

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
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EPAS ID: PAT6948430

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	DAVID E OLSON	03/11/2021
	FLORENCE F. WAGNER	03/19/2021
RECEIVING PARTY DATA		
Name:	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA CORPORATION	
Street Address:	111 FRANKLIN STREET	
Internal Address:	TWELFTH FLOOR	
City:	OAKLAND	
State/Country:	CALIFORNIA	
Postal Code:	94607	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	17345745
CORRESPONDENCE DATA		
Fax Number:		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Email:	IPDocketingBOS@mintz.com	
Correspondent Name:	ALEXANDER REED TRIMBLE	
Address Line 1:	44 MONTGOMERY STREET, 36TH FLOOR	
Address Line 2:	MINTZ, LEVIN, COHN, FERRIS, GLOVSKY AND	
Address Line 4:	SAN FRANCISCO, CALIFORNIA 94104	
ATTORNEY DOCKET NUMBER:	052564-552C01US	
NAME OF SUBMITTER:	ALEXANDER REED TRIMBLE	
SIGNATURE:	/Alexander Reed Trimble/	
DATE SIGNED:	10/01/2021	
Total Attachments: 1		
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ASSIGNMENTU.C. Case No. UC-2019-561-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. David E. OLSON
3. Florence F. WAGNER

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

AZEPINO-INDOLES AND OTHER HETEROCYCLES FOR TREATING BRAIN DISORDERS

and which is found in

- (a) X U.S. provisional application no. _____ filed on _____ and listing the above named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) _____ U.S. application serial no. _____, filed on _____
- (d) X International Application No.: PCT/US2020/019858, filed on February 26, 2020

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

11th day of March, 202119th day of March, 2021

Signature of Inventor(s)



David E. OLSON



Florence F. WAGNER

Assignee hereby accepts this Assignment:

Date: August 22, 2021

Name: Alex Trimble
 Title: Member, Mintz Levin
Counsel for Assignee

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