

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6981104

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the THE NAME OF ASSIGNEE previously recorded on Reel 012162 Frame 0525. Assignor(s) hereby confirms the THE RESEARCH FOUNDATION AT STATE UNIVERSITY OF NEW YORK.
CONVEYING PARTY DATA	
Name	Execution Date
BENJAMIN S. HSIAO	08/15/2001
COLLIN BRATHWAITE	08/23/2001
DUFEI FANG	08/15/2001
BENJAMIN CHU	08/15/2001
RECEIVING PARTY DATA	
Name:	THE RESEARCH FOUNDATION OF STATE UNIVERSITY OF NEW YORK
Street Address:	N5002 MELVILLE MEMORIAL LIBRARY
Internal Address:	SUNY AT STONY BROOK
City:	STONY BROOK
State/Country:	NEW YORK
Postal Code:	11794
PROPERTY NUMBERS Total: 1	
Property Type	Number
Patent Number:	7172765
CORRESPONDENCE DATA	
Fax Number:	(516)822-3582
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	5168223550
Email:	mlapitan@hbiplaw.com
Correspondent Name:	HOFFMANN & BARON LLP
Address Line 1:	HOFFMANN & BARON LLP
Address Line 2:	6900 JERICHO TURNPIKE
Address Line 4:	SYOSSET, NEW YORK 11791
ATTORNEY DOCKET NUMBER:	178-298 DIV II
NAME OF SUBMITTER:	MARK E. BARON
SIGNATURE:	/mark e. baron/
DATE SIGNED:	10/21/2021

PATENT

Total Attachments: 25

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor:	Benjamin Chu, et al.	Examiner:	Carlos A. Azpuru
Reg. No.:	7,172,765	Group Art Unit:	1615
Confirmation No.:	2137	Docket:	178-298 DIV II
Filed:	November 21, 2003	Dated:	October 21, 2021
For:	BIODEGRADABLE AND/OR BIOABSORBABLE FIBROUS ARTICLES AND METHODS FOR USING THE ARTICLES FOR MEDICAL APPLICATIONS		

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Certificate of EFS-Web Transmission

I hereby certify that this correspondence is being transmitted to the U.S. Patent and Trademark Office via the Office's electronic filing system on October 21, 2021.

Printed Name: Maria Lapitan

Signature: /maria lapitan /

RESPONSE TO NON-RECORDATION OF ASSIGNMENT DOCUMENT

Sir:

Attached please find a Corrected Cover sheet for the Assignment of the referenced patent application.

Respectfully submitted,

/mark e baron/
Mark E. Baron
Registration No. 46,150
Attorney for Applicant

HOFFMANN & BARON, LLP
6900 Jericho Turnpike
Syosset, New York 11791
(516) 822-3550
MEB:ml

2289555.1

REG. NO. 7,172,765

DOCKET NO. 178-298 DIV II

DOCUMENT ID NO. 506850774

ACCESS CODE NO. 2CUQIGCGWFF4AU2

PATENT

REEL: 057885 FRAME: 0151

CORRECTED PATENT ASSIGNMENT COVER SHEET

TO:HOFFMANN & BARON LLP COMPANY:HOFFMANN & BARON LLP

506850774 09/02/2021

CORRECTED PATENT ASSIGNMENT COVER SHEET

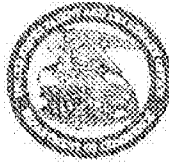
Electronic Version v1.1
Stylesheet Version v1.209/02/2021
506850774

EPAS ID: PAT6897595

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT												
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<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>BENJAMIN CHU</td><td>08/15/2001</td></tr><tr><td>BENJAMIN S. HSIAO</td><td>08/15/2001</td></tr><tr><td>COLLIN BRATHWAITE</td><td>08/23/2001</td></tr><tr><td>DUFEI FANG</td><td>08/15/2001</td></tr></tbody></table>	Name	Execution Date	BENJAMIN CHU	08/15/2001	BENJAMIN S. HSIAO	08/15/2001	COLLIN BRATHWAITE	08/23/2001	DUFEI FANG	08/15/2001			
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Address Line 4:	SYOSSET, NEW YORK 11791												
ATTORNEY DOCKET NUMBER:	178-298 DIV II												
NAME OF SUBMITTER:	LINDA D. CHIN												
SIGNATURE:	/linda d. chin/												
DATE SIGNED:	09/02/2021												

NOTICE OF NON-RECORDATION OF ASSIGNMENT

O:HOFFMANN & BARON LLP COMPANY:HOFFMANN & BARON LLP



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

October 04, 2021

PTAS

HOFFMANN & BARON LLP
HOFFMANN & BARON LLP
6900 JERICHO TURNPIKE
SYOSSET, NY 11791

506850774

United States Patent and Trademark Office
Notice of Non-Recordation of an Assignment Document

The enclosed document has been examined and found non-recordable by the Assignment Recordation Branch of the U.S. Patent and Trademark Office. The reason(s) for non-recordation are stated below:

1. The execution dates of the assignor's on the cover sheet does not coincide with the document submitted.

Documents being resubmitted for recordation must reflect the corrected information to be recorded, the Document ID number referenced above and all pages from this submitted document. The original date of filing of this assignment document will be maintained if resubmitted with the appropriate correction(s) by Monday, December 6, 2021, as outlined under 37 CFR 3.51. The resubmitted document must include a stamp with the official date of receipt under 37 CFR 3. Applicants may use the certified procedures under 37 CFR 1.8 or 1.10 for resubmission of the returned papers if they desire to have the benefit of the date of deposit in the United States Postal Service.

To file the resubmission electronically, navigate to the EPAS website at <http://epas.uspto.gov>, click the Start Resubmission button and enter the following information:

Document ID: 506850774

Access Code: 2CUQIGCGWFF4AU2

To file the resubmission in paper, send documents to: U.S. Patent and Trademark Office, Mail Stop: Assignment Recordation Branch, P.O. BOX 1450, Alexandria, VA 22313. If you have any questions regarding this notice, you may contact the Assignment Recordation Branch at 571-272-3350.

LAZENA MARTIN
ASSIGNMENT RECORDATION BRANCH
PUBLIC RECORDS DIVISION

506850774 09/02/2021

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.209/02/2021
506850774

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DUFEI FANG	08/25/2021
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Phone:	5168223550
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Address Line 2:	6900 JERICHO TURNPIKE
Address Line 4:	SYOSSET, NEW YORK 11791
ATTORNEY DOCKET NUMBER:	178-298 DIV II
NAME OF SUBMITTER:	LINDA D. CHIN
SIGNATURE:	/linda d. chin/
DATE SIGNED:	09/02/2021

O:HOFFMANN & BARON LLP COMPANY:HOFFMANN & BARON LLP

Total Attachments: 16

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ORIGINAL ASSIGNMENT DOCUMENT

09-19-2001

Docket No.: 178-293

FORM PTO-1595 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
Copyright 1994-97 LegalStar
P08/REV02



EET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101848403

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. Benjamin Chu
2. Benjamin S. Hsiao
3. Dufei Fang
4. Collin Brathwaite

09/14/01

2. Name and address of receiving party(ies):

Name: The Research FoundationInternal Address: at State University of New YorkAdditional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Street Address: SUNY at Stony BrookN5002 Melville Memorial LibraryCity: Stony Brook State: NY ZIP: 11794

Execution Date: 1)8/15/01 2)8/15/01 3)8/15/01 4)8/23/01

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/859,007

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ronald J. Baron, Esq.Internal Address: Hoffmann & Baron, LLPStreet Address: 6900 Jericho TurnpikeCity: Syosset State: NY ZIP: 117916. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):.....\$ 40.00☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

08-2461

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert C. Morriss

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 4

PATENT

REEL: 012160 FRAME: 0525

REEL: 057885 FRAME: 0159

For: ☐ U.S. and/or ☐ Foreign Rights
For: ☒ U.S. Application;
☐ U.S. Provisional Application;
☐ U.S. Patent; or
☐ PCT Application
By: ☒ Inventors or ☐ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Benjamin Chu
Name

Benjamin S. Hsiao
Name

27 View Road
Address

86 Old Field Road
Address

Setauket, NY 11733

Setauket, NY 11733

U.S.A.
Nationality

U.S.A.
Nationality

Dufei Fang
Name

Collin Brathwaite
Name

100 O'Malia Circle
Address

7 Chereb Court
Address

Painted Post, NY 14870

Setauket, NY 11733

China (PRC)
Nationality

U.S.A.
Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____

Reel _____
Frame _____

Assignment of Invention -- Page 1 of 3

PATENT

REEL: 012162 FRAME: 0526

REEL: 057885 FRAME: 0160

hereby sells, assigns and transfers to

ASSIGNEE:

of BC 08/25/2021
The Research Foundation of State University of New York
(Type or print name of ASSIGNEE)

SUNY at Stony Brook, N5002 Melville Memorial Library
Address

Stony Brook, New York 11794-3369

U.S.A.
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (____%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

and to any and all improvements which are disclosed in the invention entitled:
**BIODEGRADABLE AND/OR BIOABSORBABLE FIBROUS ARTICLES AND
METHODS FOR USING THE ARTICLES FOR MEDICAL APPLICATIONS**

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.
☐ Express Mail label No.: _____, mailed: _____
☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d) ☒ U.S. application Serial No. 0 /09/859,007 filed on May 16, 2001
(e) ☐ International application No. PCT/_____/_____
(f) ☐ U.S. Patent No. _____ issued
☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

Assignment of Invention -- Page 2 of 3

PATENT

DECI - 047487 FRAME - 0277

PATENT

REEL: 057885 FRAME: 0161

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date: X August 15, 2001
Date of signing

X Ben Chu
Benjamin Chu

Date: X Aug. 15, 2001
Date of signing

X Ben Hsiao
Benjamin S. Hsiao

Date: X August 15, 2001
Date of signing

X Dufei Fang
Dufei Fang

Date: X August 23, 2001
Date of signing

X Collin Brathwaite
Collin Brathwaite

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

Assignment of Invention -- Page 3 of 3

RECORDED: 09/14/2001

PATENT
REEL: 012162 FRAME: 0528

PATENT
REEL: 057885 FRAME: 0162

09-19-2001



101848403

Docket No.: 178-293

HEET

U.S. DEPARTMENT OF COMMERCE
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Tab settings

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2. Benjamin S. Hsiao
3. Dufei Fang
4. Collin Brathwaite

09/14/01

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

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- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 1)8/15/01 2)8/15/01 3)8/15/01 4)8/23/01

2. Name and address of receiving party(ies):

Name: The Research Foundation

Internal Address: at State University of New York

Street Address: SUNY at Stony Brook

N5002 Melville Memorial Library

City: Stony Brook State: NY ZIP: 11794

Additional name(s) & address(es) attached? ☐ Yes ☒ No

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A. Patent Application No.(s)

09/859,007

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ronald J. Baron, Esq.

Internal Address: Hoffmann & Baron, LLP

Street Address: 6900 Jericho Turnpike

City: Syosset State: NY ZIP: 11791

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert C. Morriss

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

4

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Benjamin Chu
Name

27 View Road
Address

Setauket, NY 11733

U.S.A.
Nationality

Benjamin S. Hsiao
Name

86 Old Field Road
Address

Setauket, NY 11733

U.S.A.
Nationality

Dufei Fang
Name

100 O'Malia Circle
Address

Painted Post, NY 14870

China (PRC)
Nationality

Collin Brathwaite
Name

7 Chereb Court
Address

Setauket, NY 11733

U.S.A.
Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

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Reel _____
Frame _____

Assignment of Invention -- Page 1 of 3

PATENT

REEL: 012162 FRAME: 0526

PATENT

REEL: 057885 FRAME: 0164

hereby sells, assigns and transfers to

ASSIGNEE:

of BH 8/25/2021
The Research Foundation at State University of New York
(Type or print name of ASSIGNEE)

SUNY at Stony Brook, N5002 Melville Memorial Library
Address

Stony Brook, New York 11794-3369

U.S.A.
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (____ %) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

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(check and complete (a), (b), (c), (d), (e), (f), or (g))

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Assignment of Invention - Page 2 of 3

PATENT
REEL: 012182 FRAME: 0503

PATENT
REEL: 057885 FRAME: 0165

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this

WARNING: Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Date: X August 15, 2001
Date of signing

X Ben Chu
Benjamin Chu

Date: X Aug. 15, 2001
Date of signing

X Ben Hsiao
Benjamin S. Hsiao

Date: X August 15, 2001
Date of signing

X Dufei Fang
Dufei Fang

Date: X August 23, 2001
Date of signing

X Collin Brathwaite
Collin Brathwaite

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

Assignment of Invention -- Page 3 of 3

RECORDED: 09/14/2001

PATENT
REEL: 012160 FRAME: 0528
PATENT
REEL: 057885 FRAME: 0166

09-19-2001

Docket No.: 178-293

FORM PTO-1585 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
Copyright 1994-97 LegalStar
P08/REV02



-HEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101848403

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

1. Benjamin Chu
2. Benjamin S. Hsiao
3. Dufei Fang
4. Collin Brathwaite

09/14/01

2. Name and address of receiving party(ies):

Name: The Research FoundationInternal Address: at State University of New YorkAdditional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Street Address: SUNY at Stony BrookN5002 Melville Memorial LibraryCity: Stony Brook State: NY ZIP: 11794Execution Date: 1)8/15/01 2)8/15/01 3)8/15/01 4)8/23/01Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/859,007

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ronald J. Baron, Esq.Internal Address: Hoffmann & Baron, LLPStreet Address: 6900 Jericho TurnpikeCity: Syosset State: NY ZIP: 117916. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41):.....\$ 40.00☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

08-2461

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert C. Morris

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 4

PATENT

REEL: 012160 FRAME: 0525

REEL: 057885 FRAME: 0167

For: ☐ U.S. and/or ☐ Foreign Rights
For: ☒ U.S. Application;
☐ U.S. Provisional Application;
☐ U.S. Patent; or
☐ PCT Application
By: ☒ Inventors or ☐ Present Owners

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Benjamin Chu
Name

Benjamin S. Hsiao
Name

27 View Road
Address

86 Old Field Road
Address

Setauket, NY 11733

Setauket, NY 11733

U.S.A.
Nationality

U.S.A.
Nationality

Dufei Fang
Name

Collin Brathwaite
Name

100 O'Malia Circle
Address

7 Chereb Court
Address

Painted Post, NY 14870

Setauket, NY 11733

China (PRC)
Nationality

U.S.A.
Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____

Reel _____
Frame _____

Assignment of Invention - Page 1 of 3

PATENT

REEL: 012160 FRAME: 0526

REEL: 057885 FRAME: 0168

hereby sells, assigns and transfers to

ASSIGNEE:

CB 8/30/21

of
The Research Foundation at State University of New York
(Type or print name of ASSIGNEE)

SUNY at Stony Brook, N5002 Melville Memorial Library
Address

Stony Brook, New York 11794-3369

U.S.A.
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE,

(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:
**BIODEGRADABLE AND/OR BIOABSORBABLE FIBROUS ARTICLES AND
METHODS FOR USING THE ARTICLES FOR MEDICAL APPLICATIONS**

and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

- (a) ☐ U.S. patent application executed on even date herewith
(b) ☐ U.S. patent application executed on _____
(c) ☐ U.S. provisional application naming the above inventor(s) for the above-identified invention.
 ☐ Express Mail label No.: _____, mailed: _____
 ☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known
(d) ☒ U.S. application Serial No. 0 /09/859,007 filed on May 16, 2001
(e) ☐ International application No. PCT/_____/_____
(f) ☐ U.S. Patent No. _____ issued _____
 ☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

Assignment of Invention - Page 2 of 3

PATENT

REEL: 012162 FRAME: 0527

PATENT

REEL: 057885 FRAME: 0169

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any re-issue or re-examination thereof.

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Date: X August 15, 2001
Date of signing

X Ben Chu
Benjamin Chu

Date: X Aug. 15, 2001
Date of signing

X Ben Hsiao
Benjamin S. Hsiao

Date: X August 15, 2001
Date of signing

X Dufei Fang
Dufei Fang

Date: X August 23, 2001
Date of signing

X Collin Brathwaite
Collin Brathwaite

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.

Assignment of Invention -- Page 3 of 3

RECORDED: 09/14/2001

PATENT
REEL: 012162 FRAME: 0528
PATENT
REEL: 057885 FRAME: 0170

09-19-2001

Docket No.: 178-293

FORM PTO-1585 (Modified)
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
Copyright 1994-97 LegalStar
P08/REV02



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Patent and Trademark Office

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Tab settings

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1. Name of conveying party(ies):

1. Benjamin Chu
2. Benjamin S. Hsiao
3. Dufei Fang
4. Collin Brathwaite

09/14/01

2. Name and address of receiving party(ies):

Name: The Research Foundation

Internal Address: at State University of New York

Street Address: SUNY at Stony Brook

N5002 Melville Memorial Library

City: Stony Brook

State: NY

ZIP: 11794

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 1)8/15/01 2)8/15/01 3)8/15/01 4)8/23/01

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/859,007

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ronald J. Baron, Esq.

Internal Address: Hoffmann & Baron, LLP

Street Address: 6900 Jericho Turnpike

City: Syosset

State: NY

ZIP: 11791

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

08-2461

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert C. Morriss

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

4

PATENT

REEL: 012160 FRAME: 0525

REEL: 057885 FRAME: 0171

For: ☐ U.S. and/or ☐ Foreign Rights
For: ☒ U.S. Application;
☐ U.S. Provisional Application;
☐ U.S. Patent; or
☐ PCT Application
By: ☒ Inventors or ☐ Present Owners

ASSIGNMENT OF INVENTION

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ASSIGNORS: (inventor(s) or person(s) or entity(ies) who own the invention)

Benjamin Chu
Name

Benjamin S. Hsiao
Name

27 View Road
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86 Old Field Road
Address

Setauket, NY 11733

Setauket, NY 11733

U.S.A.
Nationality

U.S.A.
Nationality

Dufei Fang
Name

Collin Brathwaite
Name

100 O'Malia Circle
Address

7 Chereb Court
Address

Painted Post, NY 14870

Setauket, NY 11733

China (PRC)
Nationality

U.S.A.
Nationality

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on _____

Reel _____
Frame _____

Assignment of Invention -- Page 1 of 3

PATENT

REEL: 012160 FRAME: 0526

REEL: 057885 FRAME: 0172

hereby sells, assigns and transfers to

Dufi Fang

August 25, 2021

ASSIGNEE:

of
The Research Foundation at State University of New York
(Type or print name of ASSIGNEE)

SUNY at Stony Brook, N5002 Melville Memorial Library
Address

Stony Brook, New York 11794-3369

U.S.A.
Nationality

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(complete one of the following)

- ☒ the entire right, title and interest
☐ an undivided _____ percent (_____%) interest

for the United States and its territorial possessions

- ☒ and in all foreign countries, including all rights to claim priority,

in and to any and all improvements which are disclosed in the invention entitled:
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and which is found in

(check and complete (a), (b), (c), (d), (e), (f), or (g))

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Assignment of Invention -- Page 2 of 3

PATENT
REEL: 012160 FRAME: 0527
PATENT
REEL: 057885 FRAME: 0173

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

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X Dufei Fang
Dufei Fang

Date: X August 23, 2001
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X Collin Brathwaite
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Assignment of Invention -- Page 3 of 3