

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT6903796

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
GERALD R. CRABTREE	11/15/2020
EMMA J. CHORY	11/17/2020
EMILY C. DYKHUIZEN	11/17/2020
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	THE BOARD OF TRUSTEES OF LELAND STANFORD JUNIOR UNIVERSITY
<b>Street Address:</b>	OFFICE OF THE GENERAL COUNSEL, BUILDING 170
<b>Internal Address:</b>	3RD FLOOR, MAIN QUAD, P.O. BOX 20386
<b>City:</b>	STANFORD
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94305-2038
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	16635542
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(650)327-3231
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	6503273400
<b>Email:</b>	turner@bozpat.com
<b>Correspondent Name:</b>	BRET E. FIELD
<b>Address Line 1:</b>	201 REDWOOD SHORES PARKWAY
<b>Address Line 2:</b>	SUITE 200
<b>Address Line 4:</b>	REDWOOD CITY, CALIFORNIA 94065
<b>ATTORNEY DOCKET NUMBER:</b>	STAN-1469
<b>NAME OF SUBMITTER:</b>	BRET E. FIELD
<b>SIGNATURE:</b>	/Bret E. Field, Reg. No. 37,620/
<b>DATE SIGNED:</b>	09/07/2021
<b>Total Attachments: 8</b>	
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Dykhuizen#page1.tif

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COMBINED ASSIGNMENT AND DECLARATION

Attorney Docket No.: STAN-1469  
Stanford Docket No.: S17-337

WHEREAS, Emma J. Chory of Menlo Park, California, Emily C. Dykhuizen of West Lafayette, Indiana, /are the inventor(s) of an invention entitled "BAF Complex Modulating Compounds and Methods of Using the Same" that is the subject matter of: (check all that apply)

- ☒ a provisional application for Letters Patent which is identifiable in the United States Patent and Trademark Office by Application No. 62/697,033 filed on July 12, 2018;
- ☒ a provisional application for Letters Patent which is identifiable in the United States Patent and Trademark Office by Application No. 62/558,784 filed on September 14, 2017;
- ☒ an application for Letters Patent which is identifiable in the United States Patent and Trademark Office by Application No. 16/635,542 filed on January 30, 2020 and/or executed on even date herewith; and
- ☒ an international application for Letters Patent filed pursuant to the Patent Cooperation Treaty which is identifiable in the United States Patent and Trademark Office by Application No. PCTUS2018/050888 filed on September 13, 2018 and/or executed on even date herewith;

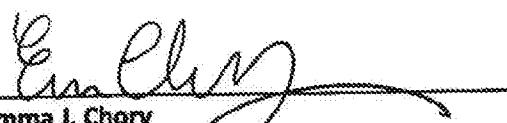
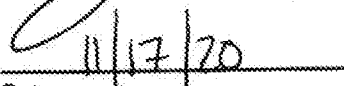
WHEREAS, The Board of Trustees of the Leland Stanford Junior University, a trust with corporate powers under the laws of the State of California and the United States of America, and having offices at Building 170, Third Floor, Main Quad, P.O. Box 20386, Stanford, CA 94305-2038 USA, ("Assignee") is desirous of acquiring the entire right, title, and interest in and to the invention, the applications, and any and all Letters Patent or similar foreign or domestic legal protection;

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I transfer to Assignee, its successors and assigns, my entire right, title, and interest in and to the invention, the above-identified applications, the right to claim priority, all provisional applications from which any of the above-identified applications claim priority, corresponding domestic and foreign applications, any continuation, division, renewal, or substitute for the applications, all Letters Patent, any reissue, re-examination, or similar legal protection issuing related to the Letters Patent, and all rights and benefits under any applicable treaty or convention; and I authorize the Director of the United States Patent and Trademark Office or foreign equivalent to issue the Letters Patent or similar legal protection to the Assignee.

I authorize the Assignee, its successors and assigns, to insert in this instrument the filing date(s) and application numbers when ascertained. I further authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all foreign countries, and appoint Assignee the common representative in the above identified international application and any international application for the invention.

I represent to the Assignee, its successors, and assigns, that I have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. I, my executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute and deliver to Assignee or its legal representatives such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the invention in any and all countries, including without limitation providing testimony in any related interference, litigation, or proceeding.

The above-identified application was made or authorized to be made by me. I believe that I am the original inventor or an original joint inventor of a claimed invention in the application. I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both. I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

  
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Emma J. Chory  
  
\_\_\_\_\_  
Date  
Date

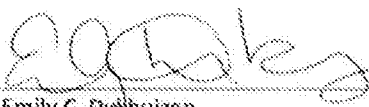
\_\_\_\_\_  
Emily C. Dykhuizen

\_\_\_\_\_  
Date

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Emma J. Chory

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Date  
Date

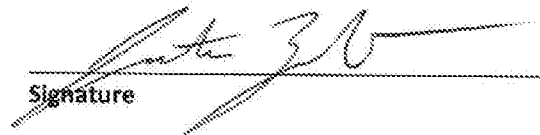
  
\_\_\_\_\_  
Emily C. Dykhuizen

11/17/20  
Date

Attorney Docket No.: STAN-1469  
Stanford Docket No.: S17-337

SIGNED FOR AND ON BEHALF OF THE ASSIGNEE,  
The Board of Trustees of The Leland Stanford Junior University

November 24, 2020  
Date

  
Signature

Justin Zahrt  
Name

Assistant Director, Intellectual Property  
Position



STANFORD UNIVERSITY • OFFICE OF TECHNOLOGY LICENSING

3000 EL CAMINO REAL • BUILDING FIVE SUITE 300 • PALO ALTO CA 94306-2100  
(650) 723-0651 • FAX (650) 725-7295

KARIN H. IMMERGLUCK  
EXECUTIVE DIRECTOR  
OFFICE OF TECHNOLOGY LICENSING

TO: Justin Zahrt

FROM: Karin Immergluck  
Executive Director

DATE: March 9, 2020

RE: DELEGATION OF SIGNATURE AUTHORITY: Assistant Director, Intellectual  
Property, Office of Technology Licensing

Pursuant to the Delegation of Signature Authority from the Vice Provost and Dean of Research to me as Executive Director by memorandum dated June 4, 2018, I hereby further delegate Signature Authority for transactions specified below to the Assistant Director, Intellectual Property. The Assistant Director, Intellectual Property, has the authority to sign documents or approve transactions relating to the filing, prosecution, registration and maintenance of intellectual property assets of Stanford University, including patent applications, patents, copyright works, and trademarks. This authority includes the powers to sign and certify official intellectual property-related government and patent office documents, including power of attorney documents and intellectual property assignment documents on behalf of the University as the assignee.

Even though the authority may exist to sign documents, custom, sound business practice and judgement, as well as the involvement of any novel legal issues or potentially significant legal exposure, should result in bringing matters of significant policy, budget, or legal impact to the attention of the Executive Director, and in the case of legal impact, to the Office of General Counsel, for approval. The authority granted here is not a substitute for compliance with existing University policies.

This Signature Authority may not be further delegated without the approval and further delegation from the Executive Director.

All delegations of Signature Authority must be filed with the Vice Provost and Dean of Research and the Office of General Counsel.

Karin H. Immergluck  
Executive Director  
Office of Technology Licensing

## PATENT ASSIGNMENT

Attorney Docket Nos.: STAN-1469

Stanford Docket No.: S17-337

WHEREAS, **Howard Hughes Medical Institute** ("Assignor"), having its principal place of business at **4000 Jones Bridge Road, Chevy Chase, Maryland, 20815-6789, U.S.**, holds the entire right, title, and interest in and to an invention entitled "BAF Complex Modulating Compounds and Methods of Using the Same" ("Invention"), the applications, and any and all Letters Patent or similar foreign or domestic legal protection of the Invention that are the subject matter of: (check all that apply)

- ☒ a provisional application for Letters Patent which is identifiable in the United States Patent and Trademark Office by Application No. 62/697,033 filed on July 12, 2018;
- ☒ a provisional application for Letters Patent which is identifiable in the United States Patent and Trademark Office by Application No. 62/558,784 filed on September 14, 2017;
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- ☒ an international application for Letters Patent filed pursuant to the Patent Cooperation Treaty which is identifiable in the United States Patent and Trademark Office by Application No. PCTUS2018/050888 filed on September 13, 2018 and/or executed on even date herewith;

WHEREAS, **The Board of Trustees of the Leland Stanford Junior University**, a trust with corporate powers under the laws of the State of California and the United States of America, and having offices at **Building 170, Third Floor, Main Quad, P.O. Box 20386, Stanford, CA 94305-2038 USA**, ("Assignee") is desirous of acquiring the entire right, title, and interest in and to the Invention, the applications, and any and all Letters Patent or similar foreign or domestic legal protection;

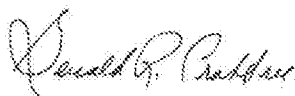
NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, Assignor transfers to Assignee, its successors and assigns, its entire right, title, and interest in and to the Invention, the above-identified applications, the right to claim priority, all provisional applications from which any of the above-identified applications claim priority, corresponding domestic and foreign applications, any continuation, division, renewal, or substitute for the applications, all Letters Patent, any reissue, re-examination, or similar legal protection issuing related to the Letters Patent, and all rights and benefits under any applicable treaty or convention; and Assignor authorizes the Director of the United States Patent and Trademark Office or foreign equivalent to issue the Letters Patent or similar legal protection to the Assignee.

Assignor authorizes Assignee, its successors and assigns, to insert in this instrument the filing date(s) and application numbers when ascertained. Assignor further authorizes Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all foreign countries, and appoint Assignee the common representative in the above identified international application and any international application for the Invention.

Assignor represents to Assignee, its successors, and assigns, that Assignor has not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. Assignor, its executors or administrators, will at any time upon request, without additional consideration, but at the expense of Assignee, its successors and assigns, execute and deliver to Assignee or its legal



representatives such additional writings and do such additional acts as Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the Invention in any and all countries, including without limitation providing testimony in any related interference, litigation, or proceeding.

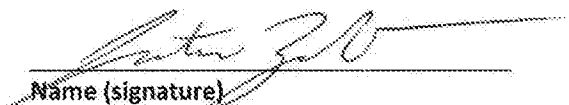


Gerald R. Crabtree, MD  
On behalf of himself and for the Howard Hughes  
Medical Institute as Agent

Title MD

Gerald R Crabtree  
Name (print)

Nov 15, 2020  
Date



Name (signature)  
SIGNED FOR AND ON BEHALF OF THE ASSIGNEE,  
The Board of Trustees of The Leland Stanford Junior  
University

Assistant Director, Intellectual Property  
Title

Justin Zahrt  
Name (print)

November 24, 2020  
Date



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KARIN H. IMMERGLUCK  
EXECUTIVE DIRECTOR  
OFFICE OF TECHNOLOGY LICENSING

TO: Justin Zahrt

FROM: Karin Immergluck  
Executive Director

DATE: March 9, 2020

RE: DELEGATION OF SIGNATURE AUTHORITY: Assistant Director, Intellectual  
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Pursuant to the Delegation of Signature Authority from the Vice Provost and Dean of Research to me as Executive Director by memorandum dated June 4, 2018, I hereby further delegate Signature Authority for transactions specified below to the Assistant Director, Intellectual Property. The Assistant Director, Intellectual Property, has the authority to sign documents or approve transactions relating to the filing, prosecution, registration and maintenance of intellectual property assets of Stanford University, including patent applications, patents, copyright works, and trademarks. This authority includes the powers to sign and certify official intellectual property-related government and patent office documents, including power of attorney documents and intellectual property assignment documents on behalf of the University as the assignee.

Even though the authority may exist to sign documents, custom, sound business practice and judgement, as well as the involvement of any novel legal issues or potentially significant legal exposure, should result in bringing matters of significant policy, budget, or legal impact to the attention of the Executive Director, and in the case of legal impact, to the Office of General Counsel, for approval. The authority granted here is not a substitute for compliance with existing University policies.

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All delegations of Signature Authority must be filed with the Vice Provost and Dean of Research and the Office of General Counsel.

Karin H. Immergluck  
Executive Director  
Office of Technology Licensing

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\* otl.stanford.edu \*