### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 EPAS ID: PAT6898341

Stylesheet Version v1.2

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
ANDREW T. BECKMAN	11/11/2020
BRIAN D. BLACK	11/03/2020
CHARLES J. SCHEIB	11/04/2020
MATTHEW T. STONE	11/30/2020

#### **RECEIVING PARTY DATA**

Name:	AURIS HEALTH, INC.
Street Address:	150 SHORELINE DRIVE
City:	REDWOOD CITY
State/Country:	CALIFORNIA
Postal Code:	94065

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	17077250

#### **CORRESPONDENCE DATA**

Fax Number: (513)651-6981

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 5136516800

Email: jdewar@fbtlaw.com **Correspondent Name:** ANDREW B. ULMER

Address Line 1: 301 EAST FOURTH STREET Address Line 2: 3300 GREAT AMERICAN TOWER

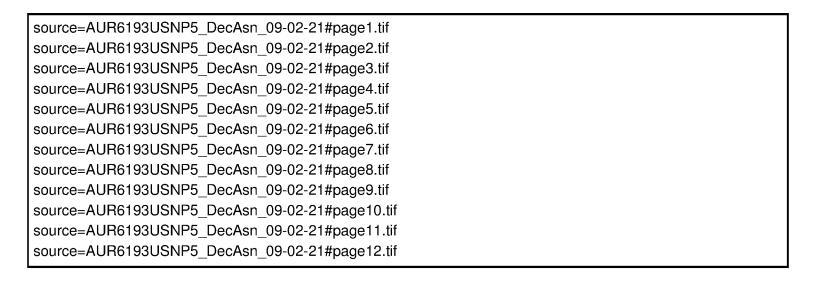
Address Line 4: CINCINNATI, OHIO 45202

AUR6193USNP5.0734767
ANDREW B. ULMER
/Andrew B. Ulmer/
09/02/2021
This document serves as an Oath/Declaration (37 CFR 1.63).

**Total Attachments: 12** 

**PATENT REEL: 057373 FRAME: 0078** 

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COMBINE	ED DECLARATION AND ASSIGNMENT
Title of Invention: <u>ULTRASONIC SURGI</u>	CAL INSTRUMENT WITH A CARRIER KART AND REUSABLE STAGE
This declaration and assignment is directed to:	The attached or filed herewith application of (list of named inventors),
or	
	The United States application or PCT international application number 17/077,250 filed on October 22, 2020.
Declaration	
As the below named inventor, I hereby	declare that:
The above-identified application ("Appl	lication") was made or authorized by me.
I believe that I am the original inventor	or an original inventor of a claimed invention or discovery in the Application.
	intents of the Application, including the claims, and I acknowledge the duty to disclose defined in Title 37, the United States Code of Federal Regulations, §1.56 for filings of this
	false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or both for filings of this Application in the United States of America.
Assignment	
	Auris Health, Inc. 150 Shoreline Drive
	Redwood City, CA 94065
	A corporation of the state of <u>Delaware</u> .
0	hereinafter designated as the "Assignee"),
	ned the above-identified invention by previous assignment (attached hereto) to Assignee US Patent Office and I confirm I have and do assign and transfer:
or	
	e sufficiency of which is acknowledged, I hereby assign and transfer and/or have assigned ng made effective as of the date of signature below:
and the right to claim priority to the Application, States, European Patent Office and of all other or inventions or discoveries and all rights in such A thereof, and to all Letters Patent that may be g protection certificates, reexaminations, renewals,	and under the Application, including all priority rights for other countries arising therefrom all inventions or discoveries therein disclosed, and any and all Letters Patent of the United ountries, which may be granted for such inventions or discoveries, or any of them, all such application including any and all provisionals, substitutions, divisions, and continuations ranted for said inventions and discoveries, and in and to all extensions, supplementary, and reissues thereof, to be held and enjoyed by Assignee for its own use and enjoyment the Letters Patent may be granted, as fully and entirely as the same would have been held not been made.
Patent Office, any other patent offices, and under thereof, any reexamination of any of such applica	nnection with the Application in the United States Patent and Trademark Office, European er the Patent Cooperation Treaty, and any continuing, divisional, or reissue applications ations, and any patent term extensions or supplementary protection certificates of any such nments in connection with such applications as the Assignee may deem necessary or

I shall execute all papers necessary in connection with any litigation or any other judicial proceeding in the United States or other country, or any administrative proceeding in the United States Patent and Trademark Office, European Patent Office, any other patent office, or under the Patent Cooperation Treaty concerning the Application(s) or any continuation, divisional, or reissue applications thereof, or any reexamination of any such applications, or any Letters Patent issued therefrom or any patent term extensions or supplementary protection certificates of any such applications and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such litigation or proceeding.

I shall execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

I shall do all other acts which, in the opinion of Assignee, may be necessary or desirable to secure the grant of Letters Patent to Assignee or its nominees, in the United States, by the European Patent Office and in all other countries where Assignee may desire to have such inventions or discoveries, or any of them, patented, with specifications and claims in such form as shall be approved by Assignee and to vest and confirm in Assignee or its nominees the full and complete legal and equitable title to all such Letters Patent.

I hereby (i) authorize and request the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from the Application or any divisional, continuation, or reissue applications thereof, and any reexamination of any of such applications, to Assignee, and (ii) covenant that I have full right to convey the interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

I hereby grant the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to obtain legal recordation of this document.

Andr	ew i	T. Be	eckn	Han	
Andrew T					

Signature

Nov 11, 2020

# END6193USNP5 | DECLARATION-ASSIGNME NT

Final Audit Report

2020-11-11

Created:

2020-11-11

Ву:

Tricia Piening (tpiening@ITS.JNJ.com)

Status:

Signed

Transaction ID:

CBJCHBCAABAALMANvdrx4dUlregaToAc4pBpcmR3UVUN

### "END6193USNP5 | DECLARATION-ASSIGNMENT" History

- Document created by Tricia Piening (tpiening@ITS.JNJ.com) 2020-11-11 1;36:15 PM GMT- IP address: 74.83,245,227
- Document emailed to Andrew T. Beckman (abeckman@its.jnj.com) for signature 2020-11-11 1:36:38 PM GMT
- Email viewed by Andrew T. Beckman (abeckman@its.jnj.com) 2020-11-11 7:05:57 PM GMT- IP address: 50.5.231.111
- Document e-signed by Andrew T. Beckman (abeckman@its.jnj.com)

  Signature Date: 2020-11-11 7:06:11 PM GMT Time Source: server- IP address: 50.5.231.111
- Agreement completed. 2020-11-11 - 7:06:11 PM GMT

Johnson-Johnson

Adobe Sign

COMBINED	DECLARATION AND ASSIGNMENT
Title of Invention: <u>ULTRASONIC SURGICAL</u>	. INSTRUMENT WITH A CARRIER KART AND REUSABLE STAGE
This declaration and assignment is directed to:	The attached or filed herewith application of (list of named inventors),
GF	
	The United States application or PCT international application number 17/077,250 filed on October 22, 2020.
Declaration	
As the below named inventor, I hereby deci	are that:
The above-identified application ("Applicat	ion") was made or authorized by me.
I believe that I am the original inventor or a	n original inventor of a claimed invention or discovery in the Application.
	ats of the Application, including the claims, and I acknowledge the duty to disclose ned in Title 37, the United States Code of Federal Regulations, §1.56 for filings of this
	statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or a for filings of this Application in the United States of America.
Assignment	
	Auris Health, Inc. 150 Shoreline Drive
	Redwood City, CA 94065 corporation of the state of <u>Delaware</u> .
(here	inafter designated as the "Assignee"),
	the above-identified invention by previous assignment (attached hereto) to Assignee Patent Office and I confirm I have and do assign and transfer:
or	
For good and valuable consideration, the su and transferred to Assignee, such assignment being n	fficiency of which is acknowledged, I hereby assign and transfer and/or have assigned nade effective as of the date of signature below:
and the right to claim priority to the Application, all i States. European Patent Office and of all other count inventions or discoveries and all rights in such Appl thereof, and to all Letters Patent that may be grant protection certificates, reexaminations, renewals, and	under the Application, including all priority rights for other countries arising therefrom niventions or discoveries therein disclosed, and any and all Letters Patent of the United ries, which may be granted for such inventions or discoveries, or any of them, all such ication including any and all provisionals, substitutions, divisions, and continuations ed for said inventions and discoveries, and in and to all extensions, supplementary is reissues thereof, to be held and enjoyed by Assignee for its own use and enjoyment etters Patent may be granted, as fully and entirely as the same would have been held been made.
Patent Office, any other patent offices, and under the thereof, any reexamination of any of such application	ction with the Application in the United States Patent and Trademark Office, European the Patent Cooperation Treaty, and any continuing, divisional, or reissue applications is, and any patent term extensions or supplementary protection certificates of any such that in connection with such applications as the Assignee may deem necessary or

1

I shall execute all papers necessary in connection with any litigation or any other judicial proceeding in the United States or other country, or any administrative proceeding in the United States Patent and Trademark Office, European Patent Office, any other patent office, or under the Patent Cooperation Treaty concerning the Application(s) or any continuation, divisional, or reissue applications thereof, or any reexamination of any such applications, or any Letters Patent issued therefrom or any patent term extensions or supplementary protection certificates of any such applications and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such litigation or proceeding.

I shall execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

I shall do all other acts which, in the opinion of Assignee, may be necessary or desirable to secure the grant of Letters Patent to Assignee or its nominees, in the United States, by the European Patent Office and in all other countries where Assignee may desire to have such inventions or discoveries, or any of them, patented, with specifications and claims in such form as shall be approved by Assignee and to vest and confirm in Assignee or its nominees the full and complete legal and equitable title to all such Letters Patent.

I hereby (i) authorize and request the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from the Application or any divisional, continuation, or reissue applications thereof, and any reexamination of any of such applications, to Assignee, and (ii) covenant that I have full right to convey the interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

I hereby grant the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to obtain legal recordation of this document.

Brian D Black Brian O Black (Rov 3, 2020 17:37 EST)

Nov 3, 2020

Signature

Date

## AUR6193USNP5 | DECLARATION-ASSIGNME NT

Final Audit Report

2020-11-03

Created:

2020-11-02

By:

Tricia Piening (tplening@ITS.JNJ.com)

Status:

Signed

Transaction ID:

CBJCHBCAABAAis6r99SGRcysPCwD03ZmEOt6Mrune60Q

### "AUR6193USNP5 | DECLARATION-ASSIGNMENT" History

- Document created by Tricia Piening (tpiening@ITS.JNJ.com) 2020-11-02 6:39:32 PM GMT
- Document emailed to Brian D Black (bblack8@its.jnj.com) for signature 2020-11-02 6:40:11 PM GMT
- Email viewed by Brian D Black (bblack8@its.jnj.com) 2020-11-03 10:37:13 PM GMT- IP address: 69.133.50.3
- Document e-signed by Brian D Black (bblack8@its.jnj.com)

  Signature Date: 2020-11-03 10:37:30 PM GMT Time Source: server- IP address: 69.133.50.3
- Agreement completed. 2020-11-03 - 10:37:30 PM GMT

Johnson-Johnson

Adobe Sign

COMBINED	DECLARATION AND ASSIGNMENT
Title of Invention: ULTRASONIC SURGICAL	L INSTRUMENT WITH A CARRIER KART AND REUSABLE STAGE
This declaration and assignment is directed to:	
	The attached or filed herewith application of (list of named inventors),
9 <i>7</i> °	
	The United States application or PCT international application number 17/077,250 filed on October 22, 2020.
Declaration	
As the below named inventor, I hereby decl	are that:
The above-identified application ("Application of the control of t	tion") was made or authorized by me.
I believe that I am the original inventor or a	in original inventor of a claimed invention or discovery in the Application.
	nts of the Application, including the claims, and I acknowledge the duty to disclose ned in Title 37, the United States Code of Federal Regulations, §1.56 for filings of this
	e statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or h for filings of this Application in the United States of America.
Assignment	
· · · <del>· ·</del> ·	Auris Health, Inc. 150 Shoreline Drive
	Redwood City, CA 94065
<b>A</b> .	corporation of the state of <u>Delaware</u> .
(here	einafter designated as the "Assignee"),
	the above-identified invention by previous assignment (attached hereto) to Assignee Patent Office and I confirm I have and do assign and transfer:
or	
For good and valuable consideration, the su and transferred to Assignee, such assignment being to	fficiency of which is acknowledged, I hereby assign and transfer and/or have assigned nade effective as of the date of signature below:
and the right to claim priority to the Application, all i States, European Patent Office and of all other coun- inventions or discoveries and all rights in such App thereof, and to all Letters Patent that may be grant protection certificates, reexaminations, renewals, an	under the Application, including all priority rights for other countries arising therefrom inventions or discoveries therein disclosed, and any and all Letters Patent of the United tries, which may be granted for such inventions or discoveries, or any of them, all such lication including any and all provisionals, substitutions, divisions, and continuations ted for said inventions and discoveries, and in and to all extensions, supplementary d reissues thereof, to be held and enjoyed by Assignee for its own use and enjoyment etters Patent may be granted, as fully and entirely as the same would have been held been made.
Patent Office, any other patent offices, and under the thereof, any reexamination of any of such application	ction with the Application in the United States Patent and Trademark Office, European the Patent Cooperation Treaty, and any continuing, divisional, or reissue applications as, and any patent term extensions or supplementary protection certificates of any such ents in connection with such applications as the Assignee may deem necessary or
	1

I shall execute all papers necessary in connection with any litigation or any other judicial proceeding in the United States or other country, or any administrative proceeding in the United States Patent and Trademark Office, European Patent Office, any other patent office, or under the Patent Cooperation Treaty concerning the Application(s) or any continuation, divisional, or reissue applications thereof, or any reexamination of any such applications, or any Letters Patent issued therefrom or any patent term extensions or supplementary protection certificates of any such applications and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such litigation or proceeding.

I shall execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

I shall do all other acts which, in the opinion of Assignee, may be necessary or desirable to secure the grant of Letters Patent to Assignee or its nominees, in the United States, by the European Patent Office and in all other countries where Assignee may desire to have such inventions or discoveries, or any of them, patented, with specifications and claims in such form as shall be approved by Assignee and to vest and confirm in Assignee or its nominees the full and complete legal and equitable title to all such Letters Patent.

I hereby (i) authorize and request the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from the Application or any divisional, continuation, or reissue applications thereof, and any reexamination of any of such applications, to Assignee, and (ii) covenant that I have full right to convey the interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

I hereby grant the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to obtain legal recordation of this document.

Charles J Scheib Charles J Scheib (Nov 4, 2020 12:40 EST)	Nov 4, 2020
Signature	Date

# AUR6193USNP5 | DECLARATION-ASSIGNME NT

Final Audit Report

2020-11-04

Created:

2020-11-02

Ву:

Tricia Piening (tplening@ITS.JNJ.com)

Status:

Signed

Transaction ID:

CBJCHBCAABAAYy7r9kDu8YH3zXWnZoSuxD8Koaitz4mn

### "AUR6193USNP5 | DECLARATION-ASSIGNMENT" History

- Document created by Tricia Piening (tpiening@ITS.JNJ.com) 2020-11-02 6:39:32 PM GMT
- Document emailed to Charles J Scheib (cscheib@its.jnj.com) for signature 2020-11-02 6:40:12 PM GMT
- Email viewed by Charles J Scheib (cscheib@its.jnj.com) 2020-11-04 5:39:56 PM GMT- IP address: 74.83,43,148
- Document e-signed by Charles J Scheib (cscheib@its.jnj.com)

  Signature Date: 2020-11-04 5:40:16 PM GMT Time Source: server- IP address: 74.83.43.148
- Agreement completed.

Yohnson-Yohnson

Adobe Sign

Attorney Docket No.: AUR6193USNP5

	US Application No.: 17/077,250
COMBINED	DECLARATION AND ASSIGNMENT
Title of Invention: <u>ULTRASONIC SURGICAL</u>	INSTRUMENT WITH A CARRIER KART AND REUSABLE STAGE
This declaration and assignment is directed to:	The attached or filed herewith application of (list of named inventors),
or ·	
	The United States application or PCT international application number 17/077,250 filed on October 22, 2020.
Declaration	
As the below named inventor, I hereby decl	are that:
The above-identified application ("Applicat	ion") was made or authorized by me.
I believe that I am the original inventor or a	n original inventor of a claimed invention or discovery in the Application.
	nts of the Application, including the claims, and I acknowledge the duty to disclose ned in Title 37, the United States Code of Federal Regulations, §1.56 for filings of this
	statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or for filings of this Application in the United States of America.
Assignment	
Ac	Auris Health, Inc. 150 Shoreline Drive Redwood City, CA 94065 corporation of the state of <u>Delaware</u> .
(here	inafter designated as the "Assignee"),
	the above-identified invention by previous assignment (attached hereto) to Assignee Patent Office and I confirm I have and do assign and transfer:
or	
For good and valuable consideration, the sul and transferred to Assignee, such assignment being n	fficiency of which is acknowledged, I hereby assign and transfer and/or have assigned nade effective as of the date of signature below:
and the right to claim priority to the Application, all in States, European Patent Office and of all other count inventions or discoveries and all rights in such Appl thereof, and to all Letters Patent that may be grant protection certificates, reexaminations, renewals, and	inder the Application, including all priority rights for other countries arising therefrom inventions or discoveries therein disclosed, and any and all Letters Patent of the United ries, which may be granted for such inventions or discoveries, or any of them, all such ication including any and all provisionals, substitutions, divisions, and continuations and for said inventions and discoveries, and in and to all extensions, supplementary I reissues thereof, to be held and enjoyed by Assignee for its own use and enjoyment etters Patent may be granted, as fully and entirely as the same would have been held been made.
Patent Office, any other patent offices, and under the thereof, any reexamination of any of such application	ction with the Application in the United States Patent and Trademark Office, European the Patent Cooperation Treaty, and any continuing, divisional, or reissue applications s, and any patent term extensions or supplementary protection certificates of any such and in connection with such applications as the Assignee may deem necessary or

1

I shall execute all papers necessary in connection with any litigation or any other judicial proceeding in the United States or other country, or any administrative proceeding in the United States Patent and Trademark Office, European Patent Office, any other patent office, or under the Patent Cooperation Treaty concerning the Application(s) or any continuation, divisional, or reissue applications thereof, or any reexamination of any such applications, or any Letters Patent issued therefrom or any patent term extensions or supplementary protection certificates of any such applications and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such litigation or proceeding.

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I hereby (i) authorize and request the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from the Application or any divisional, continuation, or reissue applications thereof, and any reexamination of any of such applications, to Assignee, and (ii) covenant that I have full right to convey the interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

I hereby grant the attorney of record the power to insert on this assignment any further identification which may be necessary or desirable in order to obtain legal recordation of this document.

Matthew T Stone
Matthew T Stone (1869-30, 2020 08:49 EST)

Signature

Nov 30, 2020

Date

# AUR6193USNP5 | DECLARATION-ASSIGNME NT

Final Audit Report

2020-11-30

Created:

2020-11-02

By:

Tricia Piening (tpiening@ITS.JNJ.com)

Status:

Signed

Transaction ID:

CBJCHBCAABAAImUJeh\_NzBnHG3MdRgytlhl437q3ubPR

## "AUR6193USNP5 | DECLARATION-ASSIGNMENT" History

- Document created by Tricia Piening (tplening@ITS.JNJ.com) 2020-11-02 6:39:32 PM GMT
- Document emailed to Matthew T Stone (mstone2@its.jnj.com) for signature 2020-11-02 6:40:12 PM GMT
- Email viewed by Matthew T Stone (mstone2@its.jnj.com) 2020-11-30 1:49:36 PM GMT- IP address: 208.102.241.128
- Document e-signed by Matthew T Stone (mstone2@its.jnj.com)

  Signature Date: 2020-11-30 1:49:52 PM GMT Time Source: server- IP address: 208.102,241.128
- Agreement completed.

Johnson-Johnson

RECORDED: 09/02/2021

Adobe Sign