#### 10/11/2021 506915756

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6962591

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
DAVID LEE VAN VRANKEN	01/24/2020
HAOPING LIU	01/24/2020
ILANDARI DEWAGE UDARA ANULAL PREMACHANDRA	02/20/2020
FUQIANG WANG	02/07/2020
CHENGTIAN SHEN	01/22/2020
KEVIN ANDRE SCOTT	01/24/2020
SHELLEY REN-AN LANE	01/23/2020
AARON DAVID MOOD	01/24/2020
STANLEY CHUNGSING HIEW	01/27/2020

## **RECEIVING PARTY DATA**

Name:	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
Street Address:	1111 FRANKLIN STREET
Internal Address:	TWELFTH FLOOR
City:	OAKLAND
State/Country:	CALIFORNIA
Postal Code:	94607-5200

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	15735873

### CORRESPONDENCE DATA

Fax Number: (949)852-0004

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

9498520000 Phone: Email: pair@kppb.com Correspondent Name: **KPPB LLP** 

Address Line 1: 2190 S. TOWNE CENTRE PLACE

Address Line 2: SUITE 300

Address Line 4: ANAHEIM, CALIFORNIA 92806

ATTORNEY DOCKET NUMBER: R1-03946

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NAME OF SUBMITTER:	AGNES POON
SIGNATURE:	/Agnes Poon/
DATE SIGNED:	10/11/2021
Total Attachments: 19	
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RECORDATION F	ORM COVER SHEET		
PATEN <sup>-</sup>	<u>rs only</u>		
To the Director of the U.S. Patent and Trademark Office: Plea	se record the attached documents or the new address(es) below.		
1. Name of conveying party(ies)  David Lee Van Vranken, Haoping Liu, Ilandari Dewage Udara Anulal Premachandra, Fuqiang Wang, Chengtian Shen, Kevin Andre Scott, Shelley Ren-An Lane, Aaron David Mood, Stanley Chungsing Hiew	2. Name and address of receiving party(ies) Name: The Regents of the University of California Internal Address: Twelfth Floor		
Additional name(s) of conveying party(ies) attached? Yes N  3. Nature of conveyance/Execution Date(s):  1/24/2020; 01/24/2020; 02/20/2020; 02/07/2020; 01/22/2020  Execution Date(s) 01/24/2020; 01/23/2020; 01/24/2020; 01/27/2020			
Assignment Merger  Security Agreement Change of Name	City: Oakland		
Joint Research Agreement Government Interest Assignment Executive Order 9424, Confirmatory License	State: CA  Country: US  Zip: 94607-5200		
Under	Additional name(s) & address(es) attached? Yes No document serves as an Oath/Declaration (37 CFR 1.63).		
15/735873  Additional numbers a  5. Name and address to whom correspondence	ttached? Yes No  6. Total number of applications and patents		
concerning document should be mailed:  Name: KPPB LLP	involved: 1		
Internal Address: Suite 300	Authorized to be charged to deposit account		
Street Address: 2190 S. Towne Centre Place	Enclosed  None required (government interest not affecting title)		
City: Anaheim	8. Payment Information		
State: CA Zip: 92806			
Phone Number: 949.852.0000  Docket Number: R1-03946	Deposit Account Number		
Email Address:_pair@kppb.com	Authorized UserName		
9. Signature: /John W. Peck/	10/11/2021		
John W. Peck  Name of Person Signing	Total number of pages including cover sheet, attachments, and documents:  et) should be faxed to (571) 273-0140, or mailed to:		
· · · · · · · · · · · · · · · · · · ·	of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450		

UC. Case No. 2015-408-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

David Lee Van Vranken, Haoping Liu, Bandari Dewage Premachandra, Fuqiang Wang, Chengtian Shen, Kevin Andre Scott, Shelley Ren-An Lanc, Aaron David Mood, Stanley Uhangaing Hiew

hereby sells, assigns and transfers to ASSIGNUL. The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelifh Floor, Oakland, CA 94607-5200, and the successors, assigns and logal representatives of the ASSIGNUL all of its right, lifte and interest for the United States and its territorial possessions and in all foreign countries at and to, any and all improvements which are disclosed in the invention entitled:

Spironishetinoites and Historients Uses Historien and which is found in (ii) U.S. parent application filed herewith and listing the above named persons as inventors (c) X U.S. Patent Application Serial No. 15/735,873 , filed on June 13, 2016 (e) International Patent Application Serial No. , filed on \_\_\_\_\_ and any logal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property; ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment; ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply fox, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known. AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California. executed this Signature of Inventor(s) 24 day of JANUARY 2020 David Lee Van Vranken Haoping Liu \_\_\_\_\_day of \_\_\_\_\_\_\_, 20\_\_\_\_\_

Handari Dewage Premachandra

Fugiang Wang

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Assignee here	by accepts this Assignment:		
	L W Lest	Attorney Representative	09/21/2021
Name / Jo	hn W. Peck	Title	Date

UC, Case No. 2015-408-2

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Spiroindolinones and Therapeutic Uses Thereof and which is found in (a) U.S. patent application filed herewith and listing the above named persons as inventors (b) U.S. Provisional Application Serial No. , filed on (c) X U.S. Patent Application Serial No. 15/735,873 , filed on June 13, 2016 (d)\_\_\_\_\_\_ U.S. Patent No.\_\_\_\_\_\_\_\_, issued\_\_\_\_\_\_\_ (e) International Patent Application Serial No. \_\_\_\_\_\_, filed on \_\_\_\_\_\_ and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property; ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment; ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known. AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California. executed this Signature of Inventor(s) David Lee Van Vranken Haoping Liu <u>24</u> day of <u>Ја**м**иљуц</u> 20<u>2</u>0 \_\_\_\_\_day of \_\_\_\_\_\_\_, 20\_\_\_\_\_\_ Handari Dewage Premachandra \_\_\_\_day of \_\_\_\_\_\_, 20\_\_\_\_\_

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Assignce hereby accepts this A	.ssignment: t		
John W. Tew		Attorney Representative	09/21/2021
Name / John W. Peck		Title	Date
JOHN WALEUN			

UC. Case No. 2015-408-2

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Spiroindalinones and Therapeutic Uses Thereof and which is found in (a) U.S. patent application filed herewith and listing the above named persons as inventors (b) U.S. Provisional Application Serial No. \_\_\_\_\_\_\_, filed on \_\_\_\_\_\_ (c) X U.S. Patent Application Serial No. 15/735,873 , filed on June 13, 2016 (d) U.S. Patent No. \_\_\_\_\_\_\_\_, issued \_\_\_\_\_\_\_ (e) International Patent Application Serial No. , filed on , and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property; ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encombrance has been or will be made or entered into which would conflict with this assignment: ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known. AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California. executed this Signature of Inventor(s) \_\_day of \_\_\_\_\_\_, 20\_\_\_\_ David Lee Van Vranken \_\_\_day of \_\_\_\_\_\_\_, 20\_\_\_\_ Haoping Liu 20 day of February , 20 20 Ilandari Dewage Udara Anulal Premachandra \_\_\_\_day of \_\_\_\_\_\_, 20

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UC. Case No. 2015-408-2

and which is found in

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(a) U.S. patent application filed herewith and listing the above named persons as inventors

David Lee Van Vranken, Haoping Liu, Ilandari Dewage Premachandra, Fuqiang Wang, Chengtian Shen, Kevin Andre Scott, Shelley Ren-An Lane, Aaron David Mood, Stanley Chungsing Hiew

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(b) U.S. Provisional	Application Serial No	**********************************	, filed on	
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USC 111 Internation  ASSIGNATION  ASSIGNATION  relating to testify as promptly for, obtain be necess	(a) or substitemal Convention  OR(S) hereby afflict with this  OR(S) further to said invention to the same in execute and do a, maintain, issuary or desirab	ion by the above applite thereof, and any use for the Protection of agrees that no assignmassignment; and said Letters Paramy interference, postiver to ASSIGNEE of the or enforce said applic to carry out the purinto this assignment to	reissue, reexamin f Industrial Proper nent, sale, agreem E will, upon its re stent and legal equ st grant review, in st its legal represen dication, said inver	ation or extently;  cut or encuming  equest, he provivalents as noted parties revolutive any another ation and said automice of i	ension of said brance has bee evided promptl nay be known view, litigation ad all papers, in I Letters Paten record is authe	Letters Pates on or will be r y with all per and accessib , or proceedir ssruments or i and said equi	nt and all right made or entered tinent facts and le to ASSIGNC ng relating there affidavits requir ivalents thereof	s under all into which documents R and will to and will red to apply which may
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John W. Tech	Attorney Representative	09/21/2021
Name /	Title	Date
John W. Peck		

UC, Case No. 2015-408-2

\_\_\_\_\_ day of \_\_\_\_\_\_ 20\_\_\_

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		Stanley Chungsing His	W
N.			
Assignee hereby accepts this	Assignment:	Attorney Representative	09/21/2021
Name John W. Peck		Title	Date

UC. Case No. 2015-408-2

day of \_\_\_\_\_\_, 20

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		Stanley Chungsing Hiew	
Assignee hereby accepts this A	ssignment:		0010410004
John W. Tech	<u></u>	Attorney Representative	09/21/2021
Name / John W. Peck		Title	Date

UC. Case No. 2015-408-2

\_\_\_\_\_ day of \_\_\_\_\_\_, 20

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

David Lee Van Vranken, Haoping Liu, Ilandari Dewage Premachandra, Fuqiang Wang, Chengtian Shen, Kevin Andre Scott, Shelley Ren-An Lane, Aaron David Mood, Stanley Chungsing Hiew

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

Spiroindolinones and Therapeutic Uses Thereof and which is found in (a) U.S. patent application filed herewith and listing the above named persons as inventors (b) U.S. Provisional Application Serial No. \_\_\_\_\_\_, filed on \_\_\_\_\_\_ (c) X U.S. Patent Application Serial No. 15/735,873 , filed on June 13, 2016 (d) U.S. Patent No. \_\_\_\_\_\_\_, issued \_\_\_\_\_\_\_ (e) \_\_\_\_\_ International Patent Application Serial No. \_\_\_\_\_\_, filed on \_\_\_\_\_\_ and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property; ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment; ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known. AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California. executed this Signature of Inventor(s) \_\_\_\_\_ day of \_\_\_\_\_\_, 20 David Lee Van Vranken \_\_\_\_\_day of \_\_\_\_\_\_\_, 20\_\_\_\_ Haoping Liu \_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_ Ilandari Dewage Premachandra

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Assignee hereby accepts this As	signment:		
Same John W Peck		Attorney Representative	09/21/2021
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Juni VV. Peus			

UC, Case No. 2015-408-2

\_\_\_\_\_day of \_\_\_\_\_\_, 20\_\_\_\_,

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

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Spiroindolinones and Therapeutic Uses Thereof and which is found in (a) U.S. patent application filed herewith and listing the above named persons as inventors. (b) U.S. Provisional Application Serial No. , filed on \_\_\_\_\_\_ (c) X U.S. Patent Application Serial No. 15/735,873 , filed on June 13, 2016 (d) U.S. Patent No. \_\_\_\_\_\_, issued\_\_\_\_\_\_\_ (e) \_\_\_\_\_\_ International Patent Application Serial No.\_\_\_\_\_\_\_, filed on.\_\_\_\_\_\_, and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property; ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment; ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known. AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California. Signature of Inventor(s) executed this \_\_\_\_\_day of \_\_\_\_\_\_\_20\_\_\_\_\_\_20\_\_\_\_ David Lee Van Vranken Haoping Liu \_\_\_\_\_day of \_\_\_\_\_\_\_\_, 20\_\_\_\_\_\_ Handari Dewage Premachandra

Fuqiang Wang

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Assignee	he <u>re</u> by accepts th <u>is A</u> ssi	gament:			
<u></u>	John W Lest		Attorney Representative	09/21/2021	
Name	John W. Peck		Title	Date	

**RECORDED: 10/11/2021**