10/22/2021 506937183

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6984021

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	EXECUTIVE ORDER 9424, CONFIRMATORY LICENSE

CONVEYING PARTY DATA

Name	Execution Date
UNIVERSITY OF CALIF-LAWRENC BERKELEY LAB	04/20/2015

RECEIVING PARTY DATA

Name:	UNITED STATES DEPARTMENT OF ENERGY	
Street Address:	1000 INDEPENDENCE AVE. S.W.	
City:	WASHINGTON	
State/Country:	D.C.	
Postal Code:	20585	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	14671061

CORRESPONDENCE DATA

Fax Number: (630)252-2779

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: (630) 252-2137

Email: Confirmatory.License@science.doe.gov

Correspondent Name: TIMOTHY L HARNEY Address Line 1: 9800 S. CASS AVE

Address Line 2: **IPLD**

Address Line 4: LEMONT, ILLINOIS 60439

ATTORNEY DOCKET NUMBER:	14-671061 CAR
NAME OF SUBMITTER:	CYNTHIA RIDGE
SIGNATURE:	/S/CYNTHIA RIDGE
DATE SIGNED:	10/22/2021

Total Attachments: 1

source=14-671061 License#page1.tif

PATENT REEL: 057878 FRAME: 0516 506937183

CONFIRMATORY LICENSE

Title:

CONDUCTIVE TRANSITION METAL OXIDE

NANOSTRUCTURED ELECTROCHROMIC MATERIAL AND OPTICAL SWITCHING DEVICES CONSTRUCTED THEREOF

Contractor:

The Regents of the University of California

Contract No:

DE-AC02-05CH11231

LBNL Docket No:

2014-040-03

DOE Docket No.: S-135,675

Inventor(s): Tracy M Mattox, Bonil Koo,

Guillermo Garcia, Delia J.

US Patent App No.: 14/671,061 Filing Date:

March 27, 2015

Milliron, Luca De Trizio and

U.S. Patent No.:

Clayton Dahlman

Issue Date:

Foreign patent applications filed or intended to be filed at contractor's expense in (countries): (PCT)

The Contractor certifies that a true copy of the provisions which govern patent rights in "subject inventions" under the above-identified contract is herewith submitted or has been submitted to the U.S. Department of Energy by certification dated October 29, 1996.

WHEREAS, the above-identified contract provides the Contractor with the right to elect to retain title in certain inventions and the Contractor has reported the above-identified invention as a subject invention under the contract, has elected to retain title therein, and has agreed to file a domestic patent application thereon, if not previously filed.

ACCORDINGLY, the Contractor hereby confirms that under the provisions of the above-identified contract governing patent rights, it has granted to the Government a nonexclusive, nontransferable, irrevocable, paid-up license to practice or have practiced for or on behalf of the United States the subject invention throughout the world. This license applies to the above-identified invention, the above-identified patent application(s), and any and all divisions or continuations thereof and any resulting patent or reissue patent which may be granted thereon.

The Government reserves for itself, and is hereby granted by the Contractor, the irrevocable power to inspect and make copies of the file wrapper(s) of the above-identified U.S. patent application and of any related or continuation patent application(s), whether domestic or foreign, for the above-identified invention.

It is understood and agreed that this instrument does not preclude the Government from asserting rights under the provisions of the above-identified contract or any other agreement between the Government and the Contractor, or any other rights of the Government with respect to the above-identified invention.

Signed on behalf of: The Regents of the University of California, Lawrence Berkeley National Laboratory

(Contractor)

(Contractor Official's Signature)

Mark D. Menge

Legal Analyst, Patent Admin. Asst.

Stephen C. Glade

Patent Attorney

Ernest Orlando Lawrence Berkeley National Laboratory

Office of Laboratory Counsel and Innovation & Partnerships Office (IPO) One Cyclotron Road, MS 56A-0120 Berkeley, CA 94720-8127

> **PATENT** REEL: 057878 FRAME: 0517

RECORDED: 10/22/2021