506968961 11/09/2021

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT7015799

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

CONVEYING PARTY DATA

Name	Execution Date
CHARAH, LLC	11/09/2021
SCB INTERNATIONAL HOLDINGS, LLC	11/09/2021
MERCURY CAPTURE INTELLECTUAL PROPERTY, LLC	11/09/2021

RECEIVING PARTY DATA

Name:	JPMORGAN CHASE BANK, N.A., AS ADMINISTRATIVE AGENT
Street Address:	1300 EAST NINTH STREET, 13TH FLOOR
City:	CLEVELAND
State/Country:	ОНЮ
Postal Code:	44114

PROPERTY NUMBERS Total: 13

Property Type	Number
Patent Number:	7328805
Patent Number:	10195546
Patent Number:	9884311
Application Number:	15877732
Patent Number:	8876967
Patent Number:	9493376
Patent Number:	9873636
Patent Number:	9498747
Patent Number:	10130912
Patent Number:	10307711
Application Number:	16430100
Patent Number:	10759696
Patent Number:	11123660

CORRESPONDENCE DATA

Fax Number: (312)863-7867

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 312-863-7267

> **PATENT** REEL: 058066 FRAME: 0164

506968961

Email: jaclyn.digrande@goldbergkohn.com **Correspondent Name:** JACLYN DI GRANDE - PARALEGAL

Address Line 1:GOLDBERG KOHN LTD.Address Line 2:55 E MONROE ST., STE 3300Address Line 4:CHICAGO, ILLINOIS 60603

ATTORNEY DOCKET NUMBER:	1075.324
NAME OF SUBMITTER:	JACLYN DI GRANDE
SIGNATURE:	/jaclyn di grande/
DATE SIGNED:	11/09/2021

Total Attachments: 15

source=Charah Patent Security Agreement#page1.tif source=Charah Patent Security Agreement#page2.tif source=Charah Patent Security Agreement#page3.tif source=Charah Patent Security Agreement#page4.tif source=Charah Patent Security Agreement#page5.tif source=Charah Patent Security Agreement#page6.tif source=Charah Patent Security Agreement#page7.tif source=Charah Patent Security Agreement#page8.tif source=Charah Patent Security Agreement#page9.tif source=Charah Patent Security Agreement#page10.tif source=Charah Patent Security Agreement#page11.tif source=Charah Patent Security Agreement#page12.tif source=Charah Patent Security Agreement#page13.tif source=Charah Patent Security Agreement#page13.tif source=Charah Patent Security Agreement#page14.tif source=Charah Patent Security Agreement#page15.tif

PATENT SECURITY AGREEMENT

This PATENT SECURITY AGREEMENT (as amended, restated, supplemented or modified from time to time, the "Patent Security Agreement"), dated as of November 9, 2021, is by CHARAH, LLC, a Kentucky limited liability company, SCB INTERNATIONAL HOLDINGS, LLC, a Delaware limited liability company and MERCURY CAPTURE INTELLECTUAL PROPERTY, LLC, a Delaware limited liability company (each a "Grantor" and collectively, the "Grantors"), in favor of JPMORGAN CHASE BANK, N.A., as the administrative agent (the "Administrative Agent").

WITNESSETH

WHEREAS, pursuant to that certain Credit Agreement dated as of November 9, 2021, by and among the Grantors, the other Loan Parties party thereto from time to time, the Lenders from time to time party thereto and the Administrative Agent (as the same may be from time to time amended, restated, amended and restated, supplemented or otherwise modified, the "Credit Agreement"), the Administrative Agent and the Lenders have agreed to make loans and other financial accommodations to the Borrowers;

WHEREAS, in connection with the Credit Agreement, Grantors executed and delivered to the Administrative Agent that certain Pledge and Security Agreement dated as of November 9, 2021 (as from time to time amended, restated, amended and restated, supplemented or otherwise modified, the "Security Agreement"); and

WHEREAS, in connection with the Security Agreement, Grantors have agreed to execute and deliver to the Administrative Agent this Patent Security Agreement.

NOW, THEREFORE, in consideration of the premises and mutual covenants herein contained and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each Grantor hereby agrees as follows:

- 1. <u>Defined Terms</u>. All capitalized terms used but not otherwise defined herein have the meanings given to them in the Security Agreement or Credit Agreement, as applicable.
- grants to the Administrative Agent a continuing first priority security interest in all of Grantors' right, title and interest in, to and under the following, whether presently existing or hereafter created or acquired to secure all of the Secured Obligations (collectively, the "Patent Collateral"): (a) any and all patents and patent applications, including those registered Patents referred to on Schedule I hereto; (b) all inventions and improvements described and claimed therein; (c) all reissues, divisions, continuations, renewals, extensions, and continuations-in-part thereof; (d) all income, royalties, damages, claims, and payments now or hereafter due or payable under and with respect thereto, including, without limitation, damages and payments for past and future infringements thereof; (e) all rights to sue for past, present, and future infringements thereof; and (f) all rights corresponding to any of the foregoing throughout the world.
- 3. <u>PLEDGE AND SECURITY AGREEMENT</u>. The security interests granted pursuant to this Patent Security Agreement are granted in conjunction with the security interests granted to the Administrative Agent pursuant to the Security Agreement. Each Grantor hereby acknowledges and affirms that the rights and remedies of the Administrative Agent with respect to the security interest in the Patent Collateral made and granted hereby are more fully set forth in the Security Agreement, the terms and provisions of which are incorporated by reference herein as if fully set forth herein
- 4. <u>AUTHORIZATION TO SUPPLEMENT</u>. If any Grantor shall obtain rights to any new patents, the provisions of this Patent Security Agreement shall automatically apply thereto. Each Grantor shall give prompt (and in any case in the next Compliance Certificate required to be delivered pursuant to

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the Credit Agreement) notice in writing to the Administrative Agent with respect to any such new patents. Without limiting each Grantor's obligations under this <u>Section 4</u>, each Grantor hereby authorize the Administrative Agent unilaterally to modify this Agreement by amending <u>Schedule I</u> to include any such new patent rights of such Grantor. Notwithstanding the foregoing, no failure to so modify this Patent Security Agreement or amend <u>Schedule I</u> shall in any way affect, invalidate or detract from the continuing security interest of the Administrative Agent in all Collateral, whether or not listed on <u>Schedule I</u>.

- 5. <u>COUNTERPARTS</u>. This Patent Security Agreement may be executed in any number of counterparts, each of which shall be deemed to be an original, but all such separate counterparts shall together constitute but one and the same instrument. In proving this Patent Security Agreement in any judicial proceedings, it shall not be necessary to produce or account for more than one such counterpart signed by the party against whom such enforcement is sought. Any signatures delivered by a party by facsimile transmission or by e-mail transmission shall be deemed an original signature hereto.
- 6. <u>CHOICE OF LAW</u>. THIS PATENT SECURITY AGREEMENT SHALL BE GOVERNED BY, AND CONSTRUED IN ACCORDANCE WITH, THE INTERNAL LAWS OF THE STATE OF NEW YORK, BUT GIVING EFFECT TO FEDERAL LAWS APPLICABLE TO NATIONAL BANKS.

[SIGNATURE PAGES FOLLOW]

-2-

IN WITNESS WHEREOF, each Grantor has caused this Patent Security Agreement to be executed and delivered by their duly authorized officer as of the date first set forth above.

> CHARAH, LLC, a Kentucky limited liability company

Title: President

SCB INTERNATIONAL HOLDINGS, LLC, a

Delaware limited liability company

Name: Scott Sewell

Title: President

MERCURY CAPTURE INTELLECTUAL PROPERTY, LLC, a Delaware limited liability

company

By: Seott Sewell

Title: President

ACCEPTED AND ACKNOWLEDGED BY:

JPMORGAN CHASE BANK, N.A., as Administrative Agent

Name: Mac Banas

Title: Authorized Officer

Signature Page to Patent Security Agreement

PATENT

REEL: 058066 FRAME: 0169

SCHEDULE I

PATENTS

Grantor	Title	Country	No.	Status
Charah, LLC	Method and System for Beneficiating Gasification Slag		7 328 805 B2	Issued on 02-12-2008
			Publication No.	
			2005/005150 0 A1	
			Application No. 10/657,730	
Charah, LLC	Ash Management Trench		Patent No. 10,195,546 B2 issued on 02-05-2019	Patent issued 2-5-19
			Continuation Application No. 16/266,539 filed on 02- 04-2019	
			Publication No. 2016- 0097173 A1	
			Application No. 14/813,052	
			Provision Application No. 14/813,052	

Mercury	Activated Carbon and	U.S.	Patent No.	Issued on
Capture	Coal Combustion Residue		9,884,311	
Intellectual	Treatment System and		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	02-6-18
Property, LLC	Method		Publication No. 2015/0038 321 A1 Applicatio n No.	
			14/385,285 Provisiona I Applicatio n No. 61/685,24	
Mercury Capture Intellectual Property, LLC	Coal Ash Treatment System and Method	U.S.	Application No. 15/877,732	Published on 06-07-2018
r Toporty, EDC			Publication No. 2018- 0154336 Provisional Application No. 61/685,240	
Mercury Capture Intellectual Property, LLC	Activated Carbon and Coal Combustion Residue Treatment System and Method		Application, Publication, and Patent No. 2013232030	Issued on 08-11-2016
Mercury Capture Intellectual Property, LLC	Method and System for the Treatment of Combustion Residue of Coal and Carbon Activated	Brazil	Application and Publication No. 112014022 50 28 A8	Published on 06-20-2017

Mercury	Activated Carbon and	Canada	Application,	Issued on
Capture	Coal Combustion Residue		Publication,	
Intellectual	Treatment System and		and Patent	03-07-2017
	Method		No.	
1000115, 220				
			2867065	
Mercury	Activated Carbon and	EP	Publication	Published on
Capture	Coal Combustion Residue		No.	01.01.0017
Intellectual	Treatment System and	Validations:	2025202 11	01-21-2015
Property, LLC	Method	BE, DU,	2825293 A1	
		FR, IE, IT,	Application	
		μ.υ, wie,	No.	
		NL, PL, PO, RU, SP,	13761498.8	
		SW, SW,	13701170.0	
		UK		
Mercury	Activated Carbon and	Japan	Patent No.	Issued on
Capture	Coal Combustion Residue		5973647 B2	07-22-2016
Intellectual	Treatment System and		3973047 D Z	07-22-2010
Property, LLC	Method			
			Publication	
			No.	
			110.	
			2015-518413	
			A	
			Application	
			No.	
			2015-500621	
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Mercury		New Zaaland	* *	Issued on
Capture	Coal Combustion Residue		Publication,	07-01-2016
Intellectual Property, LLC	Treatment System and Method		and Patent No.	
Property, LLC	lylethod		INO.	
			630439	
Mercury	System and Method of	Russia	Patent No.	Issued on 08-27-
Capture	Cleaning Activated Coal		2595690 C2	2016
Intellectual	and Coal Combustion			
Property, LLC	Residues			
			Application	
			and	

			Publication	
			No.	
			2014141172	
Mercury	Cement Kiln Dust	U.S.	A Patent No.	Issued on
Capture	Treatment System and	0.3.	ratent No.	Issued on
Intellectual	Method		8,876,967	11-04-2014
Property, LLC	Wethou			
l Toperty, LLC				
			Publication	
			No.	
			2012-	
			0272872 A1	
			02/28/2 A1	
			Application	
			No.	
			12/512 125	
			13/512,125	
			Provisional	
			Application	
			No.	
			61/374,745	
Mercury	Cement Kiln Dust	U.S.	Patent No.	Issued on
Capture	Treatment System and		9,493,376	11-15-2016
Intellectual	Method		7,473,370	11-13-2010
Property, LLC				
			Publication	
			No.	
			2015-	
			0053119 A1	
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			Application No. 14/532,457	
			Provisional Application No. 61/374,745	
Mercury Capture Intellectual Property, LLC	Cement Kiln Dust Treatment System and Method	U.S.	Patent No. 9,873,636	Issued on 01-23-2018
			Publication No. 2012- 0272872 A1	
			Application No. 13/512,125	
			Provisional Application No. 61/374,745	
Mercury Capture Intellectual Property, LLC	Cement Kiln Dust Treatment System and Method	Australia	Application, Publication, and Patent No. 2011291643	Issued on 02-27-2014
Mercury Capture	Cement Kiln Dust Treatment System and Method	Australia	Application, Publication, and Patent	Issued on 08-11-2016

Intellectual Property, LLC			No. 2014200531	
Mercury Capture Intellectual Property, LLC	Cement Kiln Dust Treatment System and Method	Brazil	Application and Publication No. 11201300049 91 A2	Published on 05-31-2016
Mercury Capture Intellectual Property, LLC	Cement Kiln Dust Treatment System and Method	Canada	Application, Publication, and Patent No. 2803691	Issued on 08-04-2015
Mercury Capture Intellectual Property, LLC	Cement Kiln Dust Treatment System and Method	EP Validations: BE, CH/LI, DE, ES, FR, GB, IE, IT, LU, MC, NL, PL, PT and SE	Application	Issued on 01-04-2017
Mercury Capture Intellectual Property, LLC	Cement Kiln Dust Treatment System and Method	EP Validations: BE, DE, FR, IE, IT, LU, MC, NL, PL, PT, SP, SE, SW, UK		Published on 10-04-2017

Mercury Capture Intellectual Property, LLC	Cement Kiln Dust Treatment System and Method	Japan	JP Patent No. 6484162 Publication No. 2016-093806 A Application No. 2015-219575	
Mercury Capture Intellectual Property, LLC	Cement Kiln Dust Treatment System and Method	Japan	Application No. 2018-000264	Filed on 01-04-2018
Mercury Capture Intellectual Property, LLC	Cement Kiln Dust Treatment System and Method	New Zealand	Application, Publication, and Patent No. 606034	Issued on 03-25-2015
Mercury Capture Intellectual Property, LLC	Cement Kiln Dust Treatment System and Method	Russia	Patent No. 2538586 C2 Application and Publication No. 2013111776	Issued on 01-10-2015
Mercury Capture Intellectual Property, LLC	Cement Kiln Exhaust Gas Pollution Reduction	U.S.	Patent No. 9,498,747 Publication No. 2013- 0291725 A1	Issued on 11-22-2016

		Application No. 13/808,031 Provisional Application Nos. 61/360,980 and	
Mercury Capture Intellectual Property, LLC	Cement Kiln Exhaust Gas Pollution Reduction	61/373,299 Patent No. 10130912 2017-0065933 A1 Application No. 15/357,261 Provisional Application Nos. 61/360,980	Issue Date 11-20-18
Mercury Capture Intellectual Property, LLC	Cement Kiln Exhaust Gas Pollution Reduction	and 61/373,299	Patent issued 6-4-2019

Mercury	Cement Kiln Exhaust Gas	Australia	Applicatio	Issued on 03-05-
Capture	Pollution Reduction		n,	2015
Intellect			Publicatio	
ual			n, and	
Property			Patent No.	
, LLC			2011272725	
Mercury	Cement Kiln Exhaust Gas	Brazil	Application	Published on
Capture	Pollution Reduction		and	05-17-2016
Intellectual			Publication	03-17-2010
Property, LLC			No.	
			11201300009	
			53 A2	
Mercury	Cement Kiln Exhaust Gas	Canada	Application,	Issued on
Capture	Pollution Reduction	Canada	Publication,	
Intellectual	i onution reduction		and Patent	12-29-2015
Property, LLC			No.	
			2803662	
Mercury	Cement Kiln Exhaust Gas Pollution Reduction	EP	No	Published on
Capture		1		04-24-2013
Intellectual			2583286 A2	0+ 2+ 2013
Property, LLC			2303200712	
			Application	
			No.	
			110014545	
			11801474.5	
Mercury	Cement Kiln Exhaust Gas Pollution Reduction	Japan	Patent No.	Issued on
Capture Intellectual			5959683 B2	07-01-2016
Property, LLC				
			Publication	
			No.	
			2015-211962	
			Application	
			No.	
			2015-083329	
			2015 005527	

Mercury Capture	Cement Kiln Exhaust Gas Pollution Reduction	New Zealand	Applicatio n,	Issued on 02-03- 2015
Intellect ual Property , LLC			Publicatio n, and Patent No. 605206	
Mercury Capture Intellectual Property, LLC	Cement Kiln Exhaust Gas Pollution Reduction	Russia	Application and Publication No. 2015154742	Published on 06-26-2017
Mercury Capture Intellectual Property, LLC	Exhaust Gas Pollution Reduction	U.S.	Application No. 16/430,100	Filed 06-03- 2019
Mercury Capture Intellectual Property, LLC	Cement Kiln Dust Treatment System and Method	European	Application No. 17150180.2	Filed on 9-3- 19
Mercury Capture Intellectual Property, LLC	Activated Carbon and Coal Combustion Residue Treatment System and Method		Application No. 13761498.8	Filed on 9- 23-2019
SCB International Holdings, LLC	Cement Kiln Fuel Treatment	U.S.	Patent No. 10759696 Publication No. 2016-0221872 Al Application No. 15/011,019 Provisional Application	Issue date 9-1-20

			No. 62/110,292	
SCB	Cement Kiln Fuel	Canada	Application	Filed on
International	Treatment		No.	06-22-2017
Holdings, LLC			2972154	00-22-2017
SCB	Cement Kiln Fuel	EP	Publication	Published on
International	Treatment		No.	12.06.2017
Holdings, LLC			3250661 A1	12-06-2017
			Application No. 16744207.8	
SCB	Cement Kiln Fuel	India	20174702532	Published on
International	Treatment		7 1	
Holdings, LLC				07-21-2017
Mercury Capture Intellectual Property, LLC	Cement Kiln Fuel Treatment	Russia	Patent No. 2015154742	
Mercury	Cement Kiln Exhaust Gas	European	Application	
	Pollution Reduction	1	No. 11 801	
Intellectual			474.5-1101	
Property, LLC				
Charah, LLC	ASH MANAGEMENT TRENCH		11123660	9/21/21

PATENT APPLICATIONS

None

PATENT REEL: 058066 FRAME: 0180

RECORDED: 11/09/2021