11/12/2021 506975718 PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT7022557

SUBMISSION TYPE: NATURE OF CONVEYANCE:		NEW ASSIGNMENT	
		ASSIGNMENT	
CONVEYING PARTY	DATA		
	Ν	lame	Execution Date
YUEFENG LU		04/06/2019	
JIAN-FENG LU			
JIAN-FENG LU RECEIVING PARTY	DATA		04/06/2019
	DATA ASKGENE PH	HARMA INC.	04/06/2019
RECEIVING PARTY	ASKGENE PH	HARMA INC. GO WAY, SUITE A	04/06/2019
RECEIVING PARTY Name:	ASKGENE PH		04/06/2019
RECEIVING PARTY Name: Street Address:	ASKGENE PH 5217 VERDU	GO WAY, SUITE A	04/06/2019

PROPERTY NUMBERS Total: 4

Property Type	Number
Application Number:	62655436
PCT Number:	US1926838
Application Number:	16380852
Application Number:	17374960

CORRESPONDENCE DATA

Fax Number:

(805)322-4469

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail. Phone: 8054447865

Flione.	0004447000	
Email:	docketing@entralta.com	
Correspondent Name:	ENTRALTA P.C.	
Address Line 1:	2127 OLYMPIC PARKWAY, SUITE 1006 #367	
Address Line 4:	CHULA VISTA, CALIFORNIA 91915	

ATTORNEY DOCKET NUMBER:	AG3-018		
NAME OF SUBMITTER:	PETER D. WEINSTEIN		
SIGNATURE:	/Peter D. Weinstein/		
DATE SIGNED:	11/12/2021		
Total Attachments: 3			

Total Attachments: 3

source=2018-04-10-Executed-Assignment-AG3-018USP1#page1.tif

source=2018-04-10-Executed-Assignment-AG3-018USP1#page2.tif source=2018-04-10-Executed-Assignment-AG3-018USP1#page3.tif

ASSIGNMENT

THIS ASSIGNMENT is made by Yuefeng Lu having a principal place of residence at Newbury Park, and Jian-Feng (Jeff) Lu having a principal place of residence at Oak Parks, California (hereafter, together with any successors, legal representatives, or assigns thereof, "ASSIGNOR") to AskGene Pharma Inc., a corporation duly organized and existing under and by the laws of the State of Delaware and having its principal place of business at 5217 Verdugo Way, Suite A, Camarillo, California 93012 (hereafter, together with any successors, legal representatives, or assigns thereof, "ASSIGNEE").

WHEREAS, ASSIGNOR has invented and owns rights in, to and under a new and useful invention for which an application(s) for Letters Patent has been filed as indicated below (hereafter "Invention"); U.S. Patent Application filed April 10th, 2018 bearing Serial No. US 62/655,436;

WHEREAS, ASSIGNOR believes himself to be the original and true inventor of the Invention; WHEREAS, ASSIGNEE desires to acquire the Invention and improvements thereto;

AND WHEREAS, ASSIGNOR and ASSIGNEE desire to have a recordable instrument assigning ASSIGNEE as owners of the entire right, title and interest in, to, and under the Invention and improvements thereto owned by ASSIGNOR;

NOW THEREFORE, for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR does hereby sell, assign, transfer, convey, endorse, and hereby set over unto ASSIGNEE the full and exclusive right, title and interest in, to and under the Invention to be held and enjoyed by ASSIGNEE, as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this assignment and sale not been made including the full and exclusive right, title and interest in, to and under 1) any patent application, or any other legal instrument equivalent thereof, including, without limitation, continuation, division, continuation-in-part, substitute, reexamination, renewal, inventor's certificate, and utility model, which has been or may be submitted therefor and thereon anywhere in the World, such term defined herein as including the United States of America, its territorial possessions, and any and all foreign countries under national laws or under the provisions of the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, or any other international treaty equivalent thereof; 2) any Letters Patent, or any other legal instrument equivalent thereof, which has been or may be granted therefor and thereon, in the World, for the full term or terms for which the same may be granted; 3) any reissue, extension, or any other legal instrument equivalent thereof, on any patent application or Letters Patent which has been or may be granted therefor and thereon in the World; 4) any right to collect damages for any past infringement; and 5) any right to claim priority to a filing date, or any other legal equivalent thereof, which has been or may be claimed by any patent application or Letters Patent therefor and thereon in the World.

ASSIGNOR hereby covenants and agrees to perform any lawful action when deemed essential by and to ASSIGNEE's full enjoyment, protection, enforcement and title in, to and under the Invention and rights hereby transferred, including, but not limited to, promptly communicating and providing any and all known and accessible facts, data or any other pertinent information; promptly executing and delivering any and all papers, documents, forms, declarations, oaths, affidavits or any other legal instrument; promptly assisting and participating in any and all depositions, hearings, proceedings, trials, appeals, or any other legal procedure; promptly testifying under oath in any and all interference, post grant review, litigation or any other administrative or judicial proceeding; and promptly completing any and all actions necessary or desirable to carry out any and all purposes thereof, relating to any and all proceedings in

PATENT REEL: 058102 FRAME: 0038

1

connection with the submission, procurement, issuance, maintenance, enforcement or defense of the Invention and rights hereby transferred.

IN TESTIMONY WHEREOF, I hereunder set my hand this <u>06</u> day of <u>April</u>, 20<u>19</u>

ASSIGNOR

Signature

Yuefeng Lu

Printed Name

State of California)

County of Ventury)

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

On $\underline{OH/O6/2019}_{\text{appeared}}$ before me, \underline{Vyk} <u>Notary</u> <u>Public</u>, personally appeared $\underline{Jian Feng}_{Lu}$, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies) and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of <u>California</u> that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature _____ (Seal)



PATENT REEL: 058102 FRAME: 0039 IN TESTIMONY WHEREOF, I hereunder set my hand this \underline{Ol} day of $\underline{APr!}$, 2019 ASSIGNOR

Jian-Feng (Jeff) Lu

Printed Name

State of California)

County of Ventury)

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

On <u>O4/06/2019</u> before me, <u>Kyle Vork Notary Public</u>, personally appeared <u>Jian ferg Lu</u>, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies) and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature _____ (Seal)



3

RECORDED: 11/12/2021