

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6919228

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
EUGENE J VAN SCOTT	12/07/2016
RUEY J YU	12/08/2016
RECEIVING PARTY DATA	
Name:	NEOSTRATA COMPANY, INC.
Street Address:	307 COLLEGE ROAD EAST
City:	PRINCETON
State/Country:	NEW JERSEY
Postal Code:	08540
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16845513
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Email:	liz.seggern@icemiller.com
Correspondent Name:	ICE MILLER LLP
Address Line 1:	1735 MARKET STREET
Address Line 2:	SUITE 3450
Address Line 4:	PHILADELPHIA, PENNSYLVANIA 19103
ATTORNEY DOCKET NUMBER:	004852.11651/29US8
NAME OF SUBMITTER:	LIZ SEGGERN
SIGNATURE:	/Liz Seggern/
DATE SIGNED:	09/16/2021
Total Attachments: 5	
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PATENT ASSIGNMENT AND EXCLUSIVE LICENSE BACK TO INVENTORS

THIS ASSIGNMENT, entered into and effective as of the 18th day of NOVEMBER, 2016 (the "Effective Date") by and between Eugene J. Van Scott, M.D. and Ruey J. Yu, OMD, Ph.D. (hereinafter referred to as "INVENTORS" and each, an "INVENTOR") and NEOSTRATA COMPANY, INC., a Delaware corporation having a place of business at 307 College Road East, Princeton, NJ 08540 (hereinafter referred to as "ASSIGNEE");

RECITALS

WHEREAS, INVENTORS own certain patents and patent applications listed on attached Schedule 1.1; and

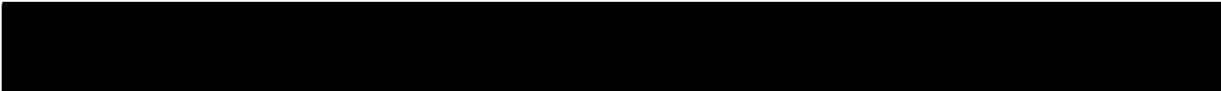
WHEREAS, INVENTORS do hereby desire to assign all right, title and interest in and to the Assigned Patents (as defined below) to ASSIGNEE; and

WHEREAS, ASSIGNEE agrees to so enter into this Agreement and receive the Assigned Patents and grant back to INVENTORS an exclusive license to the Assigned Patents outside the Field (as defined below);

NOW, THEREFORE, for the consideration described herein and other good and valuable consideration, and in consideration of the foregoing premises and of the mutual covenants, promises and agreements set forth herein, INVENTORS and ASSIGNEE, intending to be legally bound, hereby mutually agree as follows:

ARTICLE I – DEFINITIONS


1.1 "Assigned Patents" shall mean the patents and patent applications listed on Schedule 1.1 hereto, whether issued or pending, and all divisionals, continuations, continuations-in-part, reissues, reexaminations and all foreign corresponding patents and patent applications anywhere in the world, including all trade secrets and confidential know-how related to any of the foregoing.

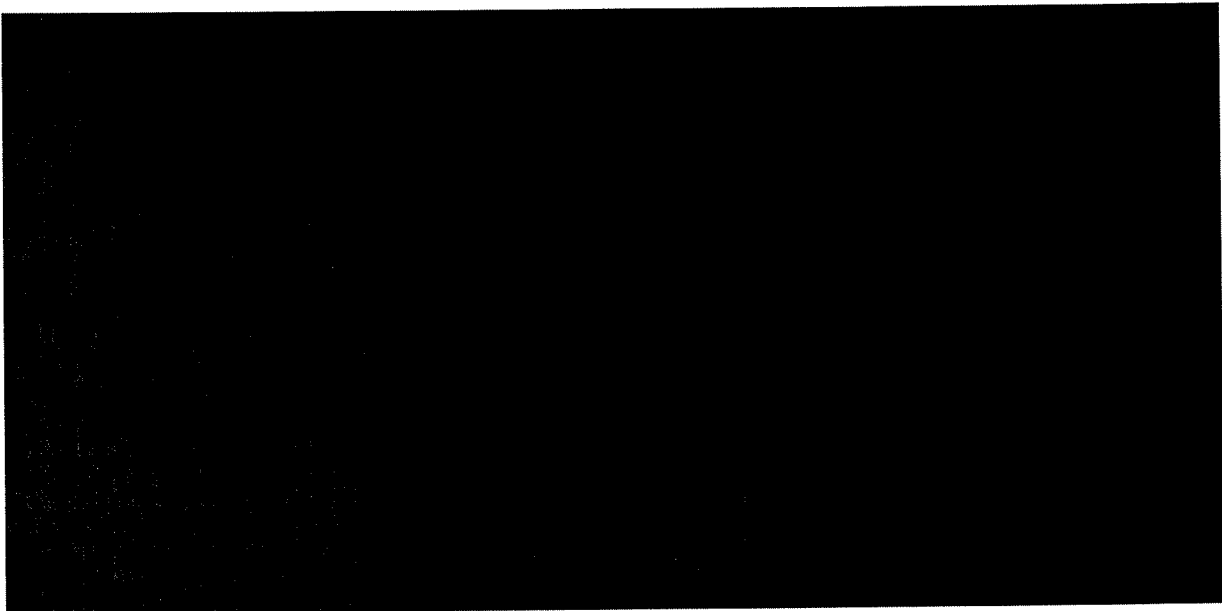


ARTICLE II – ASSIGNMENT; EXCLUSIVE LICENSE BACK

2.1 INVENTORS hereby sell and assign all right, title and interest to the Assigned Patents to ASSIGNEE, including the right to sue for any past, present or future infringement, in exchange for ASSIGNEE's agreement to prosecute and maintain, at ASSIGNEE's sole cost and expense, the Assigned Patents in the Field.

2.2 ASSIGNEE grants to INVENTORS an exclusive (even as to ASSIGNEE), worldwide, irrevocable, fully paid up, perpetual and royalty free license to fully exploit in INVENTORS' discretion, including the right to sublicense, the Licensed Patent Rights outside the Field.





ARTICLE III - CONSIDERATION

3.1 In consideration for the rights granted pursuant to this Agreement, INVENTORS acknowledge that they have received adequate and valuable consideration from ASSIGNEE.

ARTICLE IV - APPLICABLE LAW; JURISDICTION; SUCCESSORS & ASSIGNS

4.1 All matters affecting the interpretation, validity, and performance of this Agreement shall be governed by the laws of the State of Delaware without regard to its conflict of law principles and, where applicable, the patent laws of the United States of America in force at the time this Agreement was entered into.

4.2 The United States District Court for the District of Delaware, if a basis for Federal court jurisdiction is present, and otherwise a state court of the State of Delaware, shall have exclusive jurisdiction and venue over any dispute arising under or relating to this Agreement.

4.3 This Agreement is binding on the parties' heirs, executors, successors and assigns.

[Signature Page Follows]

IN WITNESS WHEREOF, the parties have duly executed this Agreement as of the date first written above.

INVENTORS:


EUGENE J. VAN SCOTT, M.D.

Date: 12/07/16

ASSIGNEE:

NEOSTRATA COMPANY, INC.

By 

Name: Mark D. Steele

Title: President

Date: 11/29/16

RUEY J. YU, OMD, Ph.D.

Date: _____

IN WITNESS WHEREOF, the parties have duly executed this Agreement as of the date first written above.

INVENTORS:

ASSIGNEE:

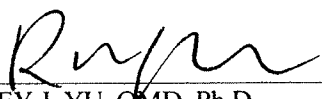
NEOSTRATA COMPANY, INC.

EUGENE J. VAN SCOTT, M.D.

By _____
Name: Mark D. Steele
Title: President

Date: _____

Date: _____



RUEY J. YU, OMD, Ph.D.

Date: 12/8/16

SCHEDULE 1.1

D Series

- 1 U.S. Patent 9,067,969 ("N-Acyldipeptide Derivatives and their uses")
 - 2 U.S. Patent 9,370,546 ("N-Acyldipeptide Derivatives and their uses")
 3. U.S. Patent Publication No. 2014/0303080 ("N-Acyldipeptide Derivatives and their uses")
 - 4 U.S. Patent Publication No. 2014/0349920 ("N-Acylpeptide Derivatives and their uses")
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