

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT6956383

|                              |                   |
|------------------------------|-------------------|
| <b>SUBMISSION TYPE:</b>      | NEW ASSIGNMENT    |
| <b>NATURE OF CONVEYANCE:</b> | CHANGE OF ADDRESS |

**CONVEYING PARTY DATA**

| Name                      | Execution Date |
|---------------------------|----------------|
| RHIZEN PHARMACEUTICALS AG | 09/14/2021     |

**RECEIVING PARTY DATA**

|                          |                           |
|--------------------------|---------------------------|
| <b>Name:</b>             | RHIZEN PHARMACEUTICALS AG |
| <b>Street Address:</b>   | GROSSPETER TOWER          |
| <b>Internal Address:</b> | GROSSPETERANLAGE 29       |
| <b>City:</b>             | BASEL                     |
| <b>State/Country:</b>    | SWITZERLAND               |
| <b>Postal Code:</b>      | 4052                      |

**PROPERTY NUMBERS Total: 63**

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 16557553 |
| Application Number: | 17301841 |
| Application Number: | 16156378 |
| Application Number: | 17220806 |
| Application Number: | 17303494 |
| Application Number: | 16865034 |
| Application Number: | 14902536 |
| Application Number: | 16825755 |
| Application Number: | 14769365 |
| Application Number: | 17247806 |
| Application Number: | 16810219 |
| Application Number: | 16999825 |
| Application Number: | 16759629 |
| Application Number: | 16762870 |
| Application Number: | 17250864 |
| Patent Number:      | 10442783 |
| Patent Number:      | 8642607  |
| Patent Number:      | 9018375  |
| Patent Number:      | 9421209  |

PATENT

| <b>Property Type</b>  | <b>Number</b> |
|-----------------------|---------------|
| <b>Patent Number:</b> | 10538501      |
| <b>Patent Number:</b> | 8377970       |
| <b>Patent Number:</b> | 8921364       |
| <b>Patent Number:</b> | 9758514       |
| <b>Patent Number:</b> | 9944631       |
| <b>Patent Number:</b> | 10246450      |
| <b>Patent Number:</b> | 10668051      |
| <b>Patent Number:</b> | 8993612       |
| <b>Patent Number:</b> | 10174034      |
| <b>Patent Number:</b> | 8686048       |
| <b>Patent Number:</b> | 9758474       |
| <b>Patent Number:</b> | 8481739       |
| <b>Patent Number:</b> | 8912331       |
| <b>Patent Number:</b> | 10087182      |
| <b>Patent Number:</b> | 10590129      |
| <b>Patent Number:</b> | 9181214       |
| <b>Patent Number:</b> | 9775841       |
| <b>Patent Number:</b> | 10220035      |
| <b>Patent Number:</b> | 10322130      |
| <b>Patent Number:</b> | 11020399      |
| <b>Patent Number:</b> | 9309216       |
| <b>Patent Number:</b> | 10130635      |
| <b>Patent Number:</b> | 9150579       |
| <b>Patent Number:</b> | 9475818       |
| <b>Patent Number:</b> | 9669033       |
| <b>Patent Number:</b> | 10072013      |
| <b>Patent Number:</b> | 10570142      |
| <b>Patent Number:</b> | 10981919      |
| <b>Patent Number:</b> | 9815831       |
| <b>Patent Number:</b> | 11066402      |
| <b>Patent Number:</b> | 9737521       |
| <b>Patent Number:</b> | 10058539      |
| <b>Patent Number:</b> | 10413532      |
| <b>Patent Number:</b> | 11065236      |
| <b>Patent Number:</b> | 9790224       |
| <b>Patent Number:</b> | 10851107      |
| <b>Patent Number:</b> | 9783533       |
| <b>Patent Number:</b> | 10611759      |

| Property Type  | Number   |
|----------------|----------|
| Patent Number: | 9969740  |
| Patent Number: | 10414773 |
| Patent Number: | 10947244 |
| Patent Number: | 9708329  |
| Patent Number: | 10179786 |
| Patent Number: | 10786504 |

**CORRESPONDENCE DATA**

**Fax Number:** (917)332-3733

*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

**Phone:** 12128855000

**Email:** judy.yeddo@BlankRome.com

**Correspondent Name:** JUDY YEDDO

**Address Line 1:** 1271 AVENUE OF THE AMERICAS

**Address Line 4:** NEW YORK, NEW YORK 10020

**ATTORNEY DOCKET NUMBER:** 139095.00100

**NAME OF SUBMITTER:** JUDY YEDDO

**SIGNATURE:** /Judy Yeddo/

**DATE SIGNED:** 10/06/2021

**Total Attachments: 4**

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# Commercial Register Office of the Canton of Basel-Stadt

Company number      Legal entity      Registration      Cancellation      Transfer CH-645.4.103.326-3  
**CHE-114.577.507**      **Corporation**      Nov. 06, 2008      from:  
to:

1

[Bar code]

All entries

| En | Ca | Company  | Ref | Main office                   |
|----|----|--|-----|-------------------------------|
| 0  | 1  | Rhizen Pharmaceuticals SA                                | 0   | previously: La Chaux-de-Fonds |
| 0  | 1  | (Rhizen Pharmaceuticals AG) (Rhizen Pharmaceuticals Ltd) | 1   | Basel                         |
| 1  |    | <b>Rhizen Pharmaceuticals AG</b>                         |     |                               |
| 1  |    | (Rhizen Pharmaceuticals SA) (Rhizen Pharmaceuticals Ltd) |     |                               |

| En | Ca | Share capital (CHF) | Payment (CHF) | Share denominations                   | En | Ca | Business address         |
|----|----|---------------------|---------------|---------------------------------------|----|----|--------------------------|
| 0  |    | 124,000.00          | 124,000.00    | 124,000 registered shares at CHF 1.00 | 0  | 1  | rue Fritz-Gourvoisier 40 |
|    |    |                     |               |                                       |    | 1  | 2300 La Chaux-de-Fonds   |
|    |    |                     |               |                                       |    | 1  | Steinentorstr. 23        |
|    |    |                     |               |                                       |    |    | 4051 Basel               |
|    |    |                     |               |                                       |    | 2  | Grosspeter tower         |
|    |    |                     |               |                                       |    |    | Grosspeteranlage 29      |
|    |    |                     |               |                                       |    |    | 4052 Basel               |

| En | Ca | Purpose  | En | Ca | other addresses |
|----|----|--|----|----|-----------------|
| 0  | 1  | <del>Purpose: all research and development activities in the field of pharmaceutical and biotechnological products, their manufacture, marketing and all related operations, including in the field of patents and other intellectual and industrial property rights.</del>  |    |    |                 |
| 1  |    | The purpose of the company includes: all research and development activities in the field of pharmaceutical and biotechnological products, the manufacture thereof, the marketing thereof and all related activities, including those in the field of patents and other intellectual and industrial property rights. The company may also participate in other companies of any kind at home or abroad, establish such companies, take over and merge with them. It can acquire, encumber and sell real estate and intellectual property rights at home and abroad and, by resolution of the management, establish branches and agencies at home or abroad. The company may carry out all commercial, financial and other activities that are related to the purpose of the company. The company can grant loans or other financing to its direct or indirect parent company and all other group companies and provide securities of all kinds for liabilities of such other companies, including by means of liens on or fiduciary transfer of assets of the company or guarantees of any kind, whether for consideration or not. |    |    |                 |

| En | Ca | Notes   | Ref | Date of Articles of Association |
|----|----|---|-----|---------------------------------|
| 0  | 1  | <del>Notifications to shareholders via Swiss government's Official Gazette of Commerce (F.O.S.C.) or registered mail, fax or email.</del> | 0   | Nov. 04, 2008                   |
|    |    |   | 0   | Aug. 15, 2012                   |
| 1  |    | The transferability of the registered shares is limited in accordance with the Articles of Association                                    | 0   | July 21, 2015                   |
| 1  |    | Company notifications to shareholders are sent by letter, fax or email.   | 1   | Dec. 02, 2020                   |

| En | Ca | Specific facts | Ref | Publication medium |
|----|----|----------------|-----|--------------------|
|    |    |                | 0   | SHAB               |

| Ref | TR no. | TR date       | SHAB | SHAB date.    | page / id  | Ref | TR no. | TR date | SHAB | SHAB date. | page / id |
|-----|--------|---------------|------|---------------|------------|-----|--------|---------|------|------------|-----------|
| 0   |        |               |      |               |            |     |        |         |      |            |           |
|     |        | (Relocation)  |      | (Relocation)  |            |     |        |         |      |            |           |
| 1   | 7090   | Dec. 07, 2020 | 241  | Dec. 10, 2020 | 1005044313 |     |        |         |      |            |           |
| 2   | 5937   | Sep. 09, 2021 | 178  | Sep. 14, 2021 | 1005290862 |     |        |         |      |            |           |

| En | Ch | Ca | Personal details  | Function                           | Signature type |
|----|----|----|---|------------------------------------|----------------|
| 0  |    |    | Amin, Pranav Chirayu, Indian citizen, in Vadodara (IN)                | Chairman of the Board of Directors | Sole signatory |
| 0  |    |    | Kelemen, Ladislaus Szilard, von Villeneuve (VD), in La Chaux-de-Fonds | Member of the Board of Directors   | Sole signatory |



# Commercial Register Office of the Canton of Basel-Stadt

|                 |                           |       |   |
|-----------------|---------------------------|-------|---|
| CHE-114.577.507 | Rhizen Pharmaceuticals AG | Basel | 2 |
|-----------------|---------------------------|-------|---|

## All entries

| En | Ch | Ca | Personal details   | Function   | Signature type |
|----|----|----|--|--|----------------|
| 0  |    |    | Vakkalanka, Swaroop Kumar Venkata Satya, Indian citizen, in Hyderabad (IN) | Member of the Board of Directors + General Manager | Sole signatory |
| 0  |    |    | D & D Fiduciaire S.A. (CHE-103.460.025), in Neuchatel                      | Auditor  |                |

Basel, Sep. 14, 2021 11:14 DI

This excerpt from the cantonal commercial register is not valid without the accompanying original certification. It contains all current entries for this company as well as any entries that have been deleted. Upon special request, an excerpt can also be created that only contains all current entries.



Commercial Register Office Basel-Stadt

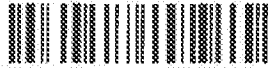
[signature]

[Seal]: Commercial  
Register Office  
Basel-Stadt



# Handelsregisteramt des Kantons Basel-Stadt

|                                 |                                   |                          |          |   |   |
|---------------------------------|-----------------------------------|--------------------------|----------|---|---|
| Firmennummer<br>CHE-114.577.507 | Rechtsnatur<br>Aktiengesellschaft | Eintragung<br>06.11.2008 | Löschung | Übertrag CH-645.4.103.326-3<br>von:<br>auf: | 1 |
|---------------------------------|-----------------------------------|--------------------------|----------|---|---|



Alle Eintragungen

| Ei | Lö | Firma  | Ref | Sitz                      |
|----|----|--|-----|---------------------------|
| 0  | 1  | Rhizen Pharmaceuticals SA                                | 0   | bisher: La Chaux-de-Fonds |
| 0  | 1  | (Rhizen Pharmaceuticals AG) (Rhizen Pharmaceuticals Ltd) | 1   | Basel                     |
| 1  |    | <b>Rhizen Pharmaceuticals AG</b>                         |     |                           |
| 1  |    | (Rhizen Pharmaceuticals SA) (Rhizen Pharmaceuticals Ltd) |     |                           |

| Ei | Lö | Aktienkapital (CHF) | Liberierung (CHF) | Aktien-Stückelung               | Ei | Lö | Domiziladresse  |
|----|----|---------------------|-------------------|---------------------------------|----|----|---|
| 0  |    | 124'000.00          | 124'000.00        | 124'000 Namenaktien zu CHF 1.00 | 0  | 1  | rus-Fritz-Courvoisier-40<br>2300 La-Chaux-de-Fonds    |
|    |    |                     |                   |                                 | 1  | 2  | Steinentorstr-23<br>4051 Basel                        |
|    |    |                     |                   |                                 | 2  |    | Grosspeter tower<br>Grosspeteranlage 29<br>4052 Basel |

| Ei | Lö | Zweck  | Ei | Lö | weitere Adressen |
|----|----|--|----|----|------------------|
| 0  | 1  | Bur toutes activités de recherche et développement dans le domaine des produits pharmaceutiques et biotechnologiques; leur fabrication; leur commercialisation ainsi que toutes opérations s'y rapportant, y compris dans le domaine des brevets et des autres droits de la propriété intellectuelle et industrielle.  |    |    |                  |
| 1  |    | Der Zweck der Gesellschaft umfasst: alle Forschungs- und Entwicklungstätigkeiten auf dem Gebiet der pharmazeutischen und biotechnologischen Produkte, deren Herstellung, deren Vermarktung und alle damit zusammenhängenden Tätigkeiten, einschliesslich jener im Bereich der Patente und anderer geistiger und gewerblicher Eigentumsrechte. Die Gesellschaft kann sich daneben an anderen Unternehmen irgendwelcher Art im In- und Ausland beteiligen, solche gründen, übernehmen und mit ihnen fusionieren. Sie kann Liegenschaften und Immaterialgüterrechte im In- und Ausland erwerben, belasten und veräussern sowie durch Beschluss der Geschäftsführung Zweigniederlassungen und Agenturen im In- oder Ausland errichten. Die Gesellschaft kann alle kommerziellen, finanziellen und andere Tätigkeiten ausüben, die mit dem Zweck der Gesellschaft im Zusammenhang stehen. Die Gesellschaft kann ihrer direkten oder indirekten Muttergesellschaft sowie allen weiteren Konzerngesellschaften Darlehen oder andere Finanzierungen gewähren und für Verbindlichkeiten von solchen anderen Gesellschaften Sicherheiten aller Art stellen, einschliesslich mittels Pfandrechten an oder fiduziarischen Übereignungen von Aktiven der Gesellschaft oder Garantien jedweicher Art, ob gegen Entgelt oder nicht. |    |    |                  |

| Ei | Lö | Bemerkungen   | Ref | Statutendatum |
|----|----|---|-----|---------------|
| 0  | 1  | Convocations des actionnaires: F.O.S.C. ou lettre recommandée, téléfax, courrier électronique | 0   | 04.11.2008    |
| 1  |    | Die Übertragbarkeit der Namenaktien ist nach Massgabe der Statuten beschränkt.                | 0   | 15.08.2012    |
| 1  |    | Die Mitteilungen der Gesellschaft an die Aktionäre erfolgen durch Brief, Telefax oder E-Mail. | 0   | 21.07.2015    |
|    |    |   | 1   | 02.12.2020    |

| Ei | Lö | Besondere Tatbestände | Ref | Publikationsorgan |
|----|----|-----------------------|-----|-------------------|
|    |    |                       | 0   | SHAB              |

| Ref | TR-Nr | TR-Datum        | SHAB | SHAB-Dat.       | Seite / Id | Ref | TR-Nr | TR-Datum | SHAB | SHAB-Dat. | Seite / Id |
|-----|-------|-----------------|------|-----------------|------------|-----|-------|----------|------|-----------|------------|
| 0   |       | (Sitzverlegung) |      | (Sitzverlegung) |            |     |       |          |      |           |            |
| 1   | 7090  | 07.12.2020      | 241  | 10.12.2020      | 1005044313 |     |       |          |      |           |            |
| 2   | 5937  | 09.09.2021      | 178  | 14.09.2021      | 1005290862 |     |       |          |      |           |            |

| Ei | Ae | Lö | Personalangaben   | Funktion                       | Zeichnungsart      |
|----|----|----|---|--------------------------------|--------------------|
| 0  |    |    | Amin, Pranav Chirayu, indischer Staatsangehöriger, in Vadodara (IN)   | Präsident des Verwaltungsrates | Einzelunterschrift |
| 0  |    |    | Kelemen, Ladislaus Szilard, von Villeneuve (VD), in La Chaux-de-Fonds | Mitglied des Verwaltungsrates  | Einzelunterschrift |



# Handelsregisteramt des Kantons Basel-Stadt

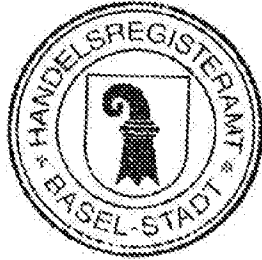
|                 |                           |       |   |
|-----------------|---------------------------|-------|---|
| CHE-114.577.507 | Rhizen Pharmaceuticals AG | Basel | 2 |
|-----------------|---------------------------|-------|---|

## Alle Eintragungen

| Ei | Ae | Lö | Personalangaben   | Funktion  | Zeichnungsart      |
|----|----|----|---|---|--------------------|
| 0  |    |    | Vakkalanka, Swaroop Kumar Venkata Saiya, Indischer Staatsangehöriger, in Hyderabad (IN) | Mitglied des Verwaltungsrates + Generaldirektor | Einzelunterschrift |
| 0  |    |    | D & D Fiduciaire S.A. (CHE-103.460.025), in Neuchâtel                                   | Revisionsstelle                                 |                    |

Basel, 14.09.2021 11:14 DI

Dieser Auszug aus dem kantonalen Handelsregister hat ohne die nebenstehende Originalbeglaubigung keine Gültigkeit. Er enthält alle gegenwärtig für diese Firma aktuellen Eintragungen sowie allfällig gestrichene Eintragungen. Auf besonderes Verlangen kann auch ein Auszug erstellt werden, der lediglich alle gegenwärtig aktuellen Eintragungen enthält.



Handelsregisteramt Basel-Stadt