

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT6995091

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	ALCON PHARMACEUTICALS LTD.	11/11/2019
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	ALCON INC.	
<b>Street Address:</b>	RUE LOUIS-D'AFFRY 6	
<b>City:</b>	FRIBOURG	
<b>State/Country:</b>	SWITZERLAND	
<b>Postal Code:</b>	1701	
<b>PROPERTY NUMBERS Total: 1</b>		
	<b>Property Type</b>	<b>Number</b>
	Application Number:	17462790
<b>CORRESPONDENCE DATA</b>		
<b>Fax Number:</b>		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
<b>Email:</b>	patent.docketing@alcon.com	
<b>Correspondent Name:</b>	ALCON	
<b>Address Line 1:</b>	6201 SOUTH FREEWAY	
<b>Address Line 4:</b>	FORT WORTH, TEXAS 76134	
<b>ATTORNEY DOCKET NUMBER:</b>	PAT056808	
<b>NAME OF SUBMITTER:</b>	KELLY BRYANT	
<b>SIGNATURE:</b>	/Kelly Bryant/	
<b>DATE SIGNED:</b>	10/28/2021	
<b>Total Attachments: 15</b>		
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## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT6347629

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	ALCON PHARMACEUTICALS LTD.	11/11/2019
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	ALCON INC.	
<b>Street Address:</b>	RUE LOUIS-D'AFFRY 6	
<b>City:</b>	FRIBOURG	
<b>State/Country:</b>	SWITZERLAND	
<b>Postal Code:</b>	1701	
<b>PROPERTY NUMBERS Total: 1</b>		
	<b>Property Type</b>	<b>Number</b>
	Application Number:	16659927
<b>CORRESPONDENCE DATA</b>		
<b>Fax Number:</b>		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
<b>Email:</b>	patent.docketing@alcon.com	
<b>Correspondent Name:</b>	ALCON	
<b>Address Line 1:</b>	6201 SOUTH FREEWAY	
<b>Address Line 4:</b>	FORT WORTH, TEXAS 76134	
<b>ATTORNEY DOCKET NUMBER:</b>	PAT056808	
<b>NAME OF SUBMITTER:</b>	KELLY BRYANT	
<b>SIGNATURE:</b>	/Kelly Bryant/	
<b>DATE SIGNED:</b>	10/13/2020	
<b>Total Attachments: 13</b>		
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## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT5862242

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	CONFIRMATORY DEED OF ASSIGNMENT EFFECTIVE APRIL 8, 2019	
<b>CONVEYING PARTY DATA</b>		
<b>Name</b>		<b>Execution Date</b>
ALCON PHARMACEUTICALS LTD.		11/11/2019
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	ALCON INC.	
<b>Street Address:</b>	RUE LOUIS-D'AFFRY 6	
<b>City:</b>	FRIBOURG	
<b>State/Country:</b>	SWITZERLAND	
<b>Postal Code:</b>	1701	
<b>PROPERTY NUMBERS Total: 26</b>		
<b>Property Type</b>	<b>Number</b>	
Patent Number:	10213104	
Patent Number:	8187258	
Patent Number:	9962226	
Patent Number:	8048065	
Patent Number:	9295380	
Patent Number:	9189849	
Patent Number:	10010408	
Patent Number:	8986242	
Patent Number:	9764088	
Patent Number:	RE42998	
Patent Number:	7517085	
Patent Number:	9724191	
Patent Number:	9693895	
Patent Number:	8591030	
Patent Number:	8998983	
Patent Number:	7600873	
Patent Number:	8903145	
Patent Number:	10188506	
Patent Number:	10182906	
Application Number:	14554865	

Property Type	Number
Application Number:	15916005
Application Number:	16165140
Application Number:	15640141
Application Number:	15622313
Application Number:	15970349
Application Number:	14419926

#### CORRESPONDENCE DATA

**Fax Number:** (216)579-6073

*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

**Phone:** 216-579-1700

**Email:** csy@pearne.com

**Correspondent Name:** PEARNE & GORDON LLP

**Address Line 1:** 1801 EAST 9TH STREET

**Address Line 2:** SUITE 1200

**Address Line 4:** CLEVELAND, OHIO 44114-3108

**ATTORNEY DOCKET NUMBER:** BRAQ-J1427

**NAME OF SUBMITTER:** JOHN P. MURTAUGH

**SIGNATURE:** /John P. Murtaugh/

**DATE SIGNED:** 12/11/2019

#### Total Attachments: 11

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**Confirmatory Deed of Assignment**  
**United States of America**

THIS DEED is made between:

**Alcon Pharmaceuticals Ltd.**, a company duly organized and existing under the Laws of Switzerland, of Rue Louis-d'Affry 6, 1701 Fribourg, Switzerland  
("the Assignor");

and

**Alcon Inc.**, a company duly organized and existing under the Laws of Switzerland, of Rue Louis-d'Affry 6, 1701 Fribourg, Switzerland  
("the Assignee");

WHEREAS:

- (a) The Assignor was the proprietor of the patents in the United States of America as set out in the attached schedules marked "Annex A" and
- (b) Pursuant to the Intellectual Property Assignment Agreement dated April 8<sup>th</sup>, 2019, Assignor has assigned Assignor's entire right, title and interest in and to the patents to Assignee.
- (c) The Assignment of the afore-mentioned patents became effective on the 8<sup>th</sup> day of April 2019.

IT IS AGREED THAT:

For good and valuable consideration receipt of which is hereby acknowledged by the Assignor, the Assignor hereby confirms that it has assigned, transferred and set over unto the Assignee, its successors and assigns, effective on April 8<sup>th</sup> 2019, all right, title and interests in **the United States of America** in the patents together with the goodwill of the business represented and symbolised by the patents and the Assignee accepts the said assignment.

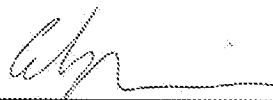
IN WITNESS WHEREOF, the Assignor and Assignee have caused this Confirmatory Assignment to be executed by their authorized representatives.

Signed as a Deed in Ljubljana on behalf of  
the **Assignor** this 11 day of November 2019  
by



**Maja Babnik**, Proxy-Holder of  
**Alcon Pharmaceuticals Ltd.**

Signed as a Deed in Ljubljana on behalf of  
the **Assignee** this 11 day of November 2019  
by



**Eva Margareta Krisper**, Proxy-Holder of  
**Alcon Inc.**

# Annex A

IP Right Name	Application No.	Publication No.	Grant No.
EYE POSITION REGISTERING AND TRACKING	14/065173	2014-0049750	10213104
METHODS AND APPARATUS FOR DETERMINATION AND DECREASE OF DYNAMIC POSITIONING ERRORS OF AN ABLATING LASER DURING REFRACTIVE LASER SURGERY	10/276768	2003-0144651	8187258
OPHTHALMIC SURGICAL SYSTEMS, METHODS, AND DEVICES	14/608915	2015-0144514	9962226
METHOD AND APPARATUS FOR EYE REGISTRATION	10/499498	2005-0119642	8048065
METHOD AND APPARATUS FOR MULTI-LEVEL EYE REGISTRATION	14/881203		9295380
METHOD AND APPARATUS FOR MULTI-LEVEL EYE REGISTRATION	13/989501	2013-0336559	9189849
INTRAOCULAR LENS INSERTER	14/678826	2015-0282928	10010408
INTRAOCULAR GAS INJECTOR	13/916522	2013-0345618	8986242
OPHTHALMIC SURGICAL SYSTEMS, METHODS, AND DEVICES	14/554865	2015-0148615	
INTRAOCULAR LENS INSERTER	15/916005	2018-0193134	
GAS SYRINGE FILLING DEVICE AND METHODS FOR MAKING AND USING THEM	14/269062	2014-0330204	9764088
INTRAOCULAR GAS INJECTOR	16/165140		
MULTIDIMENSIONAL EYE TRACKING AND POSITION MEASUREMENT SYSTEM	12/691645		RE42998
METHOD AND APPARATUS FOR EYE TRACKING LATENCY REDUCTION	11/144212	2005-0273185	7517085
INTRAOCULAR GAS INJECTOR	15/640141	2017-0325995	
INTRAOCULAR LENS INSERTER	14/402778	2015-0088149	9724191
INTRAOCULAR GAS INJECTOR	13/916536	2013-0345619	9693895
METHOD AND APPARATUS FOR EYE REGISTRATION	13/250873	2012-0127429	8591030
INTRAOCULAR LENS INSERTER	15/622313	2017-0273777	
INTRAOCULAR LENS INSERTER	14/291743	2014-0276901	8998983
OPHTHALMIC SURGICAL SYSTEMS, METHODS, AND DEVICES	15/970349	2018-0250088	
METHOD OF DETERMINING THE SPATIAL RELATIONSHIP OF AN EYE OF A PERSON WITH RESPECT TO A CAMERA DEVICE	11/621899	2007-0171369	7600873
METHOD AND APPARATUS FOR IMAGE PROCESSING FOR COMPUTER-AIDED EYE SURGERY	13/125682	2011-0230751	8903145
INTRAOCULAR LENS INSERTER	14/679921	2016-0067036	10188506
STERILE SURGICAL DRAPE FOR OPHTHALMIC SURGERY	14/419926	2015-0238264	
INTRAOCULAR LENS INSERTER WITH TEMPERATURE COMPENSATION	14/800687	2016-0015511	10182906



POWER OF ATTORNEY

THIS DEED made on July 30<sup>th</sup>, 2019 on behalf of:

- **Alcon-Couvreur N.V.** a company organized and existing under the laws of Belgium
- **Alcon Grieshaber AG**, a company organized and existing under the laws of Switzerland;
- **Alcon Healthcare**, a company organized and existing under the laws of Spain;
- **Alcon Inc. (CH)**, a company organized and existing under the laws of Switzerland;
- **Alcon Lensx, Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **Alcon Pharmaceuticals Ltd.**, a company organized and existing under the laws of Switzerland;
- **Alcon Portugal-Produtos e Equipamentos Oftalmológicos Lda.** a company organized and existing under the laws of Portugal;
- **Alcon (Puerto Rico), Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **Alcon Refractive Horizons, LLC**, a company organized and existing under the laws of the State Delaware, USA;
- **Alcon Research, LLC**, a company organized and existing under the laws of the State Delaware, USA;
- **Alcon Vision, LLC**, a company organized and existing under the laws of the State Delaware, USA;
- **CIBA Vision GmbH**, a company organized and existing under the laws of Germany;
- **Ciba Vision, LLC**, a company organized and existing under the laws of the State Delaware, USA;
- **Clarvista Medical, Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **Optonol Ltd.**, a company organized and existing under the laws of Israel;
- **PowerVision, Inc.**; a company organized and existing under the laws of the State Delaware, USA;
- **Tear Film Innovations, Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **Transcend Medical, Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **TrueVision Systems, Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **WaveLight GmbH**, a company organized and existing under the laws of Germany;

(each of the above companies shall be individually and collectively referred to hereafter as "the Company")

WITNESSETH as follows:

1. Appointment

Each company hereby appoints

- Brandstock Services GmbH, with business address at Cesta v Gorice 34b, 1000 Ljubljana, Slovenia  
and/or
- Katarina Urbanija, born on May 6<sup>th</sup>, 1975, General Manager of Brandstock Services GmbH, with business address at Cesta v Gorice 34b, 1000 Ljubljana, Slovenia  
and/or
- Ana Bobnar, born on January 7<sup>th</sup>, 1990, Trademark Assistant at Brandstock Services GmbH, with business address at Cesta v Gorice 34b, 1000 Ljubljana, Slovenia  
and/or
- Eva Margareta Krisper, born on February 7<sup>th</sup>, 1991, Trademark Assistant at Brandstock Services GmbH, with business address at Cesta v Gorice 34b, 1000 Ljubljana, Slovenia  
and/or
- Maja Babnik, born on May 29<sup>th</sup>, 1993, Trademark Assistant at Brandstock Services GmbH, with business address at Cesta v Gorice 34b, 1000 Ljubljana, Slovenia  
and/or
- Katja Berk Bevc, born on March 28<sup>th</sup>, 1991, Trademark Assistant at Brandstock Services GmbH, with business address at Cesta v Gorice 34b, 1000 Ljubljana, Slovenia  
and/or
- Matjuša Jakopin, born on November 15<sup>th</sup>, 1984, Trademark Attorney at Brandstock Services GmbH, with business address at Cesta v Gorice 34b, 1000 Ljubljana, Slovenia  
and/or
- Vesna Dumendžić, born on July 14<sup>th</sup>, 1984, Trademark Attorney at Brandstock Services GmbH, with business address at Cesta v Gorice 34b, 1000 Ljubljana, Slovenia  
and/or
- Urban Tavčar, born on June 3<sup>rd</sup>, 1980, Head of Docketing at Brandstock Services GmbH, with business address at Cesta v Gorice 34b, 1000 Ljubljana, Slovenia

to act on behalf of the company in respect of exclusively the recordal/submission of

- ☒ assignment
- ☒ merger
- ☒ change of name
- ☒ change of legal entity
- ☒ change of address
- ☒ renewals and other related maintenance tasks (such as reclassification, affidavits, annuity payments, merging of registrations, etc.)
- ☒ licenses and sub-licenses

of the trademarks, patents, designs and other intellectual property rights of the company.

For the aforesaid purpose, we hereby authorize said agents to appoint a substitute, or substitutes, to execute and amend any documents in order to maintain our trademarks, patents, designs and other intellectual property rights in force.

This Power of Attorney is valid until 31<sup>st</sup> December 2019 and supersedes as of its effective date all previous versions of Power of Attorney relating to the subject matter herein.

IN WITNESS WHEREOF this Deed has been executed for and on behalf of the Company on the day and year before written.

Munich, July 30<sup>th</sup>, 2019  
(Place and Date)



\_\_\_\_\_  
Anna Popova, Proxy-Holder

**PATENT**

**REEL: 057951 FRAME: 0146**

File No. [see above]                      dating from [see above and below]

Sachbearbeiter: sm

Delet TX000410.DOC Vagang Brand-  
stock 2015 07 30 09:00:00. Ditekan 30 Juli 2015

City of München [Munich]

I herewith certify, that

Mrs

Given Names:	Anna (Ganna)
Family Name:	Popova
Date of Birth [Day, Month, Year]:	6.4.1982
Address [street and house number]:	Rückertstr. 1
[postal code and city]:	80336 München (Munich)

personally known to me, the notary, has subscribed and sworn (affirmed) today the foregoing document in front of me.

30th day of September, two thousand nineteen

Peter DiCesare

Dr. Peter Dillinger as official representative of  
Dr. Heinrich Kreuzer, Notary

Perusastr. 7 [street and house number].

D-80333 München (Munich) [postal code and city]

☎ +49 (89) 290 916-0, Fax -66; [notar@kreuzer-muenchen.de](mailto:notar@kreuzer-muenchen.de)

the law of the state in which this document shall be used it may be necessary that my -  
notary signature get legalized. Competent therefore is usually the President of the court „Landgericht  
München I“ here in Munich, Palace of Justice, Prielmayerstr.7, D-80335 München.

Original: --> versandt / ausgeh. dgt an | sent to / handed out to Brandslock

Scan:

☒ Urkundensammlung | file-collection of the notary

REEL: 057951 FRAME: 0147

# APOSTILLE

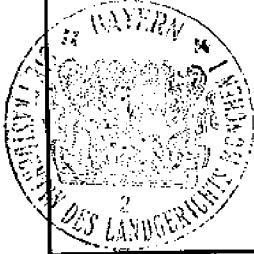
(Convention de La Haye du 5 octobre 1961)

1. Land: Bundesrepublik Deutschland  
Diese öffentliche Urkunde
2. ist unterschrieben von Vorsitzender Richter am  
Oberlandesgericht a.D. Dr. Peter Dillinger
3. in seiner Eigenschaft als amtlich bestellter Vertreter.
4. Sie ist versehen mit dem Siegel des Notars Dr. Heinrich  
Kreuzer in München

## Bestätigt

5. in München
6. am 19. August 2019
7. durch die Präsidentin des Landgerichts München I
8. unter Nr. 910 a 10488/2019
9. Siegel
10. Unterschrift

Im Auftrag



  
Marcel Rath  
Justizhauptsekretär

PATENT

REEL: 057951 FRAME: 0148



# The State of Texas

## Secretary of State

Not for use within the United States of America

This Apostille only certifies the signature, the capacity of the signer and the seal or stamp it bears. It does not certify the content of the document for which it was issued.

Certificate Validation available at [www.sos.state.tx.us](http://www.sos.state.tx.us)

### APOSTILLE

(Convention de La Haye du 5 Octobre 1961)

- |                                |   |
|--------------------------------|---|
| 1. Country                     | United States of America  |
| This public document           |   |
| 2. has been signed by          | BARBARA MCKENZIE  |
| 3. acting in the capacity of   | Notary Public, State of Texas   |
| 4. and bears the seal/stamp of | BARBARA MCKENZIE,<br>Notary Public, State of Texas,<br>Commission Expires: 11-18-21 |

### CERTIFIED

- |                                       |                         |
|---------------------------------------|-------------------------|
| 5. at Austin, Texas                   | 6. on September 9, 2019 |
| 7. by the Secretary of State of Texas |                         |
| 8. Certificate No. 11797522           |                         |
| 9. Seal                               | 10. Signature:          |



A handwritten signature in black ink, appearing to read "Ruth R. Hughes".

Ruth R. Hughes  
Secretary of State

GF/aw

The State of Texas       §  
County of Tarrant       §

BEFORE ME, Notary Public for and in Fort Worth, Texas, on this date personally appeared Christine Bohmann and Patrick M. Ryan known to me or proved to me on the basis of satisfactory evidence to be the persons whose names are subscribed to the foregoing instrument and acknowledged to me that he executed the same of the purposes and consideration therein expressed. Given under my hand and seal of office this 29th day of August, 2019.

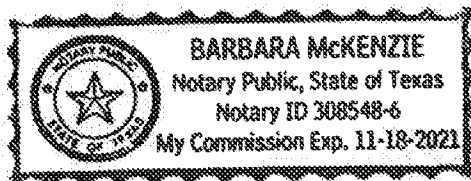
IN WITNESS WHEREOF, I have hereunto set my hand and affixed my notarial seal this 29th day of August, 2019, at Fort Worth, Texas.

  
NOTARY PUBLIC

Barbara McKenzie  
Printed Name of Notary Public

Notary Commission Expires: November 18, 2021

[SEAL]



## POWER OF ATTORNEY

THIS DEED made on the 20<sup>th</sup> day of August, 2019, by:

- **Alcon-Couvreur N.V.**, a company organized and existing under the laws of Belgium;
- **Alcon Grieshaber AG**, a company organized and existing under the laws of Switzerland;
- **Alcon Healthcare**, a company organized and existing under the laws of Spain
- **Alcon Inc. (CH)**, a company organized and existing under the laws of Switzerland;
- **Alcon Lensx, Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **Alcon Pharmaceuticals Ltd.**, a company organized and existing under the laws of Switzerland;
- **Alcon Portugal-Produtos e Equipamentos Oftalmológicos Lda**, a company organized and existing under the laws of Spain
- **Alcon (Puerto Rico), Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **Alcon RefractiveHorizons, LLC**, a company organized and existing under the laws of the State of Delaware, USA;
- **Alcon Research, LLC**, a company organized and existing under the laws of the State Delaware, USA;
- **Alcon Vision, LLC**, a company organized and existing under the laws of the State Delaware, USA;
- **CIBA Vision GmbH**, a company organized and existing under the laws of Germany;
- **CIBA Vision, LLC**, a company organized and existing under the laws of the State Delaware, USA;
- **Clarvista Medical, Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **Optonol Ltd.**, a company organized and existing under the laws of Israel;
- **PowerVision, Inc.**, a company organized and existing under the laws of the State of Delaware, USA;
- **Tear Film Innovations, Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **Transcend Medical, Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **TrueVision Systems, Inc.**, a company organized and existing under the laws of the State Delaware, USA;
- **WaveLight GmbH**, a company organized and existing under the laws of Germany;

(each of the above companies shall be individually and collectively referred to hereafter as "the Company")



WITNESSETH as follows:

I. Appointment

Each company hereby appoints

- Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany  
and/or
- Dr. Volker Spitz, born on January 17th, 1961, CEO of Brandstock Services AG, with business  
address at Rückertstr. 1, 80336 München, Germany  
and/or
- Laura Morris, born on June 26, 1980, Director Renewals at Brandstock Services AG, with business  
address at Rückertstr. 1, 80336 München, Germany  
and/or
- Anna (Ganna) Popova, born on April 6<sup>th</sup>, 1982, Director IP Recordals at Brandstock Services AG,  
with business address at Rückertstr. 1, 80336 München, Germany  
and/or
- Faustine Waternaux, born on May 10, 1981, Renewals Project Leader at Brandstock Services AG,  
with business address at Rückertstr. 1, 80336 München, Germany  
and/or
- Alizée Mothion, born on April 14, 1988, Renewals Project Leader at Brandstock Services AG,  
with business address at Rückertstr. 1, 80336 München, Germany  
and/or
- Michal Moican, born on February 09<sup>th</sup>, 1988, Project Leader in IP Recordals Department of  
Brandstock Services AG, with business address at Rückertstr. 1, 80336 München, Germany  
and/or
- Juliane Weiß, born on May 17<sup>th</sup>, 1991, Project Leader in IP Recordals Department of Brandstock  
Services AG, with business address at Rückertstr. 1, 80336 München, Germany

to act on behalf of the company in respect of exclusively the recordal/submission of

- ☒ assignment
- ☒ merger
- ☒ change of name
- ☒ change of legal entity
- ☒ change of address
- ☒ renewals and other related maintenance tasks (such as reclassification, affidavits, annuity  
payments, merging of registrations, etc.)
- ☒ licenses and sub-licenses

of the trademarks, patents, designs and other intellectual property rights of the Company.

For the aforesaid purpose, we hereby authorize said agents to appoint a substitute, or substitutes, to execute and amend any documents in order to maintain our trademarks, patents, designs and other intellectual property rights in force.

IN WITNESS WHEREOF this Deed has been executed for and on behalf of the Company on the day and year before written.

Fort Worth, Texas

Fort Worth, Texas

*C. Bohmann* 08/29/19

Christine Bohmann  
Head Legal IP and Assistant Secretary

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