

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6970301

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Kuo-Hsing Lee	10/06/2021
Chien-Liang Wu	10/06/2021
Wen-Kai Lin	10/06/2021
Te-Wei Yeh	10/06/2021
Sheng-Yuan Hsueh	10/06/2021
Chi-Horn Pai	10/06/2021
RECEIVING PARTY DATA	
Name:	UNITED MICROELECTRONICS CORP.
Street Address:	No.3, Li-Hsin Road 2, Science-Based Industrial Park
City:	Hsin-Chu City
State/Country:	TAIWAN
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	17502056
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	3027291562
Email:	Patent.admin.uspto.cr@naipo.com
Correspondent Name:	WINSTON HSU
Address Line 1:	5F., NO.389, FUHE RD., YONGHE DIST.,
Address Line 4:	NEW TAIPEI CITY, TAIWAN
ATTORNEY DOCKET NUMBER:	NAUP3920USA
NAME OF SUBMITTER:	KATE YEH
SIGNATURE:	/KATE YEH/
DATE SIGNED:	10/15/2021
Total Attachments: 12	
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**COMBINE DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION
USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT**

Title of Invention:

**SEMICONDUCTOR MEMORY STRUCTURE AND FABRICATION METHOD
THEREOF**

As the below named inventor, I hereby declare that:
This declaration is directed to:

- ☒ The attached application, or
- ☐ United States application number _____ filed on _____, or
- ☐ PCT international application number _____ filed on _____

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

In consideration of the payment by **UNITED MICROELECTRONICS** having a postal address of **CORP.**

No.3, Li-Hsin Road 2, Science-Based Industrial Park, Hsin-Chu City 300, Taiwan, R.O.C.

(referred to as "ASSIGNEE" below) to I of the sum of One Dollar (\$ 1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration.

I hereby sell, assign and transfer to ASSIGNEE and the successors and assignees of the ASSIGNEE the entire right, title and interest in and to any and all improvements which are disclosed in the invention as above-identified application and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuations, continuation-in-part, divisions, renewals, substitutes, or extensions thereof, and as to Letters Patent any reissue or re-examination thereof.

I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

I further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to I and will testify as to the same in any interference, litigation proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal

representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.
IN WITNESS WHEREOF, I have hereunto set hand and seal this on the date(s) indicated.

Note: An application data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form. Use this form for each additional inventor.

LEGAL NAME OF INVENTOR(ASSIGNOR)

Inventor: Kuo-Hsing Lee

Date: Oct. 06. 2021

Signature: Kuo-Hsing Lee

**COMBINE DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION
USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT**

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Docket No NAUP3920USA

LEGAL NAME OF INVENTOR(ASSIGNOR)

Inventor: **Chien-Liang Wu**

Date:

Oct. 06. 2021

Signature:

Chien-Liang Wu

NPO#NAU-P3920-USA:0
CUST#UMCD-2021-0243

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F#NPO-P0002E-US1201
DSB0-110U025048

PATENT
REEL: 057800 FRAME: 0794

**COMBINE DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION
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Docket No NAUP3920USA

LEGAL NAME OF INVENTOR(ASSIGNOR)

Inventor: **Wen-Kai Lin**

Date:

Oct. 06, 2021

Signature:

Wen-Kai Lin

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CUST#UMCD-2021-0243

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Docket No NAUP3920USA

LEGAL NAME OF INVENTOR(ASSIGNOR)

Inventor: Te-Wei Yeh

Date:

Oct. 06, 2021

Signature: Te-Wei Yeh

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CUST#UMCD-2021-0243

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Docket No NAUP3920USA

LEGAL NAME OF INVENTOR(ASSIGNOR)

Inventor: **Sheng-Yuan Hsueh**

Date:

Oct. 06. 2021

Signature:

Sheng-Yuan Hsueh

NPO#NAU-P3920-USA:0
CUST#UMCID-2021-0243

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Docket No NAUP3920USA

LEGAL NAME OF INVENTOR(ASSIGNOR)

Inventor: **Chi-Horn Pai**

Date:

Oct. 06, 2021

Signature:

Chi Horn Pai

NPO#NAU-P3920-USA:0
CUST#UMCD-2021-0243

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F#NPO-P0002E-US1201
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RECORDED: 10/15/2021

PATENT
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