

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT6969703

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| <b>SUBMISSION TYPE:</b>   | NEW ASSIGNMENT                         |
| <b>NATURE OF CONVEYANCE:</b>  | ASSIGNMENT                             |
| <b>CONVEYING PARTY DATA</b>   |  |
| <b>Name</b>   | <b>Execution Date</b>                  |
| NICHOLAS CARTHEY  | 10/21/2019                             |
| PETER JOHNSTON  | 10/21/2019                             |
| <b>RECEIVING PARTY DATA</b>   |  |
| <b>Name:</b>  | JOHNSON MATTHEY PUBLIC LIMITED COMPANY |
| <b>Street Address:</b>  | 25 FARRINGDON STREET                   |
| <b>Internal Address:</b>  | 5TH FLOOR                              |
| <b>City:</b>  | LONDON                                 |
| <b>State/Country:</b>   | UNITED KINGDOM                         |
| <b>Postal Code:</b>   | EC4A 4AB                               |
| <b>PROPERTY NUMBERS Total: 1</b>  |  |
| <b>Property Type</b>  | <b>Number</b>                          |
| Application Number:   | 17594403                               |
| <b>CORRESPONDENCE DATA</b>  |  |
| <b>Fax Number:</b>  |  |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |  |
| <b>Phone:</b>   | 7162394300                             |
| <b>Email:</b>   | sthiede@questel.com                    |
| <b>Correspondent Name:</b>  | COLSON LAW GROUP                       |
| <b>Address Line 1:</b>  | 5555 MAIN STREET                       |
| <b>Address Line 4:</b>  | BUFFALO, NEW YORK 14221                |
| <b>ATTORNEY DOCKET NUMBER:</b>  | P100139US01                            |
| <b>NAME OF SUBMITTER:</b>   | ROBERT P. SIMPSON                      |
| <b>SIGNATURE:</b>   | /Robert P. Simpson/                    |
| <b>DATE SIGNED:</b>   | 10/14/2021                             |
| <b>Total Attachments: 5</b>   |  |
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| source=P-Assignment_P100139#page4.tif   |  |



**ASSIGNMENT AGREEMENT**

THIS DEED OF ASSIGNMENT is dated *21<sup>st</sup> October* 2019 and made

**BETWEEN**

CARTHEY, Nicholas, a British Citizen of Johnson Matthey, Technology Centre, Blounts Court, Sonning Common, Reading, RG4 9NH, United Kingdom;

JOHNSTON, Peter, an Austrian Citizen of Johnson Matthey, Orchard Road, Royston, Hertfordshire, SG8 5HE, United Kingdom];

(each an "Inventor" and together the "Inventors"); and

**JOHNSON MATTHEY PUBLIC LIMITED COMPANY**, a company incorporated in England and Wales (registered number 00033774) having its registered office at 5th Floor 25 Farringdon Street, London, EC4A 4AB, United Kingdom ("JM").

**RECITALS**

- (a) The Inventor(s) has/have made an invention (the "Invention"), which is described in the patent specification entitled "Gold Containing Catalyst, Method of Preparation and Use" filed with Application No. 1908844.2 made to the United Kingdom Patent Office on 20 June 2019 (the "Application").
- (b) The Inventor[s] [has/have] agreed to assign the [his/her/their] legal rights in and to the Invention and Application to JM on the terms set out in this Deed.

**WHEREAS** it is hereby agreed as follows:

**1. Assignment**

- 1.1 The Inventor[s] hereby assign[s] to JM, all [his/her/their] legal right, title and interest in and to the Invention and Application, including:
  - 1.1.1 the right to claim priority from such Application, and file for further patent applications in any territory of the world in respect of the Invention (the "Patent Applications");
  - 1.1.2 the right to prosecute the Patent Applications and to obtain grant of patent or similar protection in or in respect of any country or territory in the world (each a "Patent");
  - 1.1.3 the legal entitlement to any Patents granted pursuant to any Patent Applications comprised in the Patents or filed as aforesaid; and
  - 1.1.4 the right to bring, make, oppose, defend, appeal proceedings, claims or actions and obtain relief (and to retain any damages recovered) in respect of any infringement, or any other cause of action arising from ownership, of any of the Patents or any Patents granted on any of the Patent Applications in the Patents or filed as aforesaid, whether occurring before on or after the date of this Deed.

**2. Further Assurance**

- 2.1 At JM's expense the Inventor[s] shall promptly execute and deliver all such documents and do all such things as are necessary, required or requested by JM for the procurement of any

Patent Applications, Patents or other form of protection anywhere in the world for the Invention, or as are otherwise required to give full effect to this Deed and the transaction intended to be effected pursuant to it, including:

- 2.1.1 the registration of JM as applicant for, or proprietor of, any Patents; and
- 2.1.2 assisting JM in obtaining, defending and enforcing any Patents, and assisting with any other proceedings which may be brought by or against JM against or by any third party relating to the rights assigned by this Deed.

**3. No Further Compensation**

Without prejudice to s.40 Patents Act 1977 or any similar provisions of law in any jurisdiction in favour of the Inventor[s], the Inventor[s] acknowledge[s] and agree[s] that no further fees or compensation other than that already provided for pursuant to the [Inventor's/Inventors'] employment in the JM group are due or may become due to the Inventor under or in connection with this Deed.

**4. Severance**

If any provision or part-provision of this Deed is or becomes invalid, illegal or unenforceable, it shall be deemed modified to the minimum extent necessary to make it valid, legal and enforceable. If such modification is not possible, the relevant provision or part-provision shall be deemed deleted. Any modification to or deletion of a provision or part-provision under this clause shall not affect the validity and enforceability of the rest of this Deed.

**5. Counterparts**

This Deed may be executed in any number of counterparts and by the parties hereto in separate counterparts, each of which when so executed shall be deemed to be an original and all of which taken together shall constitute one and the same agreement.

**6. Governing Law and Jurisdiction**

- 6.1 This Deed and any dispute or claim arising out of or in connection with it or its subject matter or formation (including non-contractual disputes or claims) shall be governed by and construed in accordance with the law of England and Wales.
- 6.2 Each party irrevocably agrees that the courts of England and Wales shall have exclusive jurisdiction to settle any dispute or claim that arises out of or in connection with this Deed or its subject matter or formation (including non-contractual disputes or claims).

This document has been executed as a deed and is delivered and takes effect on the date stated at the beginning of it.

Executed as a deed by Nicholas CARTHEY:

)  
)  
)  
)

N.A.C.

in the presence of:

(signature)



(signature of witness)

Name: NOELIA CABELLO

Address: 206 PERRARD ROAD  
READING  
RG4 2UA

Occupation: RESEARCH SCIENTIST

Executed as a deed by Peter JOHNSTON:

)  
)  
)  
)

in the presence of:

\_\_\_\_\_  
(signature)

\_\_\_\_\_  
(signature of witness)

Name:

Address:

Occupation:

This document has been executed as a deed and is delivered and takes effect on the date stated at the beginning of it.

Executed as a deed by Nicholas CARTHEY:

)  
)  
)  
)

in the presence of:

\_\_\_\_\_  
(signature)

\_\_\_\_\_  
(signature of witness)

Name:

Address:

Occupation:

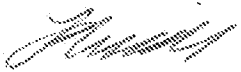
Executed as a deed by Peter JOHNSTON:

)  
)  
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in the presence of:

\_\_\_\_\_  
(signature)



(signature of witness)

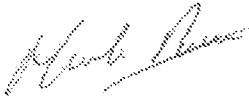
Name: JEMMA HINCHLIFF

Address: PO Box 1, JM CHILTON SITE, BILLINGHAM, TS23 1LB

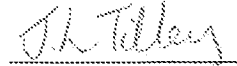
Occupation: TECHNICAL SERVICES MANAGER

Executed as a deed by JOHNSON MATTHEY PUBLIC LIMITED COMPANY  
acting by Mark BOWN:

in the presence of:



By Power of Attorney



(signature of witness)

Name: JESSICA L TILLEY

Address: CHILTON?  
BILLINGHAM,  
CLEVELAND

Occupation:  
PATENT  
ADMINISTRATOR