

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT6942711

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
STEVEN ROCKMAN	01/26/2021
JESSE BODLE	02/02/2021
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	CSL LTD.
<b>Street Address:</b>	45 POPLAR ROAD
<b>City:</b>	PARKVILLE, VICTORIA
<b>State/Country:</b>	AUSTRALIA
<b>Postal Code:</b>	3052
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	17258811
<b>CORRESPONDENCE DATA</b>	
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<b>ATTORNEY DOCKET NUMBER:</b>	15606.0001-00000
<b>NAME OF SUBMITTER:</b>	PAMELA A. GEBHARDTSBAUER
<b>SIGNATURE:</b>	/Pamela A. Gebhardtsbauer/
<b>DATE SIGNED:</b>	09/29/2021
<b>Total Attachments: 4</b>	
source=Assignment - Inventors Rockman, Bodle to CSL#page1.tif	
source=Assignment - Inventors Rockman, Bodle to CSL#page2.tif	
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source=Assignment - Inventors Rockman, Bodle to CSL#page4.tif	

**CONFIRMATORY ASSIGNMENT AND DECLARATION FOR UTILITY PATENT APPLICATION**

WHEREAS I/WE are the below-named inventor(s) (hereinafter referred to as Assignor(s)) of an invention entitled:

Rockman, Steven  
Bodle, Jesse  
Guzzo-Pernell, Nancy

**REMOVAL OF AGGLOMERATES**

as disclosed and claimed in the application identified below this paragraph:

Application Number	Country of Filing	Filing Date
PCT/AU2019/050721	PCT	10-July-2019
2018902497	AU	10-July-2018

WHEREAS, CSL Ltd, a corporation of Australia whose post office address is 45 Poplar Road, Parkville, Victoria, 3052, Australia (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to all applications for patents on this invention in all countries throughout the world, including any PCT International Application and all national stage applications based thereon that may be filed, and all patents issued upon such applications in all countries throughout the world;

NOW THEREFORE, be it known that, for good and valuable consideration the receipt of which from Assignee is hereby acknowledged, and pursuant to our employment agreement with CSL Ltd, I/WE, as Assignor(s), have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my/our entire right, title, and interest in and to this invention, the above-referenced applications that disclose and claim this invention, any PCT International Application and all national stage applications based thereon that may be filed, any other applications for patents filed anywhere in the world that claim this invention, and all divisions, and continuations of any such application, and all patents which may be granted thereon, and all reissues thereof, and all rights to claim priority in any country on the basis of any such applications, and all extensions, renewals, and reissues thereof; and I/WE hereby authorize and request that any official of any country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I/WE covenant that I/WE have the full right to convey the interest assigned by this Assignment, and I/WE have not executed and will not execute any agreement in conflict with this Assignment;

AND, I/WE further covenant and agree that I/WE will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to me/us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I/WE have hereunto set our hands.

**DECLARATION:**

As a named inventor below, I declare that: (1) This declaration is directed to the above-identified application; (2) the above-identified application was made or authorized to be made by me; (3) my residence and mailing address are as stated below next to my name; and (4) I believe I am an original inventor or an original joint inventor of a claimed invention in the application. I acknowledge that any willful false statements made in this declaration are punishable by fine or imprisonment of not more than five (5) years, or both, under section 1001 of Title 18 of the United States Code.

As a below named inventor, I have reviewed and understand the contents of the application, including the claims, and am aware of the duty to disclose to the USPTO all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56.


**ASSIGNOR (Inventor) 1**

\_\_\_\_\_  
Signature

Steven Rockman  
\_\_\_\_\_  
Print Name

\_\_\_\_\_  
Execution Date

**ASSIGNOR (Inventor) 2**

  
\_\_\_\_\_  
Signature

Jesse Bodle  
\_\_\_\_\_  
Print Name

02/02/2021  
\_\_\_\_\_  
Execution Date

Sequus Docket No. SEQ190001

DECLARATION:

As a named inventor below, I declare that: (1) This declaration is directed to the above-identified application; (2) the above-identified application was made or authorized to be made by me; (3) my residence and mailing address are as stated below next to my name; and (4) I believe I am an original inventor or an original joint inventor of a claimed invention in the application. I acknowledge that any willful false statements made in this declaration are punishable by fine or imprisonment of not more than five (5) years, or both, under section 1001 of Title 18 of the United States Code. As a below named inventor, I have reviewed and understand the contents of the application, including the claims, and am aware of the duty to disclose to the USPTO all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56.

ASSIGNOR (Inventor) 1

Signature

Steven Rockman

Print Name

Execution Date

2/11/21

ASSIGNOR (Inventor) 2

Signature

Jesse Bodie

Print Name

Execution Date

**ASSIGNOR (Inventor) 3**

\_\_\_\_\_  
Signature

Nancy GUZZO-PERNELL

Print Name

\_\_\_\_\_  
Execution Date

**Assignee Name:** CSL, Ltd.

Signature: 

Printed Name: Philip Keep

Corporate Title: VP Intellectual Property, Global Legal

Date: 22 JAN 2021