

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT7039578

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
IAN M MUNRO	06/11/2019
WESLEY R MARIOTT	07/03/2019
NITIN BORSE	06/11/2019
MICHAEL D. AWE	06/07/2019
PHUONG A CAO	06/19/2019
RECEIVING PARTY DATA	
Name:	DOW GLOBAL TECHNOLOGIES LLC
Street Address:	2040 DOW CENTER
City:	MIDLAND
State/Country:	MICHIGAN
Postal Code:	48674
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	15734615
CORRESPONDENCE DATA	
Fax Number:	(989)636-3237
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	989-636-2884
Email:	FGLMFRR@dow.com
Correspondent Name:	DANNY CHILDS
Address Line 1:	2211 H.H. DOW WAY
Address Line 2:	SSC-1338
Address Line 4:	MIDLAND, MICHIGAN 48674
ATTORNEY DOCKET NUMBER:	81969-US-PCT(WO)
NAME OF SUBMITTER:	DANNY CHILDS
SIGNATURE:	/Danny Childs/
DATE SIGNED:	11/23/2021
Total Attachments: 8	

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source=Inventors to DGTL#page8.tif

ASSIGNEE ACCEPTANCE

WE, the ASSIGNEE: **DOW GLOBAL TECHNOLOGIES LLC**
2040 Dow Center
Midland, Michigan 48674
United States of America

hereby accept the ASSIGNMENT which is dated June 11, 2019; July 3, 2019; June 11, 2019; February 11, 2020; and June 19, 2019, respectively

From, the ASSIGNORS: Ian M. Munro, 230 Abner Jackson Pkwy, Freeport, Texas 77566, United States of America; Wesley R. Mariott 230 Abner Jackson Pkwy, Lake Jackson, Texas 77566, United States of America; Nitin Borse, 230 Abner Jackson Parkway, Lake Jackson, Texas 77566, United States of America; Michael D. Awe, 65 Backeland Avenue, Middlesex, New Jersey 08846, United States of America; and Phuong A. Cao, 65 Backeland Avenue, Middlesex, New Jersey 08846, United States of America

of the invention entitled:

SPRAY-DRIED ZIEGLER-NATTA (PRO)CATALYST SYSTEMS

without any restrictions and with all rights and obligations deriving therefrom and declare that this confirmation of acceptance shall be deemed a part of the said ASSIGNMENT.

Signed at Midland, Michigan 48674, USA

this 24 day of December, 20 20

CORP.
SEAL

DOW GLOBAL TECHNOLOGIES LLC

By: John E. Treangen

JOHN E. TREANGEN
Authorized Representative

This Assignment 119 is in the ENGLISH language and has the same meaning in other languages with the same 119 number and R/F date

This Assignment 119 is in the ENGLISH language and has the same meaning in other languages with the same 119 number and R/F date

FORM 110 (R 5/07)

INDIVIDUAL ASSIGNMENT AND INVENTOR'S DECLARATION

(with Priority Rights)

I/we verily believe I am/we are the original, first and sole/joint inventor(s) of the subject matter which is embraced by and for which a patent is sought on the invention entitled: **SPRAY-DRIED ZIEGLER-NATTA (PRO)CATALYST SYSTEMS**

which invention was made and invented by me/us out of and in the course of my/our employment with my/our respective employers as stated below my/our name(s). I/we acknowledge that I/we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof in trust for our respective employers. As trustee(s), and for good and valuable consideration and/or the remuneration received for my/our work, I/we sell, assign and transfer unto:

Dow Global Technologies LLC
2040 Dow Center
Midland, Michigan 48674
United States of America

an entity organized and existing under the laws of the state of **Delaware** (hereinafter referred to as the "Assignee"); my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications, including provisional applications when permitted by law, for Letters Patent in its own name, or in the name of its designee (or nominee(s)); and all rights and powers to file any corresponding or equivalent applications in any and all countries, including all rights to claim priority under all United States domestic laws, International Conventions(s) and Treaties in the name of Assignee, and do hereby authorize Assignee or its designee to insert here the Priority Number, Filing Date, and Filing Country

Priority Number	Filing Date	Filing Country
PCT/US19/035919	June 7, 2019	PCT

of the application, on which the claim for priority will be based; and in and to any Letters Patent that may be issued for the invention in any and all countries. I/we do hereby agree to assist Assignee in the prosecution of the application(s) and in any interference, conflict, opposition or litigation which may arise involving the invention or the patent or patents thereon, and to execute for any and all countries any document relating to applications derived from, corresponding to or equivalent to the above identified application as Assignee may request.

I/we authorize Assignee or its designee to translate this Assignment into all languages necessary; and we request that any such Letters Patent be issued to Assignee; and consent to the recording of this Assignment, or a Notarial True Copy thereof, being under covenant not only that I/we have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given.

Subscribed and Declared by me/us:

At: LAKE JACKSON, TX
this 11 day of JUNE, 20 19
Effective as of June 7, 2019
Signature: [Signature]
Full Name: Ian M. Munro
Mailing Address: 230 Abner Jackson Pkwy
Freeport, Texas 77566
United States of America

At: _____
this _____ day of _____, 20 _____
Effective as of June 7, 2019
Signature: _____
Full Name: Wesley R. Mariott
Mailing Address: 230 Abner Jackson Pkwy
Lake Jackson, Texas 77566
United States of America

At: _____
this _____ day of _____, 20 _____
Effective as of June 7, 2019
Signature: _____
Full Name: Nitin Borse
Mailing Address: 230 Abner Jackson Parkway
Lake Jackson, Texas 77566
United States of America

At: _____
this _____ day of _____, 20 _____
Effective as of June 7, 2019
Signature: _____
Full Name: Michael D. Awe
Mailing Address: 65 Backland Avenue
Middlesex, New Jersey 08846
United States of America

At: _____
this _____ day of _____, 20 _____
Effective as of June 13, 2018
Signature: _____
Full Name: Phuong A. Cao
Mailing Address: 65 Backland Avenue
Middlesex, New Jersey 08846
United States of America

At: _____
this _____ day of _____, 20 _____
Signature: _____
Full Name: _____
Mailing Address: _____

This Assignment 110 is in the EPO/EN language and has the same meaning in other languages with the same 110 number and R# date.

This Assignment 110 is in the EPO/EN language and has the same meaning in other languages with the same 110 number and R# date.

FORM 110 (R. 5/97)

INDIVIDUAL ASSIGNMENT AND INVENTOR'S DECLARATION (with Priority Rights)

I/we verily believe I am/we are the original, first and sole/joint inventor(s) of the subject matter which is embraced by and for which a patent is sought on the invention entitled: **SPRAY-DRIED ZIEGLER-NATTA (PRO)CATALYST SYSTEMS**

which invention was made and invented by me/us out of and in the course of my/our employment with my/our respective employers as stated below my/our name(s). I/we acknowledge that I/we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof in trust for our respective employers. As trustee(s), and for good and valuable consideration and/or the remuneration received for my/our work, I/we sell, assign and transfer unto:

Dow Global Technologies LLC
2040 Dow Center
Midland, Michigan 48674
United States of America

an entity organized and existing under the laws of the state of **Delaware** (hereinafter referred to as the "Assignee"); my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications, including provisional applications when permitted by law, for Letters Patent in its own name, or in the name of its designee (or nominee(s)); and all rights and powers to file any corresponding or equivalent applications in any and all countries, including all rights to claim priority under all United States domestic laws, International Convention(s) and Treaties in the name of Assignee, and do hereby authorize Assignee or its designee to insert here the Priority Number, Filing Date, and Filing Country

Priority Number	Filing Date	Filing Country
PCT/US19/035919	June 7, 2019	PCT

of the application, on which the claim for priority will be based; and in and to any Letters Patent that may be issued for the invention in any and all countries. I/we do hereby agree to assist Assignee in the prosecution of the application(s) and in any interference, conflict, opposition or litigation which may arise involving the invention or the patent or patents thereon, and to execute for any and all countries any document relating to applications derived from, corresponding to or equivalent to the above identified application as Assignee may request.

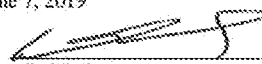
I/we authorize Assignee or its designee to translate this Assignment into all languages necessary; and we request that any such Letters Patent be issued to Assignee; and consent to the recordal of this Assignment, or a Notarial True Copy thereof, being under covenant not only that I/we have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given.

Subscribed and Declared by me/us:

At: _____
this _____ day of _____, 20____
Effective as of June 7, 2019

Signature: _____
Full Name: Ian M. Munro
Mailing Address: 230 Abner Jackson Pkwy
Freeport, Texas 77566
United States of America

At: LAKE JACKSON, TX
this 3rd day of July, 20 19
Effective as of June 7, 2019

Signature: 
Full Name: Wesley R. Mariott
Mailing Address: 230 Abner Jackson Pkwy
Lake Jackson, Texas 77566
United States of America

At: _____
this _____ day of _____, 20____
Effective as of June 7, 2019

Signature: _____
Full Name: Nitin Borse
Mailing Address: 230 Abner Jackson Parkway
Lake Jackson, Texas 77566
United States of America

At: _____
this _____ day of _____, 20____
Effective as of June 7, 2019

Signature: _____
Full Name: Michael D. Awe
Mailing Address: 65 Baekeland Avenue
Middlesex, New Jersey 08846
United States of America

At: _____
this _____ day of _____, 20____
Effective as of June 13, 2018

Signature: _____
Full Name: Phuong A. Cao
Mailing Address: 65 Baekeland Avenue
Middlesex, New Jersey 08846
United States of America

At: _____
this _____ day of _____, 20____

Signature: _____
Full Name: _____
Mailing Address: _____

This Assignment 110 is in the ENGLISH language and has the same meaning in other languages with the same 110 number and R/D box.

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which invention was made and invented by me/us out of and in the course of my/our employment with my/our respective employers as stated below my/our name(s). I/we acknowledge that I/we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof in trust for our respective employers. As trustee(s), and for good and valuable consideration and/or the remuneration received for my/our work, I/we sell, assign and transfer unto:

Dow Global Technologies LLC
2040 Dow Center
Midland, Michigan 48674
United States of America

an entity organized and existing under the laws of the state of **Delaware** (hereinafter referred to as the "Assignee"); my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications, including provisional applications when permitted by law, for Letters Patent in its own name, or in the name of its designee (or nominee(s)); and all rights and powers to file any corresponding or equivalent applications in any and all countries, including all rights to claim priority under all United States domestic laws, International Convention(s) and Treaties in the name of Assignee, and do hereby authorize Assignee or its designee to insert here the Priority Number, Filing Date, and Filing Country

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I/we authorize Assignee or its designee to translate this Assignment into all languages necessary; and we request that any such Letters Patent be issued to Assignee; and consent to the recordal of this Assignment, or a Notarial True Copy thereof, being under covenant not only that I/we have full power to make the same, but also that such assigned rights are not encumbered by any grant, license, or other right heretofore given.

Subscribed and Declared by me/us:

At: _____
this _____ day of _____, 20____
Effective as of June 7, 2019

Signature: _____
Full Name: Ian M. Munro
Mailing Address: 230 Abner Jackson Pkwy
Freeport, Texas 77566
United States of America

At: _____
this _____ day of _____, 20____
Effective as of June 7, 2019

Signature: _____
Full Name: Wesley R. Mariott
Mailing Address: 230 Abner Jackson Pkwy
Lake Jackson, Texas 77566
United States of America

At: Lake Jackson
this 11 day of June, 2019
Effective as of June 7, 2019

Signature: _____
Full Name: Nitin Borse
Mailing Address: 230 Abner Jackson Parkway
Lake Jackson, Texas 77566
United States of America

At: _____
this _____ day of _____, 20____
Effective as of June 7, 2019

Signature: _____
Full Name: Michael D. Awe
Mailing Address: 65 Backland Avenue
Middlesex, New Jersey 08846
United States of America

At: _____
this _____ day of _____, 20____
Effective as of June 13, 2018

Signature: _____
Full Name: Phuong A. Cao
Mailing Address: 65 Backland Avenue
Middlesex, New Jersey 08846
United States of America

At: _____
this _____ day of _____, 20____

Signature: _____
Full Name: _____
Mailing Address: _____

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which invention was made and invented by me/us out of and in the course of my/our employment with my/our respective employers as stated below my/our name(s). I/we acknowledge that I/we hold the invention and all patent and other rights and powers obtainable or exercisable in respect thereof in trust for our respective employers. As trustee(s), and for good and valuable consideration and/or the remuneration received for my/our work, I/we sell, assign and transfer unto:

Dow Global Technologies LLC
2040 Dow Center
Midland, Michigan 48674
United States of America

an entity organized and existing under the laws of the state of Delaware (hereinafter referred to as the "Assignee"); my/our entire right, title and interest throughout the world, in and to the invention and all rights and powers to make applications, including provisional applications when permitted by law, for Letters Patent in its own name, or in the name of its designee (or nominee(s)); and all rights and powers to file any corresponding or equivalent applications in any and all countries, including all rights to claim priority under all United States domestic laws, International Convention(s) and Treaties in the name of Assignee, and do hereby authorize Assignee or its designee to insert here the Priority Number, Filing Date, and Filing Country

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Subscribed and Declared by me/us:

At: _____
this _____ day of _____, 20 _____
Effective as of June 7, 2019

Signature: _____
Full Name: Ian M. Munro
Mailing Address: 230 Abner Jackson Pkwy
Freeport, Texas 77566
United States of America

At: _____
this _____ day of _____, 20 _____
Effective as of June 7, 2019

Signature: _____
Full Name: Wesley R. Mariott
Mailing Address: 230 Abner Jackson Pkwy
Lake Jackson, Texas 77566
United States of America

At: _____
this _____ day of _____, 20 _____
Effective as of June 7, 2019

Signature: _____
Full Name: Nitin Borse
Mailing Address: 230 Abner Jackson Parkway
Lake Jackson, Texas 77566
United States of America

At: _____
this _____ day of _____, 20 _____
Effective as of June 7, 2019

Signature: _____
Full Name: Michael D. Awe
Mailing Address: 65 Backland Avenue
Middlesex, New Jersey 08846
United States of America

At: NEW YORK, NY
this 19 day of June, 20 19
Effective as of June 13, 2018

Signature: Phuong A. Cao
Full Name: Phuong A. Cao
Mailing Address: 65 Backland Avenue
Middlesex, New Jersey 08846
United States of America

At: _____
this _____ day of _____, 20 _____

Signature: _____
Full Name: _____
Mailing Address: _____

PATENT

REEL: 058234 FRAME: 0140

Doc code: Oath

Document Description: Oath or declaration filed

PTO/AIA/02 (07-13)

Approved for use through 11/30/2020. OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

SUBSTITUTE STATEMENT IN LIEU OF AN OATH OR DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (35 U.S.C. 115(d) AND 37 CFR 1.64)

Title of Invention	SPRAY-DRIED ZIEGLER-NATTA (PRO)CATALYST SYSTEMS		
This statement is directed to: <input type="checkbox"/> The attached application, OR <input checked="" type="checkbox"/> United States application or PCT international application number <u>PCT/US19/035919</u> filed on <u>June 7, 2019</u>			
LEGAL NAME of inventor to whom this substitute statement applies:			
(E.g., Given Name (first and middle (if any)) and Family Name or Surname)			
Michael D. Awe			
Residence (except for a deceased or legally incapacitated inventor)			
City	State	Country	
Middlesex	NJ	US	
Mailing Address (except for a deceased or legally incapacitated inventor):			
65 Baekeland Avenue			
City	State	Zip	Country
Middlesex	NJ	08846	US
I believe the above-named inventor or joint inventor to be the original inventor or an original joint inventor of a claimed invention in the application. The above-identified application was made or authorized to be made by me. I hereby acknowledge that any willful false statement made in this statement is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.			
Relationship to the inventor to whom this substitute statement applies:			
<input type="checkbox"/> Legal Representative (for deceased or legally incapacitated inventor only). <input type="checkbox"/> Assignee, <input checked="" type="checkbox"/> Person to whom the inventor is under an obligation to assign. <input type="checkbox"/> Person who otherwise shows a sufficient proprietary interest in the matter (petition under 37 CFR 1.46 is required), or <input type="checkbox"/> Joint Inventor.			

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTG-9199 and select option 2.

PATENT
REEL: 058234 FRAME: 0141

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

SUBSTITUTE STATEMENT

Circumstances permitting execution of this substitute statement:

- ☐ Inventor is deceased.
- ☐ Inventor is under legal incapacity.
- ☒ Inventor cannot be found or reached after diligent effort, or
- ☐ Inventor has refused to execute the oath or declaration under 37 CFR 1.63.

If there are joint inventors, please check the appropriate box below:


- ☒ An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) naming the entire inventive entity has been or is currently submitted.
- OR
- ☐ An application data sheet under 37 CFR 1.76 (PTO/AIA/14 or equivalent) has not been submitted. Thus, a Substitute Statement Supplemental Sheet (PTO/AIA/11 or equivalent) naming the entire inventive entity and providing inventor information is attached. See 37 CFR 1.64(b).

WARNING:

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

Name: **Steven W. Mork** Date (Optional): **February 11, 2020**

Signature: 

APPLICANT NAME AND TITLE OF PERSON EXECUTING THIS SUBSTITUTE STATEMENT:

If the applicant is a juristic entity, list the applicant name and the title of the signer:

DOW GLOBAL TECHNOLOGIES LLC

Applicant Name

Title of Person Executing This Substitute Statement: **Steven W. Mork, Authorized Representative**

The signer, whose title is supplied above, is authorized to act on behalf of the applicant.

Residence of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent):

City **Midland** State **MI** Country **US**

Mailing Address of the signer (unless provided in an application data sheet, PTO/AIA/14 or equivalent)
2040 Dow Center

City **Midland** State **MI** Zip **48674** Country **US**

Note: Use an additional PTO/AIA/02 form for each inventor who is deceased, legally incapacitated, cannot be found or reached after diligent effort, or has refused to execute the oath or declaration under 37 CFR 1.63.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PATENT