE OPTIMIZATION USING DEEP LEARNING

which is attached hereto and identified as Attorney Docket No. 088453-8802.US01, and which claims the benefit of priority of U.S. Provisional Patent Application No. 62/787,182, filed on December 31, 2018.

I believe the following persons are original joint inventors of the above-identified patent application(s):

Fan Zhang, of San Jose, California; Yu Cai, of San Jose, California; and Chenrong Xiong, of San Jose California.

The above-identified application was made or authorized to be made by me.

I have reviewed and understand the contents of the above-identified patent application including the claims.

I am aware of the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR §1.56.

91004-7110/144364714.2

Inventor : Fan Zhang et al. Docket No. 088453-8802.US01

Appl. No.: Not Yet Assigned Filed: Herewith

Filed : Herewit Page : 2 of 3

I hereby acknowledge that any willful false statement made in the above declaration is punishable under 18 USC §1001 by fine or imprisonment of not more than five (5) years, or both.

#### ASSIGNMENT

I hereby assign to <u>SK hynix Inc.</u>, a corporation of Republic of Korea, having a place of business at 2091, Gyeongchung-daero, Bubal-eub, Icheon-si, Gyeonggi-Do, Republic of Korea, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in any and all inventions and improvements which are described in an application for United States Patent, entitled *STORAGE DEVICE PERFORMANCE OPTIMIZATION USING DEEP LEARNING*, filed on <u>December 17, 2019</u>, and assigned U.S. Application Number <u>16/717,888</u>, and which claims the benefit of priority of U.S. Provisional Patent Application No. 62/787,182, filed on December 31, 2018. I authorize and request an attorney appointed in said application to hereafter insert above the filing date and application number of said application when known.

This assignment includes the entire right, title and interest in said application(s), all legal equivalents thereof in any country, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions and improvements, including, but not limited to, all divisions, continuations, reissues, reexamination certificates, any and all certificates issued in any post-grant proceeding, and extensions of said application(s) and patents of the United States, foreign patents, utility models, and design registrations, and the right to claim priority based on the filing date of said application(s) under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes, and the right to seek relief and recover all damages, including, but not limited to, a reasonable royalty, by reason of infringement or any other violation of patent or patent application rights. I authorize the Assignee to apply in all countries in my name or in the name of the Assignee for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements.

In addition, I hereby confirm the sale, assignment, and transfer to the Assignee of the entire right, title and interest throughout the world in said inventions and improvements by operation of an employment agreement between me and the Assignee existing at the time said inventions and improvements were made. Should I have any remaining interest, I hereby sell, assign, and transfer to the Assignee any right, title and interest I may have in said inventions and improvements anywhere in the world.

The foregoing assignments, sale and transfer have been made for good and valuable consideration, the receipt of which is hereby acknowledged.

Inventor : Fan Zhang et al. Appl. No. : Not Yet Assigned

Filed: Herewith Page: 3 of 3

I hereby represent and covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into that would conflict with the assignment of said inventions and improvements to the Assignee.

I further covenant for myself and my respective heirs, legal representatives and assigns, to assist the Assignee in handling various procedures, tasks and documentation in connection with this assignment, including, but not limited to, providing to the Assignee promptly upon the request of the Assignee all pertinent facts and documents relating to said invention and improvements, and said patents, said legal equivalents or other legal instrument as may be known and accessible to me and testifying as to the same in any interference, litigation, or proceeding relating thereto, and promptly executing and delivering to the Assignee or its legal representative any and all papers, documents, instruments or affidavits in connection with obtaining, maintaining, issuing or enforcing said application(s), said inventions and improvements, and said patents, said equivalents and other legal instrument which may be necessary or desirable to carry out the purposes thereof.

I am competent to execute the above declaration and assignment. I hereby have duly executed the declaration and assignment below with my name.

Full name of inventor: XUANXUAN LU

Inventor's signature:\_\_\_\_

Date:

Perkins Coie LLP P.O. Box 1247

Seattle, Washington 98111-1247

Telephone: (858) 720-5700 Facsimile: (206) 359-7198

91004-7110/144364714.2

RECORDED: 08/27/2021

PATENT REEL: 057315 FRAME: 0179

Docket No. 088453-8802.US01