506957724 11/03/2021

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT7004562

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

CONVEYING PARTY DATA

Name	Execution Date
CSA MEDICAL, INC.	10/01/2021

RECEIVING PARTY DATA

Name:	CHV III, L.P.
Street Address:	101 SOUTH HANLEY ROAD
Internal Address:	SUITE 200
City:	CLAYTON
State/Country:	MISSOURI
Postal Code:	63105
Name:	SV LIFE SCIENCES FUND V, L.P.
Street Address:	ONE BOSTON PLACE
Internal Address:	201 WASHINGTON STREET, SUITE 3900
City:	BOSTON
State/Country:	MASSACHUSETTS
Postal Code:	02108
Name:	SV LIFE SCIENCES FUND V, STRATEGIC PARTNERS, L.P.
Street Address:	ONE BOSTON PLACE
Internal Address:	201 WASHINGTON STREET, SUITE 3900
City:	BOSTON
State/Country:	MASSACHUSETTS
Postal Code:	02108
Name:	INTERSOUTH PARTNERS VII, L.P.
Street Address:	102 CITY HALL PLAZA
Internal Address:	SUITE 200
City:	DURHAM
State/Country:	NORTH CAROLINA
Postal Code:	27701
Name:	DISRUPTIVE INNOVATION FUND, LP
Street Address:	4 SOUTH MARKET BUILDING
City:	BOSTON
State/Country:	MASSACHUSETTS
	PATENT

PATENT

Postal Code:	02109		
Name:	BHCM CSA MEDICAL, LLC		
Street Address:	9030 STONY POINT PARKWAY		
Internal Address:	SUITE 140		
City:	RICHMOND		
State/Country:	VIRGINIA		
Postal Code:	23235		
Name:	ALFRED M. RANKIN, JR. TRUST		
Street Address:	7421 MARKILL ROAD		
City:	WAITE HILL		
State/Country:	OHIO		
Postal Code:	44094		
Name:	BENMIKE INVESTMENT CO. LLC		
Street Address:	1375 E. 9TH STREET, SUITE 1700		
City:	CLEVELAND		
State/Country:	OHIO		
Postal Code:	44114		
Name:	CHARLES G. BROWN, INC.		
Street Address:	7850 WALKER DRIVE 4TH FLOOR		
Internal Address:	C/O API SOURCE, INC.		
City:	GREENBELT		
State/Country:	MARYLAND		
Postal Code:	20770		
Name:	CLAIBORNE R. RANKIN REVOCABLE TRUST U/A/D 6/22/71		
Street Address:	36779 CEDAR ROAD		
City:	GATES MILL		
State/Country:	OHIO		
Postal Code:	44040		
Name:	E. DALE INKLEY		
Street Address:	5126 EAST FARNHURST ROAD		
City:	LYNDHURST		
State/Country:	OHIO		
Postal Code:	44124		
Name:	ENSIGN COWELL		
Street Address:	2233 DEMINGTON DRIVE		
City:	CLEVELAND		
State/Country:	OHIO		
Postal Code:	44106		
Name:	EVERGREEN VENTURES LTD		
	PATENT		

Street Address:	1100 SUPERIOR AVE. EAST, SUITE 700
Internal Address:	C/O CLEARSTEAD
City:	CLEVELAND
State/Country:	OHIO
Postal Code:	44114
Name:	G. BRIAN KRONEBERGER JR.
Street Address:	225 SCHILLING CIRCLE, SUITE 250
City:	HUNT VALLEY
State/Country:	MARYLAND
Postal Code:	21031
Name:	G. RUSSELL LINCOLN
Street Address:	7471 FOXBORO ROAD
City:	GATES MILLS
State/Country:	OHIO
Postal Code:	44040
Name:	G. RUSSELL LINCOLN REVOCABLE TRUST DTD 10/31/2007
Street Address:	7471 FOXBORO ROAD
City:	GATES MILLS
State/Country:	OHIO
Postal Code:	44040
Name:	GUIDE MEDICAL VENTURES, LLC
Street Address:	2 OLIVER STREET, 4TH FLOOR
City:	BOSTON
State/Country:	MASSACHUSETTS
Postal Code:	02109
Name:	H&B INVESTMENT PARTNERSHIP
Street Address:	22901 AURORA ROAD
Internal Address:	P.O. BOX 46739
City:	BEDFORD HEIGHTS
State/Country:	OHIO
Postal Code:	44146
Name:	JAMES J. MAHONEY JR.
Street Address:	86 WINDING RIVER ROAD
City:	NEEDHAM
State/Country:	MASSACHUSETTS
Postal Code:	02492
Name:	MARC S. DAVIDSON
Street Address:	41 WEST PARISH DRIVE
City:	ANDOVER
	PATENT

State/Country:	MASSACHUSETTS
Postal Code:	01810
Name:	MARK V. DYER
Street Address:	225 SCHILLING CIRCLE, SUITE 250
City:	HUNT VALLEY
State/Country:	MARYLAND
Postal Code:	21031
Name:	MEDTECH INVESTMENTS, LLC
Street Address:	90 WEATHERS STREET
City:	YOUNGSVILLE
State/Country:	NORTH CAROLINA
Postal Code:	27596
Name:	MICHAEL A. ELLIS
Street Address:	1375 E. 9TH STREET, SUITE 1700
City:	CLEVELAND
State/Country:	OHIO
Postal Code:	44114
Name:	MWK TRUST, MIRIAM WINDER-KELLY TTE
Street Address:	11306 WOODLAND DRIVE
City:	LUTHERVILLE
State/Country:	MARYLAND
Postal Code:	21093
Name:	NATHANIEL T. SMITH
Street Address:	1745 EPPING ROAD
City:	GATES MILLS
State/Country:	OHIO
Postal Code:	44040
Name:	NATIONAL FINANCIAL SERVICES/ FIDELITY INVESTMENTS CUSTODIAN FBO STEVEN E. SCHAEFER IRA
Street Address:	317 MEADOWCROFT LANE
City:	LUTHERVILLE
State/Country:	MARYLAND
Postal Code:	21093
Name:	DAVID BROWN
Street Address:	15901 IRISH AVENUE
City:	MONKTON
State/Country:	MARYLAND
Postal Code:	21111
Name:	TOM VON HERRMANN

Street Address:	23599 SHAKER BLVD. SHAKER
City:	SHAKER HEIGHTS
State/Country:	OHIO
Postal Code:	44122
Name:	RICHARD T. SCHWARZ
Street Address:	21850 SHELBOURNE ROAD
City:	SHAKER HEIGHTS
State/Country:	OHIO
Postal Code:	44122
Name:	STEVEN E. PECK
Street Address:	6 BRETT MANOR COURT HUNT
City:	HUNT VALLEY
State/Country:	MARYLAND
Postal Code:	21030
Name:	STEVEN E. SCHAEFER
Street Address:	604 BOXMERE COURT
City:	LUTHERVILLE
State/Country:	MARYLAND
Postal Code:	21093
Name:	SYCAMORE FUND 1, LLC
Street Address:	36779 CEDAR ROAD
City:	GATES MILLS
State/Country:	OHIO
Postal Code:	44040
Name:	SYMARK LLC
Street Address:	13421 PARKE COMMONS BLVD.
Internal Address:	SUITE 102
City:	FORT MYERS
State/Country:	FLORIDA
Postal Code:	33912
Name:	TIMOTHY T. WEGLICKI
Street Address:	10605 BROOKLAWN RD
City:	OWINGS MILLS
State/Country:	MARYLAND
Postal Code:	21317
Name:	TOM MELITA
Street Address:	7860 ROLLING ACRES
City:	SUPERIOR TOWNSHIP
State/Country:	MICHIGAN
	PATENT DEEL ASSOCIATION OF THE PARTY OF THE

Postal Code:	48198
Name:	VICTOIRE G. RANKIN TRUST
Street Address:	7421 MARKILL ROAD
City:	WAITE HILL
State/Country:	OHIO
Postal Code:	44094
Name:	WILLIAM E. CONWAY UTA DATED 12/12/80 AS AMENDED
Street Address:	P.O. BOX 87
City:	CHARDON
State/Country:	OHIO
Postal Code:	44024

PROPERTY NUMBERS Total: 21

Property Type	Number
Patent Number:	10492843
Patent Number:	9867648
Patent Number:	9301796
Patent Number:	9144449
Patent Number:	9820797
Application Number:	15846741
Application Number:	15793357
Application Number:	14012320
Application Number:	14848608
Application Number:	15668004
Application Number:	15158698
Application Number:	15659199
Application Number:	15498439
Application Number:	15914507
Application Number:	15791042
Application Number:	15814900
Application Number:	15914510
Application Number:	15874414
Application Number:	16523658
Application Number:	16279555
Application Number:	16131532

CORRESPONDENCE DATA

Fax Number: (617)310-9345

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

PATENT

Phone: 6174392345

Email: MDANIELS@NUTTER.COM

Correspondent Name: MALISSA DANIELS **Address Line 1:** 155 SEAPORT BLVD.

Address Line 4: BOSTON, MASSACHUSETTS 02210

NAME OF SUBMITTER:	SUZANNE HAMEL
SIGNATURE:	/s/ Suzanne Hamel
DATE SIGNED:	11/03/2021
This document serves as an Oath/Declaration (37 CFR 1.63).	

Total Attachments: 8

source=CSA Medical - Fall 2021 Bridge - Grant of Security Interest Patents (Executed Version)_updated#page1.tif source=CSA Medical - Fall 2021 Bridge - Grant of Security Interest Patents (Executed Version)_updated#page3.tif source=CSA Medical - Fall 2021 Bridge - Grant of Security Interest Patents (Executed Version)_updated#page3.tif source=CSA Medical - Fall 2021 Bridge - Grant of Security Interest Patents (Executed Version)_updated#page4.tif source=CSA Medical - Fall 2021 Bridge - Grant of Security Interest Patents (Executed Version)_updated#page5.tif source=CSA Medical - Fall 2021 Bridge - Grant of Security Interest Patents (Executed Version)_updated#page6.tif source=CSA Medical - Fall 2021 Bridge - Grant of Security Interest Patents (Executed Version)_updated#page7.tif source=CSA Medical - Fall 2021 Bridge - Grant of Security Interest Patents (Executed Version)_updated#page8.tif

GRANT OF SECURITY INTEREST PATENTS

THIS GRANT OF SECURITY INTEREST PATENTS, dated as of October 1, 2021, is executed by CSA MEDICAL, INC., a Maryland corporation with an address of 131 Hartwell Avenue, Lexington, Massachusetts 02421 ("<u>Debtor</u>"), in favor of each of the parties listed on <u>Schedule 1</u> hereto to the extent each such party holds a Subordinated Secured Convertible Promissory Note issued by the Debtor pursuant to the Agreement (as defined below) (each such party holding a Secured Convertible Promissory Note issued pursuant to the Agreement, a "Secured Party" and collectively, the "<u>Secured Parties</u>").

- A. Pursuant to a certain Convertible Note and Warrant Purchase Agreement, dated on or about the date hereof (the "Agreement") by and between Debtor and the Secured Parties, the Secured Parties have agreed to make loans to Debtor upon the terms and subject to the conditions set forth therein;
- B. Debtor owns the patents and/or applications for patents, more particularly described on <u>Schedules 2-A and 2-B</u> annexed hereto as part hereof (collectively, the "<u>Patents</u>") which represent all of the Debtor's registered patent and/or applications for patents;
- C. Pursuant to the Agreement and related Security Agreement (as defined in the Agreement), Debtor has granted to Secured Parties a security interest in all right, title and interest of Debtor in and to the Patents, together with any reissue, continuation, continuation-in-part or extension thereof, and all proceeds thereof, including any and all causes of action which may exist by reason of infringement thereof for the full term of the Patents (the "Patent Collateral"), to secure the prompt payment, performance and observance of the Obligations (as defined in the Agreement);

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Debtor does hereby further grant to Secured Parties a security interest in the Patent Collateral to secure the prompt payment, performance and observance of the Obligations.

Debtor does hereby further acknowledge and affirm that the rights and remedies of Secured Parties with respect to the security interest in the Patent Collateral granted hereby are more fully set forth in the Security Agreement, the terms and provisions of which are hereby incorporated herein by reference as if fully set forth herein.

Debtor hereby agrees to notify the Secured Parties of any additional registered patent and/or applications for patents which may be filed after the date hereof, which will become Patents hereunder.

IN WITNESS WHEREOF, Debtor has caused this instrument to be executed as of the day and year first written above.

[intentionally left blank]

CSA MEDICAL, INC.

Wendelin Maners

Name: Wendelin Maners

Title: President and Chief Executive Officer

[Signature page to Grant of Security Interest Patents]

SCHEDULE 1

Names of Secured Parties

Ascension Health Ventures (CHV III, L.P.)

101 South Hanley Road

Suite 200

Clayton, MO 63105

SV Life Sciences Fund V, L.P.

SV Life Sciences

One Boston Place

201 Washington Street, Suite 3900

Boston, MA 02108

Attn: Dana O'Brien

SV Life Sciences Fund V, Strategic Partners, L.P.

SV Life Sciences

One Boston Place

201 Washington Street, Suite 3900

Boston, MA 02108

Attn: Dana O'Brien

Intersouth Partners VII, L.P.

102 City Hall Plaza

Suite 200

Durham, NC 27701

Disruptive Innovation Fund, LP

4 South Market Building

Boston, MA 02109

BHCM CSA Medical, LLC

9030 Stony Point Parkway

Suite 140

Richmond, VA 23235

Intersouth Partners VII, L.P.

102 City Hall Plaza

Suite 200

Durham, NC 27701

Alfred M. Rankin, Jr. Trust

7421 Markill Road

Waite Hill, Ohio 44094

Benmike Investment Co. LLC

1375 E. 9th Street, Suite 1700

Cleveland, Ohio 44114

Charles G. Brown, Inc.

C/O API Source, Inc

7850 Walker Drive 4th floor

Greenbelt, MD 20770

Claiborne R. Rankin Revocable Trust u/a/d 6/22/71

36779 Cedar Road

Gates Mill, Ohio 44040-9721

E. Dale Inkley

5126 East Farnhurst Road

Lyndhurst, OH 44124

Ensign Cowell

2233 Demington Drive

Cleveland, OH 44106

Evergreen Ventures LTD

c/o Clearstead

1100 Superior Ave. East, Suite 700

Cleveland, Ohio 44114

G. Brian Kroneberger, Jr. (incl. IRA shares)

225 Schilling Circle, Suite 250

Hunt Valley, MD 21031

G. Russell Lincoln

7471 Foxboro Road

Gates Mills, OH 44040

G. Russell Lincoln Revocable Trust dtd 10/31/2007

7471 Foxboro Road

Gates Mills, OH 44040

Guide Medical Ventures, LLC

2 Oliver Street, 4th Floor

Boston, MA 02109

H&B Investment Partnership

P.O. Box 46739

22901 Aurora Road

Bedford Heights, Ohio 44146

James J. Mahoney Jr.

86 Winding River Road

Needham, MA 02492

Marc S. Davidson

41 West Parish Drive

Andover, MA 01810

Mark V. Dyer

225 Schilling Circle, Suite 250

Hunt Valley, MD 21031

Medtech Investments, LLC

90 Weathers Street

Youngsville NC 27596

Michael A. Ellis

1375 E. 9th Street, Suite 1700

Cleveland, Ohio 44114

MWK Trust, Miriam Winder-Kelly TTE

11306 Woodland Drive

Lutherville, MD 21093-3515

Nathaniel T. Smith

1745 Epping Road

Gates Mills, OH 44040

National Financial Services/ Fidelity Investments Custodian fbo Steven E. Schaefer IRA

317 Meadowcroft Lane

Lutherville, MD 21093

David Brown

15901 Irish Avenue

Monkton, MD 21111

Tim von Herrmann

23599 Shaker Blvd. Shaker

Heights, OH 44122

Richard T. Schwarz

21850 Shelbourne Road

Shaker Heights, OH 44122

Steven E. Peck

6 Brett Manor court Hunt

Valley, MD 21030

Steven E. Schaefer

604 Boxmere Court

Lutherville, MD 21093

Sycamore Fund 1, LLC

36779 Cedar Road

Gates Mills, Ohio 44040-9721

Symark LLC

13421 Parke Commons Blvd.

Suite 102

Fort Myers, FL 33912

Timothy T. Weglicki

10605 Brooklawn Rd

Owings Mills, MD 21317

Tom Melita

7860 Rolling Acres

Superior Township, MI 48198

Victoire G. Rankin Trust

7421 Markill Road

Waite Hill, Ohio 44094

William E. Conway UTA dated 12/12/80 as Amended

P.O. Box 87

Chardon, OH 44024

$\frac{\text{SCHEDULE 2-A TO GRANT OF SECURITY INTEREST}}{\underline{\text{PATENTS}}}$

Atty Docket	Title	Serial	Status
8177CSA0001	METHOD AND SYSTEM FOR CONSISTENT, REPEATABLE, AND SAFE CRYOSPRAY TREATMENT OF AIRWAY TISSUE	10492843	Granted
8177CSA0002	METHOD AND SYSTEM FOR CONSISTENT, REPEATABLE, AND SAFE CRYOSPRAY TREATMENT OF AIRWAY TISSUE	9867648	Granted
8177CSA0003	CRYOSURGERY SYSTEM	9301796	Granted
8177CSA0003CP	CRYOSURGERY SYSTEM	9144449	Granted
8177CSA0003CPC1	CRYOSURGERY SYSTEM	9820797	Granted

SCHEDULE 2-B TO GRANT OF SECURITY INTEREST PATENT APPLICATIONS

Atty Docket	Title	Serial	Status
8177CSA0002C1	METHOD AND SYSTEM FOR CONSISTENT, REPEATABLE, AND SAFE CRYOSPRAY TREATMENT OF AIRWAY TISSUE	15846741	Pending
8177CSA0003CPC2	CRYOSURGERY SYSTEM	15793357	Pending
8177CSA0004	CRYOSPRAY CATHETERS	14012320	Pending
8177CSA0007	BRONCHOSCOPIC SHEATH FOR MEASURING OR SPACING	14848608	Pending
8177CSA0020	ROTATIONAL CRYOGEN DELIVERY DEVICE	15668004	Pending
8177CSA0021	CATHETER EXTENSION CONTROL	15158698	Pending
8177CSA0022	METHOD & APPARATUS TO PERFORM CRYOTHERAPY	15659199	Pending
8177CSA0026	ENDOSCOPE VISION PRESERVATION SYSTEM FOR CRYOTHERAPY DEVICES	15498439	Pending
8177CSA0027	APPARATUS TO DETERMINE GAS EGRESS / VENTILATOR CONNECTION PRIOR TO CRYOTHERAPY	15914507	Pending
8177CSA0028	METHOD & APPARATUS FOR PERFORMING CRYOTHERAPY OF DISTAL LUNG LESIONS	15791042	Pending

8177CSA0030	APPARATUS TO DETERMINE GAS EGRESS / VENTILATOR CONNECTION PRIOR TO CRYOTHERAPY	15814900	Pending
8177CSA0032	CATHETER INTERFACE TO BLOCK AND REMOVE FLUID FROM SCOPE WORKING CHANNEL	15914510	Pending
8177CSA0033	SYSTEMS AND METHODS TO PREVENT OR SIGNIFICANTLY INHIBIT GAS PROGRESSION DURING SPRAY CRYOTHERAPY	15874414	Pending
8177CSA0041	EMBEDDED SYSTEM FOR THREE- DIMENTIONAL MAPPING AND CONTROL IN SOLIDS, LIQUIDS, GASES, GELS AND COMPOSITES	16523658	Pending
8177CSA0046	DEVICES AND METHODS FOR GUIDING INSTRUMENTS	16279555	Pending
8177CSA0047PR	SYSTEMS AND METHODS TO ENHANCE RADIAL SPRAY FROM A CATHETER	16131532	Pending

RECORDED: 11/03/2021