# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6971324

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

# **CONVEYING PARTY DATA**

Name	Execution Date
GEMINI BIOPRODUCTS, LLC	10/15/2021
GEMINI BIOPRODUCTS HOLDING, INC.	10/15/2021
ORFLO TECHNOLOGIES, LLC	10/15/2021

# **RECEIVING PARTY DATA**

Name:	BROADOAK FUND V, L.P. , AS AGENT
Street Address:	4800 MONTGOMERY LANE, SUITE 230
City:	BETHESDA
State/Country:	MARYLAND
Postal Code:	20814

# **PROPERTY NUMBERS Total: 29**

Property Type	Number
Patent Number:	8804105
Patent Number:	8329437
Patent Number:	7515268
Patent Number:	8072603
Patent Number:	8735853
Patent Number:	9103760
Patent Number:	9528926
Patent Number:	9945770
Patent Number:	8182635
Patent Number:	8608891
Patent Number:	9452429
Patent Number:	9293311
Patent Number:	9952135
Application Number:	16323401
Patent Number:	7520164
Patent Number:	8171778
Patent Number:	7417418
Patent Number:	7579823

PATENT REEL: 057806 FRAME: 0220

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Property Type	Number
Application Number:	17093429
Application Number:	16084036
Application Number:	62480305
Application Number:	62619577
Application Number:	62615381
PCT Number:	US2013000145
Application Number:	62613348
Application Number:	62371395
Application Number:	62420394
Application Number:	62584055
Application Number:	62308351

## **CORRESPONDENCE DATA**

**Fax Number:** (415)693-2222

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 4156932000

Email: crhem@cooley.com

Correspondent Name: COOLEY LLP

Address Line 1: 3 EMBARCADERO CENTER, 20TH FLOOR Address Line 4: SAN FRANCISCO, CALIFORNIA 94111

ATTORNEY DOCKET NUMBER:	344711-102
NAME OF SUBMITTER:	C. RHEM
SIGNATURE:	/CR/
DATE SIGNED:	10/15/2021

### **Total Attachments: 8**

source=BroadOak - Gemini - IP Security Agreement#page1.tif source=BroadOak - Gemini - IP Security Agreement#page2.tif source=BroadOak - Gemini - IP Security Agreement#page3.tif source=BroadOak - Gemini - IP Security Agreement#page4.tif source=BroadOak - Gemini - IP Security Agreement#page5.tif source=BroadOak - Gemini - IP Security Agreement#page6.tif source=BroadOak - Gemini - IP Security Agreement#page7.tif source=BroadOak - Gemini - IP Security Agreement#page8.tif

### INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement is entered into as of October 15, 2021 by and between BROADOAK FUND V, L.P. ("Agent"), GEMINI BIOPRODUCTS, LLC, a Delaware limited liability company ("Borrower"), GEMINI BIOPRODUCTS HOLDING, INC., a Delaware corporation ("Holdings") and ORFLO TECHNOLOGIES, LLC, a Delaware limited liability company ("Orflo" and, together with Holdings, the "Guarantors", together with the Borrower, the "Grantors").

#### **RECITALS**

- A. Lenders (as defined below) have agreed to make certain advances of money and to extend certain financial accommodations to Borrower (the "Loans") in the amounts and manner set forth in that certain Loan and Security Agreement by and between Agent, BROADOAK FUND V, L.P. and BROADOAK FUND IV, LLC (the "Lenders") and Borrower dated as of October 15, 2021 (as the same may be amended, modified or supplemented from time to time, the "Loan Agreement"; capitalized terms used herein are used as defined in the Loan Agreement). The Lenders are willing to make the Loans to Borrower, but only upon the condition, among others, that Grantors shall grant to Agent a security interest in certain Copyrights, Trademarks, Patents, and mask works to secure the Obligations of Grantors under the Loan Agreement and the Security Agreement (as defined below).
- B. Pursuant to the terms of the Loan Agreement, Borrower has granted to Agent a security interest in all of Borrower's right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral. Pursuant to the terms of that certain Pledge, Security and Guaranty Agreement, dated as of October 15, 2021 (the "Security Agreement"), by and between Agent and Guarantors, each Guarantor has granted to Agent a security interest in all of such Guarantor's right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of its obligations under the Loan Documents, each Grantor hereby represents, warrants, covenants and agrees as follows:

### **AGREEMENT**

To secure the Obligations under the Loan Documents, each Grantor grants and pledges to Agent a security interest in all of such Grantor's right, title and interest in, to and under its Intellectual Property (including without limitation those Copyrights, Patents and Trademarks listed on Exhibits A, B and C hereto), and including without limitation all proceeds thereof (such as, by way of example but not by way of limitation, license royalties and proceeds of infringement suits), the right to sue for past, present and future infringements, all rights corresponding thereto throughout the world and all re-issues, divisions continuations, renewals, extensions and continuations-in-part thereof.

This security interest is granted in conjunction with the security interest granted to Agent under the Loan Documents. The rights and remedies of Agent with respect to the security interest granted hereby are in addition to those set forth in the Loan Agreement, the Security Agreement and the other Loan Documents, and those which are now or hereafter available to Agent, as a matter of law or equity. Each right, power and remedy of Agent provided for herein or in the Loan Agreement, the Security Agreement or any of the Loan Documents, or now or hereafter existing at law or in equity shall be cumulative and concurrent and shall be in addition to every right, power or remedy provided for herein and the exercise by Agent of any one or more of the rights, powers or remedies provided for in this Intellectual Property Security Agreement, the Loan Agreement, the Security Agreement or any of the other Loan Documents, or now or hereafter existing at law or in equity, shall not preclude the simultaneous or later exercise by any person, of any or all other rights, powers or remedies.

Each Grantor hereby authorizes Agent to file and/or record, in the relevant office(s), including the United States Patent and Trademark Office and the United States Copyright Office, this Intellectual Property Security Agreement and any and all amendments and/or modifications hereto, including to the Exhibits attached hereto from time to time, and other documents, without the signature of such Grantor either in Agent's name or in the name of Agent as agent and attorney-in-fact for such Grantor.

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Sections 11 (Choice of Law and Venue; Jury Trial Waiver; Judicial Reference), 12.2 (Indemnification), 12.4 (Severability of Provisions), 12.6 (Amendments in Writing; Waiver; Integration), 12.7 (Counterparts), 12.10 (Attorney's Fees, Costs and Expenses) and 12.11(Electronic Execution of Documents) of the Loan Agreement are incorporated herein by this reference as though set forth in full.

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

**GRANTORS:** 

GEMINI BIOPRODUCTS, LLC

Signature:\_\_\_

Print Name: Edwin Mendham Title: Chief Financial Officer

Edwin Mendliam

GEMINI BIOPRODUCTS HOLDING, INC.

Signature:\_

Harold Blue Print Name: Harold Blue

Title: President

ORFLO TECHNOLOGIES, LLC

Signature: Edwin Mendham

Print Name: Edwin Mendham Title: Chief Financial Officer

AGENT:

BROADOAK FUND V, L.P.

William Snider

Signature:

Print Name: William Snider

Title: Manager

# EXHIBIT A

Copyrights

None.

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# EXHIBIT B

Patents

OKELO	
recnnologies,	
TTC	

204359-1072-115	204359-1002-101	204359-1001-101	204359-1006-103	204359-1005-103	204359-1070-114	204359-1045-103	204359-1008-103	204359-1033-108	204359-1024-105	204359-1023-105		204359-1075-111	204359-1074-111	204359-1073-111	204359-1039-111	204359-1051-111	204359-1043-111	204359-1042-111	204359-1041-111	204359-1040-111	204359-1036-111	204359-1004-102	204359-1003-102	204359-1000-100		204359-1035-110	CM#
Ultra-Sensitive Platform For Nucleic Acid Detection Using A Novel Method, Scanning Digital Polymerase Chain Reaction (Pcr) [Con Of 1066]	Thin Film Sensor [Con Of 1001]	Thin Film Sensor	Thin Film Particle Sensor [Cip Of 1005]	Thin Film Particle Sensor	Optical Particle Analyzer [371 Of 1056]	Microfluidic Interrogation Device [Con Of 1008]	Microfluidic Interrogation Device	Method For Multiplexed Microfluidic Bead-Based	Method For Manufacturing A Microfluidic Sensor [Con Of	Method For Manufacturing A Microfluid Sensor	e e	Fluorescence Flow Cytometry [Fr Of 1039]	Fluorescence Flow Cytometry [De Of 1039]	Fluorescence Flow Cytometry [Gb Of 1039]	Fluorescence Flow Cytometry [Ep]	Fluorescence Flow Cytometry Device And Method [Con	Fluorescence Flow Cytometry [Ca]	Fluorescence Flow Cytometry Device And Method [Con Of 1041]	Fluorescence Flow Cytometry Device And Method	Fluorescence Flow Cytometry [Jp]	Fluorescence Flow Cytometry	Fluorescence-Activated Cell Detector [Cip Of 1003]	Fluorescence-Activated Cell Detector	Disposable Particle Counter Cartridge		Combined Optical Imaging And Electrical Detection To Characterize Particles Carried In A Fluid	Title
U.S.	U.S.	U.S.	U.S.	U.S.	U.S.	U.S.	U.S.	U.S.	U.S.	U.S.		France	Germany	UK	EP	U.S.	Canada	U.S.	U.S.	Japan	U.S.	U.S.	U.S.	U.S.		U.S.	*
CON	CON	ORD	CIP	ORD	PCT	CON	CIP	CIP	CON	PCT		EPP	EPP	EPP	PCT	CON	PCT	CON	CIP	PCT	ORD	CIP	ORD	DIV		ORD	Туре
17093429	12156605	11452583	12381252	11800167	16323401	15006332	13666131	13629784	13474485	12936243		13800086.4	13800086.4	13800086.4	13800086.4	15362356	2914628	14801367	14287188	2015515997	13492805	12378757	11701711	12639095		13431877	Application No.
09-Nov-2020	03-Jun-2008	14-Jun-2006	10-Mar-2009	04-May-2007	05-Feb-2019	26-Jan-2016	01-Nov-2012	28-Sep-2012	17-May-2012	04-Oct-2010		09-Jan-2015	09-Jan-2015	09-Jan-2015	09-Jan-2015	28-Nov-2016	04-Dec-2015	16-Jul-2015	26-May-2014	05-Jun-2013	09-Jun-2012	19-Feb-2009	02-Feb-2007	16-Dec-2009		27-Mar-2012	Filing Date
20210054441			20090272179			20160282254		20140094377	20120261067	20110030888		2859332	2859332	2859332	2859332	20170205332	2914628	20160103059	20140264082	2015518973	20130327957	20090189088				20130258318	Publication No.
25-Feb-2021			05-Nov-2009			29-Sep-2016		03-Apr-2014	18-Oct-2012	10-Feb-2011	,	15-Apr-2015	15-Apr-2015	15-Apr-2015	15-Apr-2015	20-Jul-2017	12-Dec-2013	14-Apr-2016	18-Sep-2014	06-Jul-2015	12-Dec-2013	30-Jul-2009				03-Oct-2013	Publication Date
	7579823	7417418	8171778	7520164		9952135	9293311	9452429	8608891	8182635						9945770	2914628	9528926	9103760	6261569	8735853	8072603	7515268	8329437		8804105	Patent No.
	25-Aug-2009	26-Aug-2008	08-May-2012	21-Apr-2009		24-Apr-2018	22-Mar-2016	27-Sep-2016	17-Dec-2013	22-May-2012						17-Apr-2018	15-Dec-2020	27-Dec-2016	11-Aug-2015	22-Dec-2017	27-May-2014	06-Dec-2011	07-Apr-2009	11-Dec-2012		12-Aug-2014	Patent Date
	14-Jun-2026	11-Sep-2026	08-Dec-2028	26-Jun-2027		02-Feb-2027	27-Jan-2029	02-Feb-2027	04-Oct-2030	07-Apr-2029						09-Jun-2032	05-Jun-2033		09-Jun-2032			01-Dec-2027	13-Jul-2027	16-Nov-2025		18-Sep-2032	Expiration Date
Published	Granted	Granted	Granted	Granted	Pending	Granted	Granted	Granted	Granted	Granted		Published	Published	Published	Allowed	Granted	Granted	Granted	Granted	Granted		Granted	Granted	Granted	1,000		Status TEN

REEL: 057806 FRAME: 0226

Abandoned				04-Aug-2011	20110189714	03-Feb-2010	12699745	ORD	U.S.	1031-107 Microfluidic Cell Sorter And Method	204359-1031-107
Lapsed	_	15-Apr-2013	164740			13-Sep-2010	2010066116	PCT	Singapore	1022-105 Method For Manufacturing A Microfluidic Sensor [Sg]	204359-1022-105
Abandoned	07-Apr-2029 /	28-Jun-2013	5303028	26-May-2011	2011516884	06-Oct-2010	2011503986	PCT	Japan		204359-1021-105
Abandoned						05-Oct-2010	7046DELNP2 010	PCT	India	Method For Manufacturing A Microfluidic Sensor [In] -1020-105	204359-1020-105
Abandoned	-			29-Dec-2010	2265923	07-Apr-2009	09731019.7	PCT	Patent Conventio	1019-105 Method For Manufacturing A Microfluidic Sensor [Ep]	204359-1019-105
Abandoned	07-Apr-2029	01-May-2013	200980112090	30-Mar-2011	101999071	07-Apr-2009	20098011209	PCT	(People's Republic) ERP N		204359-1018-105
Sold	26-Sep-2028	13-Jul-2012	160051			23-Mar-2010	2010019768	PCT	Singapore		204359-1016-104
Sold	26-Sep-2028	05-Dec-2014	5658566	24-Oct-2010	2010540226	29-Mar-2010	2010526963	PCT	Japan	-1015-104 Instrumented Pipette Tip [Jp]	204359-1015-104
Sold						_	1661DELNP2 010	PCT	India		204359-1014-104
Sold	26-Sep-2028	22-Mar-2017	2195114	16-Jun-2010	2195114	26-Sep-2008	08835264.6	PCT	EP	-1013-104 Instrumented Pipette Tip [Ep]	204359-1013-104
Sold	26-Sep-2028	19-Feb-2014	200880109435		CN102316988A	29-Mar-2010	20088010943	PCT	China		204359-1012-104
Sold	26-Sep-2028	13-Sep-2011	8015887	12-Aug-2010	20100199788	23-Mar-2010	12679548	PCT	U.S.	-1017-104 Instrumented Pipette Tip	204359-1017-104
Converted	03-Jan-2019					03-Jan-2018	62613348	PRO	U.S.	Sequencing Prep System That Correlates Cell Phenotype Vith Genotype [Pro]	204359-1059-115
2babandone d	64			11-Jul-2019	2019136153		Pctus2019012	Ord	Pct	Sequencing Prep System That Correlates Cell Phenotype With Genotype [Pct Of 1059, 1060, And 1061] High Efficiency, Array Based, Single Cell	204359-1067-Tbd
Abandoned				22-Nov-2018	20180335378	16-Apr-2018	15954260	CON	U.S.	Fluorescence Flow Cytometry Device And Method [Con Of 1051]High Effici	204359-1062-111
Converted				12-Dec-2013	2013184168	05-Jun-2013	PCTUS20130 00145	ORD	PCT	-1037-111   Fluorescence Flow Cytometry [Pct]	204359-1037-111
_				01-Jul-2015	CN104755912A	05-Jun-2013	20138004220 4.5	PCT	(People's Republic)		204359-1038-111
Converted <b>E</b>						09-Jan-2018	62615381	Pro	U.S.	-1060-Tbd Electro-Magnetic, Thin-Film Particle Sorter [Pro]	204359-1060-Tbd
Converted						19-Jan-2018	62619577	Pro	U.S.	-1061-Tbd   Electro-Magnetic, Cantilever Thin-Film Sorting [Pro]	204359-1061-Tbd
Expired • OF	29-May-2019					29-May-2018	62677583	Pro	U.S.	Consumable Microplate For A Fully Automated Cell And Particle Analyzer [Pro]	204359-1064-Tbd
1	30-Oct-2018					30-Oct-2017	62578866	PRO	U.S.	Cell Droplet Generator/S	204359-1057-115
Convert A	31-Mar-2018					31-Mar-2017	62480305	PRO	U.S.		204359-1055-114
								ORD	U.S.		204359-1052-112
Expire 7	22-Jan-2017					22-Jan-2016	62281915	PRO	U.S.		204359-1044-112
Inactive A N								ORD	PCT	-1053-112 Capillary Carousel Flow Cytometer [Pct Of 1044]	204359-1053-112
				The Color money	#0#00m0	דד ממה המזמ	10001000		Ċ.	nd	Abandoned,
Published 02				17-Sen-2020	20200292541	11-Sen-2018	16084036	PCT	118	Whole Blood Staining Preparation Cartridge And System	204359-1065-113
2-											

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204359-1066-115	204359-1054-113	204359-1046-113	204359-1058-115	204359-1047-109	204359-1034-109	204359-1007-103	204359-1029-106	204359-1028-106	204359-1027-106	204359-1026-106	204359-1025-106	204359-1030-106	204359-1050-114	204359-1049-114	204359-1056-114	204359-1069-114	204359-1068-114	204359-1071-108	204359-1048-108	204359-1063-103	204359-1032-107
Ultra-Sensitive Platform For Nucleic Acid Detection Using A Novel Method, Scanning Digital Polymerase Chain Reaction (Pcr) [Util Of 1058]	Whole Blood Staining Preparation Cartridge And System [Pct Of 1046]	Whole Blood Staining Prep Cartridge For White Blood Cell Analysis [Pro]	Detection Using A Novel Method, Scanning Digital Polymerase Chain Reaction (Pcr) [Pro]	Microfluidic For Nucleic	Sample-Acquiring Microfluidic Tester	Reusable Thin Film Particle Sensor	Pipette Instrument [Sg]	Pipette Instrument [Jp]	Pipette Instrument [In]	Pipette Instrument [Ep]	Pipette Instrument [Cn]	Pipette Instrument	Optical Single Particle Analyzer [Pro]	Optical Single Particle Analyzer [Pro]	Optical Particle Analyzer [Pct Of 1049, 1050, & 1055]	Optical Particle Analyzer [Jp Of 1056]	Optical Particle Analyzer [Ep Of 1056]	Multiplexed Microfluidic Bead-Based Immunoassay [Con Of 1048]	Multiplexed Microfluidic Bead-Based Immunoassay [Con Of 1033]	Microfluidic Interrogation Device [Con Of 1045]	Microfluidic Cell Sorter With Electroporation
U.S.	PCT	U.S.	U.S.	U.S.	U.S.	U.S.	Singapore	Japan	India	EP	China	U.S.	U.S.	U.S.	PCT	Japan	Patent Conventio	U.S. E P	U.S.	U.S.	U.S.
ORD	ORD	PRO	PRO	CON	ORD	CIP	PCT	PCT	PCT	PCT	PCT	PCT	PRO	PRO	ORD	PCT	PCT	CON	CON	CON	CIP
16184863	PCTUS20170 22602	62308351	62584055	15135316	13615252	12985536	2011077260	2012508437	8375DELNP2 011	09844122.3	20098015897	13266450	62420394	62371395	PCTUS20170 45766	2019527784	17837823.8	16298999	15159652	15953302	12872749
08-Nov-2018	15-Mar-2017	15-Mar-2016	09-Nov-2017	21-Apr-2016	13-Sep-2012	06-Jan-2011	21-Oct-2011	27-Oct-2011	24-Oct-2011	27-Apr-2009	26-Oct-2011	26-Oct-2011	10-Nov-2016	05-Aug-2016	07-Aug-2017	05-Feb-2019	05-Mar-2019	11-Mar-2019	19-May-2016	16-Apr-2018	31-Aug-2010
20190136296	2017161057			20160256869		20110162439	0175303	2012525585		2425226	CN102414550A	20120046883			2018027229	2019525202	3494380		20170023560	20180335376	20110189650
09-Mav-2019	21-Sep-2017			08-Sep-2016		07-Jul-2011	28-Nov-2011	22-Oct-2012		07-Mar-2012		23-Feb-2012			08-Feb-2018	05-Sep-2019	12-Jun-2019		26-Jan-2017	22-Nov-2018	04-Aug-2011
					9333502	8616048	175303	5550719			11-Apr-2012 200980158970 16-Jul-2014	9470616									
					10-May-2016	31-Dec-2013	31-Jul-2014	30-May-2014			16-Jul-2014	18-Oct-2016									
		15-Mar-2017	09-Nov-2018		19-Sep-2034	05-Jun-2028	27-Apr-2029	27-Apr-2029			27-Apr-2029	18-Feb-2032	10-Nov-2017	05-Aug-2017							
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#### **EXHIBIT C**

#### Trademarks

#### Gemini Bioproducts, LLC Trademarks:

- 1. Trademark (Reg. No. 5,451,423) registered on April 24, 2018 for "BENCHMARK".
- 2. Trademark (Reg. No. 5,457,753) registered on May 1 2018 for "CHRYSALIS".
- 3. Trademark (Reg. No. 5,437,088) registered on April 3, 2018 for "CONTINUUM".
- 4. Trademark (Reg. No. 5,457,751) registered May 1, 2018 for "FETALPLEX".
- 5. Trademark (Reg. No. 5,447,445) registered on April 17, 2018 for "FOUNDATION B".
- 6. Trademark (Reg. No. 5,447,439) registered on April 17, 2018 for "FOUNDATION".
- 7. Trademark (Reg. No. 5,473,957) registered on May 22, 2018 for "GEM 21 NEUROPLEX".
- 8. Trademark (Reg. No. 5,473,958) registered on May 22, 2018 for "N2 NEUROPLEX".
- 9. Trademark (Reg. No. 5,437,089) registered on April 3, 2018 for "NEUROPLEX".
- 10. Trademark (Reg. No. 5,473,959) registered on May 22, 2018 for "NO WORRIES".
- 11. Trademark (Reg. No. 5,457, 754) registered on May 1, 2018 for "STASIS".

#### ORFLO Technologies, LLC Trademarks:

- 1. ORFLO Registered App. Number 77/848484
- 2. Moxi Z Registered App. Number 85376056
- 3. Moxi Flow Registered App. Number 86/125344
- 4. Next-Gen Flow Cytometry Registered App. Number 86/458929
- 5. PrepDX Pending App. Number 87/261851

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**RECORDED: 10/15/2021**