

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT7005540

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
DICKON MURRAY HUMPHREY	05/09/2021
TIMOTHY OWEN BAZALGETTE	04/16/2021
ANDRES CURTO MARTIN	04/16/2021
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	DARKTRACE HOLDINGS LIMITED
<b>Street Address:</b>	MAURICE WILKES BUILDING, ST JOHN'S INNOVATION PARK
<b>City:</b>	CAMBRIDGE
<b>State/Country:</b>	UNITED KINGDOM
<b>Postal Code:</b>	CB4 0DS
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	17187383
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(714)546-9035
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	7146415100
<b>Email:</b>	patents@rutan.com
<b>Correspondent Name:</b>	RUTAN & TUCKER LLP
<b>Address Line 1:</b>	18575 JAMBOREE ROAD
<b>Address Line 2:</b>	9TH FLOOR
<b>Address Line 4:</b>	IRVINE, CALIFORNIA 92612
<b>ATTORNEY DOCKET NUMBER:</b>	034306-0008P7
<b>NAME OF SUBMITTER:</b>	THOMAS S. FERRILL
<b>SIGNATURE:</b>	/Thomas S. Ferrill/
<b>DATE SIGNED:</b>	11/03/2021
This document serves as an Oath/Declaration (37 CFR 1.63).	
<b>Total Attachments: 6</b>	
source=034306_0008P7_Darktrace_AIA_Dec_Assignment_V4#page1.tif	
source=034306_0008P7_Darktrace_AIA_Dec_Assignment_V4#page2.tif	

source=034306\_0008P7\_Darktrace\_AIA\_Dec\_Assignment\_V4#page3.tif

source=034306\_0008P7\_Darktrace\_AIA\_Dec\_Assignment\_V4#page4.tif

source=034306\_0008P7\_Darktrace\_AIA\_Dec\_Assignment\_V4#page5.tif

source=034306\_0008P7\_Darktrace\_AIA\_Dec\_Assignment\_V4#page6.tif

**PATENT**

**REEL: 058010 FRAME: 0862**

Attorney's Docket No.: 034306-0008P7

PATENT

**DECLARATION OF INVENTORSHIP AND ASSIGNMENT OF OWNERSHIP RIGHTS FOR A  
UTILITY OR DESIGN PATENT APPLICATION**

Check One:

- ☐ Declaration & Assignment Submitted  
with the Initial Filing OR  
☒ Declaration & Assignment Submitted  
After the Initial Filing (Surcharge  
under 37 C.F.R. § 1.16(e) Required)

Complete If Known:

Application No.: 17/187,383  
Filing Date: February 26, 2021  
Art Unit: TBD  
Examiner Name: TBD

**I hereby declare that this declaration is directed to:**

☐ the attached application, or

☒ 1) United States Application Number 17/187,383 or 2) PCT International  
Application Number \_\_\_\_\_ filed on 02/26/2021 (MM/DD/YYYY)

The Patent Application was made or was authorized to be made by me. I believe that I  
am the original inventor or an original joint inventor of a claimed invention in the patent  
application, for which a Patent is sought on the invention entitled:

**CYBER THREAT DEFENSE SYSTEM AND METHOD**

I hereby authorize the firm of Rutan & Tucker, LLP, 18575 Jamboree Road, 9th Floor, Irvine,  
CA 92612 the power to insert here in the parenthesis, after I signed this document,

( \_\_\_\_\_ )  
any further identification information, including the patent application's serial number and filing  
date that may be necessary or desirable in order to comply with the rules of the United States  
Patent and Trademark Office for recordation of this document.

I hereby state that I have reviewed and understand the contents of the above-identified  
specification, including the claim(s), as amended by any amendment specifically referred to  
above, and the listing of inventors to be an accurate reflection of the invention.

I acknowledge the duty to disclose information which is material to patentability as defined in  
37 C.F.R. 1.56, including for continuation-in-part applications, material information which  
became available between the filing date of the prior application and the national or PCT  
international filing date of the continuation-in-part application. I acknowledge that this is a  
continuing duty to disclose information including prior art which is material to patentability until  
the Patent Application issues as a Patent.

I believe the specification of the Patent Application contains a written description of the invention, and the manner and process of making and using the invention, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention. I believe the claims of the patent application particularly points out and distinctly claims one or more embodiments of the subject matter, which I as the inventor regard as the invention.

WHEREAS, Darktrace Holdings Limited, a corporation of United Kingdom, having a principal place of business at Maurice Wilkes Building, St John's Innovation Park, Cambridge, CB4 0DS, United Kingdom, ("Assignee"), is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries.

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, We, the undersigned inventor hereby assigns and transfer to Assignee and its successors, assigns, and legal representatives, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements that are disclosed in the Application for the above United States Patent indicated above.

WE also assign the entire right, title, and interest for all provisional applications, non-provisional applications, divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions, and all other patent applications that have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original patents, reissued patents, reexamination certificates, and extensions, that have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application.

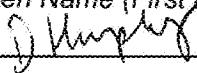
I specifically also assign the entire right, title, and interest that I have for all provisional applications or other applications that this patent application claims priority to, including but not limited to:

United States Provisional Patent Application Number 62/983,307, filed on 02/28/2020 (MM/DD/YYYY), Titled, AN ARTIFICIAL INTELLIGENCE BASED CYBER SECURITY SYSTEM.

Further, WE agree that WE will communicate to said ASSIGNEE or its (his) representatives any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute, renewal and reissue applications, execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its (his) successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true. I hereby acknowledge further that these statements were made with the knowledge that any willful false statements and the like so made in this Declaration are punishable by fine or imprisonment of not more than 5 years, or both, under 18 U.S.C. 1001 of Title 18, and that such willful false statements may also jeopardize the validity of the Application or any Patent issued thereon.


NAME OF INVENTOR:

Full Name: Dickon Murray Humphrey  
(Given Name (First and Middle [if any]), Family Name (or Surname))  
Inventor's Signature  Date 09/05/2021 (DD/MM/YY)  
Mailing Address 2 Lents Way  
Cambridge, CB4 1UA, UK

Note: An application data sheet (PTO/AIA/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have been previously filed. Use an additional PTO/AIA/01 form for each additional inventor.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true. I hereby acknowledge further that these statements were made with the knowledge that any willful false statements and the like so made in this Declaration are punishable by fine or imprisonment of not more than 5 years, or both, under 18 U.S.C. 1001 of Title 18, and that such willful false statements may also jeopardize the validity of the Application or any Patent issued thereon.

NAME OF INVENTOR:

Full Name: Timothy Owen Bazalgette  
(Given Name (First and Middle [if any]), Family Name (or Surname))  
Inventor's Signature  Date 16th April 2021  
Mailing Address 12 New Road, Woolmer Green  
Knebworth, SG3 6JX, UK

Note: An application data sheet (PTO/AIA/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have been previously filed. Use an additional PTO/AIA/01 form for each additional inventor.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true. I hereby acknowledge further that these statements were made with the knowledge that any willful false statements and the like so made in this Declaration are punishable by fine or imprisonment of not more than 5 years, or both, under 18 U.S.C. 1001 of Title 18, and that such willful false statements may also jeopardize the validity of the Application or any Patent issued thereon.

NAME OF INVENTOR:

Full Name: Andres Curto Martin  
(Given Name (First and Middle [if any]), Family Name (or Surname))

Inventor's Signature Andres Curto Martin Date 16-APR-2021

Mailing Address 8 Rogers Road, Swaffham Prior  
Cambridge, CB25 0HX, UK

Note: An application data sheet (PTO/AIA/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have been previously filed. Use an additional PTO/AIA/D1 form for each additional inventor.

These terms are agreed to by Darktrace Holdings Limited

Signature: 

Name: Richard Eaton Date: 29 April 2021

Title: Company Secretary of Darktrace Holdings Limited