

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT6960158

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
CHENG ZHANG	04/17/2020
XIAODI HOU	04/17/2020
SVEN KRATZ	04/19/2020
RECEIVING PARTY DATA	
Name:	TUSIMPLE, INC.
Street Address:	9191 TOWNE CENTRE DRIVE
Internal Address:	SUITE 600
City:	SAN DIEGO
State/Country:	CALIFORNIA
Postal Code:	92122
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	17497283
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Email:	mbright@perkinscoie.com
Correspondent Name:	VINAY SATHE
Address Line 1:	PERKINS COIE LLP
Address Line 2:	PO BOX 1247
Address Line 4:	SEATTLE, WASHINGTON 98111-1247
ATTORNEY DOCKET NUMBER:	128000-8058.US02
NAME OF SUBMITTER:	MEGHAN BRIGHT
SIGNATURE:	/Meghan Bright/
DATE SIGNED:	10/08/2021
	This document serves as an Oath/Declaration (37 CFR 1.63).
Total Attachments: 9	
source=Executed Assign-Decs (from parent)#page1.tif	

source=Executed Assign-Decs (from parent)#page2.tif
source=Executed Assign-Decs (from parent)#page3.tif
source=Executed Assign-Decs (from parent)#page4.tif
source=Executed Assign-Decs (from parent)#page5.tif
source=Executed Assign-Decs (from parent)#page6.tif
source=Executed Assign-Decs (from parent)#page7.tif
source=Executed Assign-Decs (from parent)#page8.tif
source=Executed Assign-Decs (from parent)#page9.tif

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Applicant(s): TuSimple, Inc.

Inventor(s): Cheng ZHANG, et al.

Application No.:

Confirmation No.:

Filing Date: Herewith

Title: AUDITORY ASSISTANT MODULE FOR
AUTONOMOUS VEHICLES

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

DECLARATION AND ASSIGNMENT BY INVENTOR
UNDER RULE 37 C.F.R. § 1.63

I, Cheng ZHANG, of San Diego, California, hereby declare that:

I believe I am the sole original inventor or an original joint inventor of a claimed invention in a patent application entitled:

AUDITORY ASSISTANT MODULE FOR AUTONOMOUS VEHICLES

which is attached hereto and identified as Attorney Docket No. 128000-8058.US01, and which claims the benefit of priority of U.S. Provisional Patent Application No. 62/839,477, filed on April 26, 2019.

I believe the following persons are original joint inventors of the above-identified patent application(s):

Xiaodi HOU, of San Diego, California; and
Sven KRATZ, of San Diego, California.

The above-identified application was made or authorized to be made by me.

I have reviewed and understand the contents of the above-identified patent application including the claims.

I am aware of the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR §1.56.

I hereby acknowledge that any willful false statement made in the above declaration is punishable under 18 USC §1001 by fine or imprisonment of not more than five (5) years, or both.

ASSIGNMENT

I hereby assign to TUSIMPLE, INC., a corporation of SAN DIEGO, CALIFORNIA, having a place of business at 9191 TOWNE CENTRE DRIVE, SUITE 600 SAN DIEGO, CALIFORNIA 92122, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in any and all inventions and improvements which are described in an application for United States Patent, entitled **AUDITORY ASSISTANT MODULE FOR AUTONOMOUS VEHICLES**, filed on April 21, 2020, and assigned U.S. Application Number 16/854,848, and which claims the benefit of priority of U.S. Provisional Patent Application No. 62/839,477, filed on April 26, 2019. **I authorize and request an attorney appointed in said application to hereafter insert above the filing date and application number of said application when known.**

This assignment includes the entire right, title and interest in said application(s), all legal equivalents thereof in any country, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions and improvements, including, but not limited to, all divisions, continuations, reissues, reexamination certificates, any and all certificates issued in any post-grant proceeding, and extensions of said application(s) and patents of the United States, foreign patents, utility models, and design registrations, and the right to claim priority based on the filing date of said application(s) under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes, and the right to seek relief and recover all damages, including, but not limited to, a reasonable royalty, by reason of infringement or any other violation of patent or patent application rights. I authorize the Assignee to apply in all countries in my name or in the name of the Assignee for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements.

In addition, I hereby confirm the sale, assignment, and transfer to the Assignee of the entire right, title and interest throughout the world in said inventions and improvements by operation of an employment agreement between me and the Assignee existing at the time said inventions and improvements were made. Should I have any remaining interest, I hereby sell, assign, and transfer to the Assignee any right, title and interest I may have in said inventions and improvements anywhere in the world.

The foregoing assignments, sale and transfer have been made for good and valuable consideration, the receipt of which is hereby acknowledged.

Inventor : Cheng ZHANG
Appl. No. :
Filed : Herewith
Page : 3 of 3

Docket No. 128000-8058.US01

I hereby represent and covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into that would conflict with the assignment of said inventions and improvements to the Assignee.

I further covenant for myself and my respective heirs, legal representatives and assigns, to assist the Assignee in handling various procedures, tasks and documentation in connection with this assignment, including, but not limited to, providing to the Assignee promptly upon the request of the Assignee all pertinent facts and documents relating to said invention and improvements, and said patents, said legal equivalents or other legal instrument as may be known and accessible to me and testifying as to the same in any interference, litigation, or proceeding relating thereto, and promptly executing and delivering to the Assignee or its legal representative any and all papers, documents, instruments or affidavits in connection with obtaining, maintaining, issuing or enforcing said application(s), said inventions and improvements, and said patents, said equivalents and other legal instrument which may be necessary or desirable to carry out the purposes thereof.

I am competent to execute the above declaration and assignment. I hereby have duly executed the declaration and assignment below with my name.

Full name of inventor: **Cheng ZHANG**

Inventor's signature: *Cheng Zhang*
(Cheng Zhang) (Apr 17, 2020)

Date: Apr 17, 2020

The Assignee hereby acknowledges the assignment. The undersigned is authorized to act on behalf of the Assignee.

Assignee: TUSIMPLE, INC.

Authorized officer's legal name: PAUL LIU

Authorized officer's title: Global Head of Intellectual Property

Authorized officer's signature: *Paul Liu*
(Paul Liu) (Apr 19, 2020)

Date: Apr 19, 2020

Perkins Coie LLP
P.O. Box 1247
Seattle, Washington 98111-1247
Telephone: (858) 720-5700
Facsimile: (206) 359-7198

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Applicant(s): TuSimple, Inc.

Inventor(s): Cheng ZHANG, et al.

Application No.:

Confirmation No.:

Filing Date: Herewith

Title: AUDITORY ASSISTANT MODULE FOR
AUTONOMOUS VEHICLES

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

DECLARATION AND ASSIGNMENT BY INVENTOR
UNDER RULE 37 C.F.R. § 1.63

I, Xiaodi HOU, of San Diego, California; hereby declare that:

I believe I am the sole original inventor or an original joint inventor of a claimed invention in a patent application entitled:

AUDITORY ASSISTANT MODULE FOR AUTONOMOUS VEHICLES

which is attached hereto and identified as Attorney Docket No. 128000-8058.US01, and which claims the benefit of priority of U.S. Provisional Patent Application No. 62/839,477, filed on April 26, 2019.

I believe the following persons are original joint inventors of the above-identified patent application(s):

Cheng ZHANG, of San Diego, California; and
Sven KRATZ, of San Diego, California.

The above-identified application was made or authorized to be made by me.

I have reviewed and understand the contents of the above-identified patent application including the claims.

I am aware of the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR §1.56.

I hereby acknowledge that any willful false statement made in the above declaration is punishable under 18 USC §1001 by fine or imprisonment of not more than five (5) years, or both.

ASSIGNMENT

I hereby assign to TUSIMPLE, INC., a corporation of SAN DIEGO, CALIFORNIA, having a place of business at 9191 TOWNE CENTRE DRIVE, SUITE 600 SAN DIEGO, CALIFORNIA 92122, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in any and all inventions and improvements which are described in an application for United States Patent, entitled **AUDITORY ASSISTANT MODULE FOR AUTONOMOUS VEHICLES**, filed on April 21, 2020, and assigned U.S. Application Number 16/854,848, and which claims the benefit of priority of U.S. Provisional Patent Application No. 62/839,477, filed on April 26, 2019. **I authorize and request an attorney appointed in said application to hereafter insert above the filing date and application number of said application when known.**

This assignment includes the entire right, title and interest in said application(s), all legal equivalents thereof in any country, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions and improvements, including, but not limited to, all divisions, continuations, reissues, reexamination certificates, any and all certificates issued in any post-grant proceeding, and extensions of said application(s) and patents of the United States, foreign patents, utility models, and design registrations, and the right to claim priority based on the filing date of said application(s) under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes, and the right to seek relief and recover all damages, including, but not limited to, a reasonable royalty, by reason of infringement or any other violation of patent or patent application rights. I authorize the Assignee to apply in all countries in my name or in the name of the Assignee for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements.

In addition, I hereby confirm the sale, assignment, and transfer to the Assignee of the entire right, title and interest throughout the world in said inventions and improvements by operation of an employment agreement between me and the Assignee existing at the time said inventions and improvements were made. Should I have any remaining interest, I hereby sell, assign, and transfer to the Assignee any right, title and interest I may have in said inventions and improvements anywhere in the world.

The foregoing assignments, sale and transfer have been made for good and valuable consideration, the receipt of which is hereby acknowledged.

Inventor : Cheng ZHANG
Appl. No. :
Filed : Herewith
Page : 3 of 3

Docket No. 128000-8058.US01

I hereby represent and covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into that would conflict with the assignment of said inventions and improvements to the Assignee.

I further covenant for myself and my respective heirs, legal representatives and assigns, to assist the Assignee in handling various procedures, tasks and documentation in connection with this assignment, including, but not limited to, providing to the Assignee promptly upon the request of the Assignee all pertinent facts and documents relating to said invention and improvements, and said patents, said legal equivalents or other legal instrument as may be known and accessible to me and testifying as to the same in any interference, litigation, or proceeding relating thereto, and promptly executing and delivering to the Assignee or its legal representative any and all papers, documents, instruments or affidavits in connection with obtaining, maintaining, issuing or enforcing said application(s), said inventions and improvements, and said patents, said equivalents and other legal instrument which may be necessary or desirable to carry out the purposes thereof.

I am competent to execute the above declaration and assignment. I hereby have duly executed the declaration and assignment below with my name.

Full name of inventor: **Xiaodi HOU**

Inventor's signature: *Xiaodi Hou*
(Xiaodi Hou) (Apr 17, 2020)

Date: Apr 17, 2020

The Assignee hereby acknowledges the assignment. The undersigned is authorized to act on behalf of the Assignee.

Assignee: TUSIMPLE, INC.

Authorized officer's legal name: PAUL LIU

Authorized officer's title: Global Head of Intellectual Property

Authorized officer's signature: *Paul Liu*
(Paul Liu) (Apr 19, 2020)

Date: Apr 19, 2020

Perkins Coie LLP
P.O. Box 1247
Seattle, Washington 98111-1247
Telephone: (858) 720-5700
Facsimile: (206) 359-7198

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Applicant(s): TuSimple, Inc.

Inventor(s): Cheng ZHANG, et al.

Application No.:

Confirmation No.:

Filing Date: Herewith

Title: AUDITORY ASSISTANT MODULE FOR
AUTONOMOUS VEHICLES

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

DECLARATION AND ASSIGNMENT BY INVENTOR
UNDER RULE 37 C.F.R. § 1.63

I, Sven KRATZ, of San Diego, California, hereby declare that:

I believe I am the sole original inventor or an original joint inventor of a claimed invention in a patent application entitled:

AUDITORY ASSISTANT MODULE FOR AUTONOMOUS VEHICLES

which is attached hereto and identified as Attorney Docket No. 128000-8058.US01, and which claims the benefit of priority of U.S. Provisional Patent Application No. 62/839,477, filed on April 26, 2019.

I believe the following persons are original joint inventors of the above-identified patent application(s):

Xiaodi HOU, of San Diego, California; and
Cheng ZHANG, of San Diego, California.

The above-identified application was made or authorized to be made by me.

I have reviewed and understand the contents of the above-identified patent application including the claims.

I am aware of the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR §1.56.

I hereby acknowledge that any willful false statement made in the above declaration is punishable under 18 USC §1001 by fine or imprisonment of not more than five (5) years, or both.

ASSIGNMENT

I hereby assign to TUSIMPLE, INC., a corporation of SAN DIEGO, CALIFORNIA, having a place of business at 9191 TOWNE CENTRE DRIVE, SUITE 600 SAN DIEGO, CALIFORNIA 92122, and its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in any and all inventions and improvements which are described in an application for United States Patent, entitled **AUDITORY ASSISTANT MODULE FOR AUTONOMOUS VEHICLES**, filed on April 21, 2020, and assigned U.S. Application Number 16/854,848, and which claims the benefit of priority of U.S. Provisional Patent Application No. 62/839,477, filed on April 26, 2019. **I authorize and request an attorney appointed in said application to hereafter insert above the filing date and application number of said application when known.**

This assignment includes the entire right, title and interest in said application(s), all legal equivalents thereof in any country, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions and improvements, including, but not limited to, all divisions, continuations, reissues, reexamination certificates, any and all certificates issued in any post-grant proceeding, and extensions of said application(s) and patents of the United States, foreign patents, utility models, and design registrations, and the right to claim priority based on the filing date of said application(s) under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes, and the right to seek relief and recover all damages, including, but not limited to, a reasonable royalty, by reason of infringement or any other violation of patent or patent application rights. I authorize the Assignee to apply in all countries in my name or in the name of the Assignee for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements.

In addition, I hereby confirm the sale, assignment, and transfer to the Assignee of the entire right, title and interest throughout the world in said inventions and improvements by operation of an employment agreement between me and the Assignee existing at the time said inventions and improvements were made. Should I have any remaining interest, I hereby sell, assign, and transfer to the Assignee any right, title and interest I may have in said inventions and improvements anywhere in the world.

The foregoing assignments, sale and transfer have been made for good and valuable consideration, the receipt of which is hereby acknowledged.

Inventor : Cheng ZHANG
Appl. No. :
Filed : Herewith
Page : 3 of 3

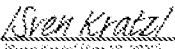
Docket No. 128000-8058.US01

I hereby represent and covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into that would conflict with the assignment of said inventions and improvements to the Assignee.

I further covenant for myself and my respective heirs, legal representatives and assigns, to assist the Assignee in handling various procedures, tasks and documentation in connection with this assignment, including, but not limited to, providing to the Assignee promptly upon the request of the Assignee all pertinent facts and documents relating to said invention and improvements, and said patents, said legal equivalents or other legal instrument as may be known and accessible to me and testifying as to the same in any interference, litigation, or proceeding relating thereto, and promptly executing and delivering to the Assignee or its legal representative any and all papers, documents, instruments or affidavits in connection with obtaining, maintaining, issuing or enforcing said application(s), said inventions and improvements, and said patents, said equivalents and other legal instrument which may be necessary or desirable to carry out the purposes thereof.

I am competent to execute the above declaration and assignment. I hereby have duly executed the declaration and assignment below with my name.

Full name of inventor: **Sven KRATZ**

Inventor's signature: 
(Sven Kratz) (Apr 19, 2020)

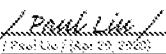
Date: Apr 19, 2020

The Assignee hereby acknowledges the assignment. The undersigned is authorized to act on behalf of the Assignee.

Assignee: TUSIMPLE, INC.

Authorized officer's legal name: PAUL LIU

Authorized officer's title: Global Head of Intellectual Property

Authorized officer's signature: 
(Paul Liu) (Apr 20, 2020)

Date: Apr 20, 2020

Perkins Coie LLP
P.O. Box 1247
Seattle, Washington 98111-1247
Telephone: (858) 720-5700
Facsimile: (206) 359-7198