

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT6962591

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
DAVID LEE VAN VRANKEN	01/24/2020
HAOPING LIU	01/24/2020
ILANDARI DEWAGE UDARA ANULAL PREMACHANDRA	02/20/2020
FUQIANG WANG	02/07/2020
CHENGTIAN SHEN	01/22/2020
KEVIN ANDRE SCOTT	01/24/2020
SHELLEY REN-AN LANE	01/23/2020
AARON DAVID MOOD	01/24/2020
STANLEY CHUNGSING HIEW	01/27/2020
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
<b>Street Address:</b>	1111 FRANKLIN STREET
<b>Internal Address:</b>	TWELFTH FLOOR
<b>City:</b>	OAKLAND
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94607-5200
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	15735873
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(949)852-0004
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	9498520000
<b>Email:</b>	pair@kppb.com
<b>Correspondent Name:</b>	KPPB LLP
<b>Address Line 1:</b>	2190 S. TOWNE CENTRE PLACE
<b>Address Line 2:</b>	SUITE 300
<b>Address Line 4:</b>	ANAHEIM, CALIFORNIA 92806
<b>ATTORNEY DOCKET NUMBER:</b>	R1-03946

PATENT

<b>NAME OF SUBMITTER:</b>	AGNES POON
<b>SIGNATURE:</b>	/Agnes Poon/
<b>DATE SIGNED:</b>	10/11/2021
<b>Total Attachments: 19</b> source=03946assgn#page1.tif source=03946assgn#page2.tif source=03946assgn#page3.tif source=03946assgn#page4.tif source=03946assgn#page5.tif source=03946assgn#page6.tif source=03946assgn#page7.tif source=03946assgn#page8.tif source=03946assgn#page9.tif source=03946assgn#page10.tif source=03946assgn#page11.tif source=03946assgn#page12.tif source=03946assgn#page13.tif source=03946assgn#page14.tif source=03946assgn#page15.tif source=03946assgn#page16.tif source=03946assgn#page17.tif source=03946assgn#page18.tif source=03946assgn#page19.tif	

### RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

David Lee Van Vranken, Haoping Liu, Ilandari Dewage  
Udara Anulal Premachandra, Fuqiang Wang,  
Chengtian Shen, Kevin Andre Scott, Shelley Ren-An  
Lane, Aaron David Mood, Stanley Chungsing Hiew

Additional name(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**

Name: The Regents of the University of California  
Internal Address: Twelfth Floor

Street Address: 1111 Franklin Street

City: Oakland

State: CA

Country: US Zip: 94607-5200

Additional name(s) & address(es) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 1/24/2020; 01/24/2020; 02/20/2020; 02/01/2020; 01/22/2020;  
01/24/2020; 01/23/2020; 01/24/2020; 01/27/2020

- Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

**4. Application or patent number(s):**

This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

15/735873

B. Patent No.(s)

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: KPPB LLP

Internal Address: Suite 300

Street Address: 2190 S. Towne Centre Place

City: Anaheim

State: CA Zip: 92806

Phone Number: 949.852.0000

Docket Number: R1-03946

Email Address: pair@kppb.com

**6. Total number of applications and patents involved:** 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ \_\_\_\_\_

- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number \_\_\_\_\_

Authorized UserName \_\_\_\_\_

**9. Signature:** /John W. Peck/

10/11/2021

Signature

Date

John W. Peck

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

**19**

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**PATENT**

**REEL: 057755 FRAME: 0585**

ASSIGNMENT

UC Case No. 2015-408-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

David Lee Van Vranken, Haoping Liu, Bandari Dewage Premachandra, Fuqiang Wang, Chenglan Shen, Kevin Andre Scott, Shelley Ren-An Lane, Aaron David Mood, Stanley Chungqing Hiew

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements, which are disclosed in the invention entitled:

*Synucleinomics and Therapeutic Uses Thereof*

and which is found in

- (a)..... U.S. patent application filed herewith and listing the above named persons as inventors
- (b)..... U.S. Provisional Application Serial No. ...., filed on .....
- (c) X..... U.S. Patent Application Serial No. 15/735,873....., filed on June 13, 2016.....
- (d)..... U.S. Patent No. ...., issued .....
- (e)..... International Patent Application Serial No. ...., filed on .....

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

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AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

24 day of January, 2020

  
David Lee Van Vranken

..... day of ....., 20.....

Haoping Liu

..... day of ....., 20.....

Bandari Dewage Premachandra

..... day of ....., 20.....

Fuqiang Wang

\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Chengtian Shen

\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

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Kevin Andre Scott

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\_\_\_\_\_  
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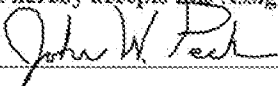
\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Aaron David Mood

\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Stanley Chungsing Hiew

Assignee hereby accepts this Assignment:

  
Name: John W. Peck

Attorney Representative  
Title

09/21/2021  
Date

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UC, Case No. 2015-408-2

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*Spiroindolinones and Therapeutic Uses Thereof*

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- (e) \_\_\_\_\_ International Patent Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_

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\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_

\_\_\_\_\_

David Lee Van Vranken

24 day of January, 2020

\_\_\_\_\_

Haoping Liu

\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_

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Handari Dewage Premachandra

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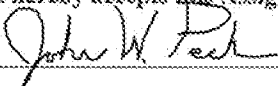
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\_\_\_\_\_  
Aaron David Mood

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\_\_\_\_\_  
Stanley Chungsing Hiew

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Attorney Representative  
Title

09/21/2021  
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Signature of Inventor(s)

\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
David Lee Van Vranken

\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Haoping Liu

20<sup>th</sup> day of February, 2016

\_\_\_\_\_  
Ilandari Dewage Udara Anulal Premachandra

\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Fuqiang Wang



\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

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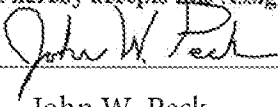
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\_\_\_\_\_  
Aaron David Mood

\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Stanley Chungsing Hiew

Assignee hereby accepts this Assignment:



Name

John W. Peck

Attorney Representative

Title

09/21/2021

Date

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executed this	Signature of Inventor(s)
_____ day of _____, 20____	_____ David Lee Van Vranken
_____ day of _____, 20____	_____ Haoping Liu
_____ day of _____, 20____	_____ Handari Dewage Premachandra
<u>7</u> day of <u>February</u> , 20 <u>20</u>	<u>[Signature]</u> Fuqiang Wang

\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Chengtian Shen

\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
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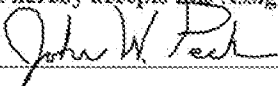
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David Lee Van Vranken

..... day of \_\_\_\_\_, 20

Haoping Liu

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Handari Dewage Premachandra

..... day of \_\_\_\_\_, 20

Fuqiang Wang

22 day of January, 2020

Chengtian Shen

day of \_\_\_\_\_, 20

Kevin Andre Scott

day of \_\_\_\_\_, 20

Shelley Ren-An Lane

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09/21/2021

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_____ day of _____, 20____	_____
	Haoping Liu
_____ day of _____, 20____	_____
	Handari Dewage Premachandra
_____ day of _____, 20____	_____
	Fuqiang Wang

\_\_\_\_ day of \_\_\_\_\_, 20\_\_

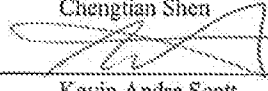
24 day of January, 2020

\_\_\_\_ day of \_\_\_\_\_, 20\_\_

\_\_\_\_ day of \_\_\_\_\_, 20\_\_

\_\_\_\_ day of \_\_\_\_\_, 20\_\_

Chengtian Shen



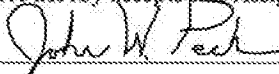
Kevin Andre Scott

Shelley Ren-An Lane

Aaron David Mood

Stanley Chungsing Hiew

Assignee hereby accepts this Assignment:

  
Name John W. Peck

Attorney Representative  
Title

09/21/2021  
Date

**ASSIGNMENT**

UC. Case No. 2015-408-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

David Lee Van Vranken, Haoping Liu, Handari Dewage Premachandra, Fuqiang Wang, Chengtian Shen, Kevin Andre Scott, Shelley Ren-An Lane, Aaron David Mood, Stanley Chungsing Hiew

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

*Spiroindolinones and Therapeutic Uses Thereof*

and which is found in

- (a) \_\_\_\_\_ U.S. patent application filed herewith and listing the above named persons as inventors
- (b) \_\_\_\_\_ U.S. Provisional Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_
- (c)  U.S. Patent Application Serial No. 15/735,873, filed on June 13, 2016
- (d) \_\_\_\_\_ U.S. Patent No. \_\_\_\_\_, issued \_\_\_\_\_
- (e) \_\_\_\_\_ International Patent Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
David Lee Van Vranken

\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Haoping Liu

\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Handari Dewage Premachandra

\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Fuqiang Wang



\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Chengtian Shen

\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Kevin Andre Scott

23 day of January, 2020

\_\_\_\_\_  
*Shelley R. Lane*  
Shelley Ren An Lane

\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Aaron David Mood

\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Stanley Chungsing Hiew

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*John W. Peck*  
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Attorney Representative  
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\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_

\_\_\_\_\_  
David Lee Van Vranken

\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_

\_\_\_\_\_  
Haoping Liu

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\_\_\_\_\_  
Ilandari Dewage Premachandra

\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_

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\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

Kevin Andre Scott

\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

Shelley Ren-An Lane

24 day of January, 2020

*form record*

Aaron David Mood

\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

Stanley Chungsing Hiew

Assignee hereby accepts this Assignment:

*John W. Peck*

Attorney Representative

09/21/2021

Name

John W. Peck

Title

Date

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UC. Case No. 2015-408-2

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executed this	Signature of Inventor(s)
_____ day of _____, 20_____	_____
	David Lee Van Vranken
_____ day of _____, 20_____	_____
	Haoping Liu
_____ day of _____, 20_____	_____
	Hlandari Dewage Premachandra
_____ day of _____, 20_____	_____
	Fuqiang Wang

..... day of ....., 20 .....

Chengtian Shen

..... day of ....., 20 .....

Kevin Andre Scott

..... day of ....., 20 .....

Shelley Ren-An Lane

..... day of ....., 20 .....

Aaron David Mood

27 day of January, 20 20

Stanley Chungsing Hiew

Assignee hereby accepts this Assignment:

*John W. Peck*

Attorney Representative

09/21/2021

Name

John W. Peck

Title

Date