

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT6959383

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
IWAO OJIMA	09/14/2020
VINCENT ALFORD	09/16/2020
ANUSHREE KAMATH	07/20/2020
JIAN CAO	07/18/2020
XIADONG REN	09/16/2020
NICOLE S. SAMPSON	09/14/2020
MONAF AWWA	09/28/2021
RECEIVING PARTY DATA	
Name:	THE RESEARCH FOUNDATION FOR THE STATE UNIVERSITY OF NEW YORK
Street Address:	P.O. BOX 9
City:	ALBANY
State/Country:	NEW YORK
Postal Code:	12201
PROPERTY NUMBERS Total: 2	
Property Type	Number
Patent Number:	11040947
Application Number:	17353075
CORRESPONDENCE DATA	
Fax Number:	(516)822-3582
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	5168223550
Email:	sasdoCKET@hbiPLAW.com
Correspondent Name:	HOFFMANN & BARON, LLP
Address Line 1:	6900 JERICHO TPKE SUITE 200
Address Line 4:	SYOSSET, NEW YORK 11787
ATTORNEY DOCKET NUMBER:	178-446 PCT/US & DIV
NAME OF SUBMITTER:	SUSAN A. SIPOS
SIGNATURE:	/susan a. sipos/

DATE SIGNED:	10/08/2021
Total Attachments: 8 source=Executed Assignment as filed 178-446 PCT US DIV#page1.tif source=Executed Assignment as filed 178-446 PCT US DIV#page2.tif source=Executed Assignment as filed 178-446 PCT US DIV#page3.tif source=Executed Assignment as filed 178-446 PCT US DIV#page4.tif source=Executed Assignment as filed 178-446 PCT US DIV#page5.tif source=Executed Assignment as filed 178-446 PCT US DIV#page6.tif source=Executed Assignment as filed 178-446 PCT US DIV#page7.tif source=Executed Assignment as filed 178-446 PCT US DIV#page8.tif	

Attorney's Docket No.: 178-446 PCT/US

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application;
☒ U.S. Provisional Application;
☐ U.S. Patent; or
By: ☒ PCT Application
☒ Inventors or ☐ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

<u>Iwao OJIMA</u> Name	<u>Vincent ALFORD</u> Name	<u>Anushree KAMATH</u> Name
<u>41 Roslyn Court</u> Address	<u>184 Hallock Road, Apt. 6C1</u> Address	<u>3671 252nd PI SE</u> Address
<u>Port Jefferson, NY 11777</u>	<u>Lake Grove, NY 11755</u>	<u>28 Middlesex Circle #9</u> <u>Waltham, MA 02452</u> <u>98029</u>
<u>US</u> Nationality	<u>US</u> Nationality	<u>IN</u> Nationality
<u>Jian CAO</u> Name	<u>Xiadong REN</u> Name	<u>Nicole SAMPSON</u> Name
<u>37 Long Meadow Place</u> Address	<u>14 Evelyn Road</u> Address	<u>9 Shaker Hollow Road</u> Address
<u>S. Setauket, NY 11720</u>	<u>Port Jefferson Station, NY 11776</u>	<u>Setauket, NY 11733</u>
<u>US</u> Nationality	<u>CN</u> Nationality	<u>US</u> Nationality

Monaf AWWA

Name

33 Luber Street
Address

Stony Brook, NY 11790

US

Nationality

Monaf Awwa

Assignment of Invention - Page 1 of 4

PATENT

REEL: 057738 FRAME: 0309

Attorney's Docket No.: 178-446 PCT/US

PATENT

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application;
☒ U.S. Provisional Application;
☐ U.S. Patent; or
☒ PCT Application
By: ☒ Inventors or ☐ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration.

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Iwao OJIMA Name 41 Roslyn Court Address Port Jefferson, NY 11777 US Nationality	Vincent ALFORD Name 114 Harbor Road, Apt. 501 Address Sunnyvale, CA 94086 US Nationality	Amushree KAMATH Name 28 Middlesex Circle #2 Address Waltham, MA 02452 IN Nationality
Jian CAO Name 37 Long Meadow Place Address S. Setauket, NY 11720 US Nationality	Xiadong REN Name 14 Evelyn Road Address Port Jefferson Station, NY 11776 CN Nationality	Nicole SAMPSON Name 9 Shaker Hollow Road Address Setauket, NY 11733 US Nationality

Monaf AWWA
Name
33 Luber Street
Address
Stony Brook, NY 11790
US
Nationality

Assignment of Invention - Page 1 of 4

PATENT

REEL: 057738 FRAME: 0310

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____

Reel _____
Frame _____

hereby sells, assigns and transfers to

ASSIGNEE:

THE RESEARCH FOUNDATION FOR THE
STATE UNIVERSITY OF NEW YORK
(Type or print name of ASSIGNEE)

P.O. BOX 9
Address

Albany, New York 12201

US
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:

MATRIX METALLOPROTEINASE-9 HEMOPEXIN DOMAIN
INHIBITORS AND METHODS OF TREATMENT USING SAME

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. Provisional Application No. _____ filed on _____ and U.S. Provisional Application
No. _____ filed on _____
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR
signing below, hereby authorize and request my attorney to insert below the filing
date and application number when they become known
(d) ☒ U.S. application Serial No. 16/494,984 filed on September 17, 2019

Assignment of Invention - Page 2 of 4

- (e) ☐ International application No. _____
(f) ☐ U.S. Patent No. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date: X 9/14/2020
Date of signing

X [Signature]
Iwao OJIMA

Date: X _____
Date of signing

X _____
Vincent ALFORD

Date: X _____
Date of signing

X _____
Anushree KAMATH

Date: X _____
Date of signing

X [Signature]
Jian CAO

Date: X _____
Date of signing

X _____
Xiadong REN

14 Sep 2020

[Signature]
Nicole S Sampson

Assignment of Invention -- Page 3 of 4

- (e) ☐ International application No. _____
(f) ☐ U.S. Patent No. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date: X _____
Date of signing

Date: X 09/06/2020
Date of signing

Date: X _____
Date of signing

Date: X _____
Date of signing

Date: X _____
Date of signing

X _____
Iwao OJIMA

X Vincent Alford
Vincent ALFORD

X _____
Anushree KAMATH

X Jian Cao
Jian CAO

X _____
Xiadong REN

Nicole S Sampson

Nicole S Sampson

Assignment of Invention - Page 3 of 4

14 Sep 2020

- (e) ☐ International application No. _____
(f) ☐ U.S. Patent No. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date: X
Date of signing

X
Iwao OJIMA

Date: X
Date of signing

X
Vincent ALFORD

Date: X July 20, 2020
Date of signing

X Akamath
Anushree KAMATH

Date: X
Date of signing

X
Jian CAO

Date: X
Date of signing

X
Xiadong REN

- (e) ☐ International application No. _____
(f) ☐ U.S. Patent No. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.
(g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.


Date: <u>X</u> _____ Date of signing	<u>X</u> _____ Iwao OJIMA
Date: <u>X</u> _____ Date of signing	<u>X</u> _____ Vincent ALFORD
Date: <u>X</u> _____ Date of signing	<u>X</u> _____ Anushree KAMATH
Date: <u>X</u> 7/13/2020 Date of signing	<u>X</u> <i>Jian</i> _____ Jian CAO
Date: <u>X</u> 9/16/2020 Date of signing	<u>X</u> <i>Xiaodong Ren</i> _____ Xiaodong REN

Assignment of Invention - Page 3 of 4

Date: X
Date of signing

X
Nicole SAMPSON

Date: X 09/28/2021
Date of signing

X 
Monaf AWWA

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

2116002.1