506874936 09/17/2021

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6921757

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	

#### **CONVEYING PARTY DATA**

Name	Execution Date
ALFREDO SEBASTIAN ACHILLES	09/14/2021
DUSTIN HOWARD	09/14/2021
LING XU	09/15/2021

# **RECEIVING PARTY DATA**

Name:	GENERAL ELECTRIC COMPANY	
Street Address:	1 RIVER ROAD	
City:	SCHENECTADY	
State/Country:	NEW YORK	
Postal Code:	12345	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number		
Application Number:	17477781		

# CORRESPONDENCE DATA

**Fax Number:** (864)233-7342

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 8642711592

Email: usdocketing@dority-manning.com, aschaible@dority-manning.com
Correspondent Name: DORITY & MANNING, PA AND GENERAL ELECTRIC COMPANY

Address Line 1: POST OFFICE BOX 1449

Address Line 4: GREENVILLE, SOUTH CAROLINA 29602

ATTORNEY DOCKET NUMBER:	604500-US-1/GECW-1115	
NAME OF SUBMITTER:	MAEGEN W. STOKES	
SIGNATURE:	/Maegen W. Stokes/	
DATE SIGNED:	09/17/2021	

# **Total Attachments: 5**

source=604500-US-1\_True\_and\_Accurate\_Copy\_Dec\_and\_Assignment\_GECW-1115\_17SEP2021#page1.tif source=604500-US-1\_True\_and\_Accurate\_Copy\_Dec\_and\_Assignment\_GECW-1115\_17SEP2021#page2.tif source=604500-US-1\_True\_and\_Accurate\_Copy\_Dec\_and\_Assignment\_GECW-1115\_17SEP2021#page3.tif source=604500-US-1\_True\_and\_Accurate\_Copy\_Dec\_and\_Assignment\_GECW-1115\_17SEP2021#page4.tif

PATENT 506874936 REEL: 057512 FRAME: 0292

source=604500-US-1\_True\_and\_Accurate\_Copy\_Dec\_and\_Assignment\_GECW-1115\_17SEP2021#page5.tif

PATENT REEL: 057512 FRAME: 0293

#### COMBINED DECLARATION AND GLOBAL ASSIGNMENT

### FOR UTILITY OR DESIGN PATENT APPLICATION

As the below named inventor(s), I/we hereby declare that:

This declaration and assignment are directed to:

# SYSTEM AND METHOD FOR CONVERTER CONTROL OF AN INVERTER-BASED RESOURCE

	the specification of which is attached hereto OR
X	United States application or PCT international application number
	<u>17/477,781</u> filed on <u>September 17, 2021</u> , as
	amended on [date] (if applicable).
	(We hereby authorize and request the Company or its delegated attorneys or agents to
	insert above the application number and filing date of the application when known.)

The above-identified application was made or authorized to be made by us.

We believe that we are original joint inventors of a claimed invention in the application.

We hereby acknowledge that any willful false statement made in this declaration is punishable under 18 USC § 1001 by fine or imprisonment of not more than five (5) years, or both.

We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this application as defined in 37 CFR § 1.56, including, for continuation-in-part applications, material information which became available between the filing date of the prior application and the filing date of the continuation-in-part application.

In accordance with our obligation(s) under an Employee Innovation and Proprietary Information Agreement or (as applicable) arising out of other agreements (such as, but not limited to, the Services Agreement between General Electric Company, a New York corporation, and our employers), and/or for other good and valuable consideration of which we acknowledge receipt, I/we,

Inventor First Name	Inventor Middle Name	Inventor Last Name		Residence Town	Residence State	Residence Country
Alfredo	Sebastian	Achilles	of	Niskayuna	New York	USA
Dustin		Howard	of	Brookhaven	Georgia	USA
Ling		Xu	of	Clifton Park	New York	USA

hereby sell and assign to:

1

Name of Company: General Electric Company		
Entity Type (optional): New York corporation		
Address: 1 River Road, Schenectady, New York 12345 USA		

herein referred to as "Company", its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements made or conceived by us described in the application(s) listed above and in the following table:

Country of Filing	Type of Filing	Application Number	Filing Date	Title

(We hereby authorize and request the Company or its delegated attorneys or agents to insert above the application number and filing date of the application(s) when known.)

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, continuations-in-part, reexaminations, renewals, and reissues thereof. and all rights of priority resulting from the filing of the application(s), and authorize and request any official whose duty it is to issue patents, to issue any patent on the inventions and improvements resulting therefrom to the Company, or its successors or assigns, and agree that on request and without further consideration, we will communicate to the Company or its representatives or nominees any facts known to us respecting the inventions and improvements and testify in any legal proceeding, make all rightful oaths, sign all lawful papers and execute all instruments or documents required or requested for the making and prosecution of any applications of any type for patent, utility model, or other similar rights in all countries including. but not limited to, any provisional, non-provisional, continuation, continuation-in-part, divisional, renewal or substitute thereof, for any derivation proceedings relating thereto, and, as to any patents that issue from such applications, for any supplemental examination, derivation proceeding, opposition, post grant review, reissue, re-examination, inter partes review, or extension thereof, and generally do everything possible to aid the Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for the invention and its improvements in all countries.

Remuneration claims of the inventor(s)/assignor(s) potentially arising from German Law on Employee Inventions, or any other respective country law, remain unaffected by this assignment.

INVENTOR 1	
Signature: Affreign Sebastian Achilles	Date: <u>9/14/2021</u>
Witnessed by Signature	Date: <u>9,14,21</u>
Paul Dafka Printed Name of Witness	
Witnessed by Signature	Date: 9/16/2021
Bishnu Sapkota Printed Name of Witness	
SECTION BELOW IS FOR USE ONLY IF SIG	NING IN PRESENCE OF A NOTARY
Alfredo Sebastian Achilles	Date:
STATE OF	
COUNTY OF	
This day of	before me personally came the above-named nown as the individual who executed the foregoing the executed the same of his/her own free will for
Seal	
	(Notary Public)

INVENTOR 2	· / · / · ·
Signature: 1/25   Day   Dustin Howard	Date: 4/14/21
Witnessed by: Minyue Ma Signature	Date: 9/14/2021
Minyue Ma	
Printed Name of Witness	
Witnessed by: <u>Ignacio Vieto</u>	Date: 9/14/2021
Signature	
Ignacio Vieto	
SECTION BELOW IS FOR USE ONLY IF SIG	
	NING IN PRESENCE OF A NOTARY
Dustin Howard STATE OF	
Dustin Howard	
Dustin Howard STATE OF ss.	ate:
Dustin Howard  STATE OF  SS.  COUNTY OF  This day of,  Dustin Howard, to me personally known as the ir who acknowledged to me that he/she executed the country is a second of the country of the countr	ate:

4

GE Docket No. 604500-US-1/GECW-1115	
INVENTOR 3	
Signature: Ling Xu	Date: 9/15/21
Witnessed by: Signature	Date: <u>09/15/2021</u>
Slobodan Pajic	
Printed Name of Witness	
Witnessed by:	Date: 09/15/2021
Yingying Wang	
Printed Name of Witness  SECTION BELOW IS FOR USE ONLY IF S	
Ling Xu	Date:
STATE OF	
SS. COUNTY OF	
	before me personally came the above-named idual who executed the foregoing assignment, who same of his/her own free will for the purposes therein
Seal	
	(Notary Public)

5

PATENT REEL: 057512 FRAME: 0298