

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT7008808

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	CAPSTONE SURGICAL TECHNOLOGIES, LLC	11/04/2021
RECEIVING PARTY DATA		
Name:	GLOBUS MEDICAL, INC.	
Street Address:	2560 GENERAL ARMISTEAD AVENUE	
Internal Address:	VALLEY FORGE BUSINESS CENTER	
City:	AUDUBON	
State/Country:	PENNSYLVANIA	
Postal Code:	19403	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	15814891
CORRESPONDENCE DATA		
Fax Number:	(610)930-2042	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	6109301800	
Email:	skyttle@globusmedical.com	
Correspondent Name:	GLOBUS MEDICAL, INC.	
Address Line 1:	2560 GENERAL ARMISTEAD AVENUE	
Address Line 4:	AUDUBON, PENNSYLVANIA 19403	
ATTORNEY DOCKET NUMBER:	0218.714.0002	
NAME OF SUBMITTER:	SUSAN KYTTLE	
SIGNATURE:	/Susan Kyttle/	
DATE SIGNED:	11/05/2021	
Total Attachments: 25		
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ASSIGNMENT OF INTELLECTUAL PROPERTY AGREEMENT

This Assignment of Intellectual Property Agreement (this “**Agreement**”) is entered into as of November 4, 2021 by and between Capstone Surgical Technologies, LLC, a Michigan limited liability company (“**Assignor**”), and Globus Medical, Inc., a Delaware corporation (“**Assignee**”).

RECITALS

A. Assignor and Assignee are parties to that certain Asset Purchase Agreement, dated as of the date hereof (the “**Purchase Agreement**”), by and among Assignee, Assignor, Eric Finley, and each of the members of Assignor, pursuant to which Assignor has agreed to sell, assign and transfer substantially all of its assets to Buyer, including the sale, assignment and transfer of the Intellectual Property owned by Assignor (“**Assigned Intellectual Property**”) to Assignee.

B. Pursuant to the Purchase Agreement, Assignee has acquired all right, title and interest in and to the Assigned Intellectual Property, including any and all goodwill of the business associated with the use of, and symbolized by, the trademarks therein, and the parties wish to record such acquisition with the United States Patent and Trademark Office and any equivalent entity in any other jurisdiction.

AGREEMENT

Now, therefore, in consideration of the recitals, the mutual promises herein made and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties to this Agreement, intending to be legally bound, agree as follows:

1. Assignment of Trademarks. Effective as of the date hereof, and pursuant to the Purchase Agreement, Assignor sells, transfers, conveys, assigns and delivers to Assignee, and Assignee accepts all right, title and interest of Assignor in and to: (i) the trademarks and trademark applications set forth in the attached Schedule A, together with the goodwill of the business symbolized thereby; (ii) all renewals and extensions of any such application, registration and filing for such trademarks; (iii) all income, royalties, damages, claims and payments now or hereafter due or payable under and with respect thereto, including, without limitation, damages, claims and payments for past and future infringements thereof; (iv) all rights to sue for past, present and future infringements of the foregoing, including the right to settle suits involving claims and demands for royalties owing; (v) all rights corresponding to any of the foregoing throughout the world; and (vi) the right to assign the rights conveyed herein, the same to be held and enjoyed by Assignee for its own use and benefit, and for the benefit of its successors, assigns and legal representatives.

2. Assignment of Patents. Effective as of the date hereof, and pursuant to the Purchase Agreement, Assignor sells, transfers, conveys, assigns and delivers to Assignee, and Assignee accepts all right, title and interest of Assignor in and to: (i) the patents and patent applications set forth in the attached Schedule B; (ii) all inventions and improvements described and claimed therein, and patents which may be granted from applications claiming priority to the underlying said patent rights; (iii) all income, royalties, damages, claims and payments now or hereafter due or payable under and with respect thereto, including, without limitation, damages, claims and payments for past and future infringements thereof; (iv) all rights to sue for past, present and future infringements of the foregoing, including the right to settle suits involving claims and demands for

royalties owing; (v) all rights corresponding to any of the foregoing throughout the world; and (vi) the right to assign the rights conveyed herein, the same to be held and enjoyed by Assignee for its own use and benefit, and for the benefit of its successors, assigns and legal representatives.

3. Further Assurances. At any time, and from time to time hereafter, Assignor shall forthwith, upon Assignee's written request and at its sole expense, take any and all steps reasonably requested by Assignee, including, without limitation, the execution, acknowledgment and delivery to Assignee of any and all further instruments, documents and assurances, to give effect to and perfect the assignment of the Assigned Intellectual Property from the Assignor to the Assignee or to record this Agreement, and to facilitate Assignee's enjoyment and enforcement of the Assigned Intellectual Property. Assignor hereby irrevocably designates and appoints Assignee and its duly authorized officer and agent as Assignor's agent and attorney in fact, to act for and on its behalf and stead to execute and file any such instruments, documents and assurances and to do all other lawfully permitted acts to further the prosecution and issuance of letters patent or copyright, trademark or service mark registrations or transfers or assignments thereof, in each case with respect to the Assigned Intellectual Property, with the same legal force and effect as if executed by Assignor. This appointment is coupled with an interest in and to the Assigned Intellectual Property and shall be irrevocable.

4. Severability. Whenever possible, each provision or portion of any provision of this Agreement shall be interpreted in such manner as to be effective and valid under applicable Law, but if any provision or portion of any provision of this Agreement is held to be invalid, illegal or unenforceable in any respect under any applicable Law or rule in any jurisdiction, such invalidity, illegality or unenforceability shall not affect any other provision or portion of any provision in such jurisdiction, and this Agreement shall be reformed, construed and enforced in such jurisdiction as if such invalid, illegal or unenforceable provision or portion of any provision had never been contained herein.

5. Purchase Agreement. This Agreement is executed and delivered pursuant to, and is subject in all respects to the terms and conditions of, the Purchase Agreement. Capitalized terms used but not otherwise defined herein have the meanings set forth in the Purchase Agreement. Nothing contained in this Agreement shall change, amend, extend or alter (nor shall it be deemed or construed as changing, amending, extending or altering) the terms or conditions of the Purchase Agreement in any manner whatsoever. This Agreement does not create or establish liabilities or obligations not otherwise created or existing under or pursuant to the Purchase Agreement, including those liabilities or obligations created or existing under or pursuant to the Purchase Agreement with respect to the Intellectual Property. In the event of any conflict or other difference between the Purchase Agreement and this Agreement, the provisions of the Purchase Agreement, including the representations, warranties, covenants, agreements and indemnities contained therein, shall control.

6. Successors and Assigns. This Agreement shall be binding upon and inure to the benefit of the parties hereto and their respective successors and assigns.

7. Governing Law. This Agreement shall be governed by, and construed in accordance with, (i) the laws of the United States in respect to trademark, patent and copyright issues, and (ii) the internal laws of the State of Delaware in all other respects without regard to the

laws of any other jurisdiction that might be applied because of the conflicts of laws principles of the State of Delaware.

8. Counterparts. This Agreement may be executed in any number of counterparts with the same effect as if each of the parties hereto had signed the same document. All counterparts shall be construed together and shall constitute one Agreement. This Agreement, to the extent signed and delivered by means of electronic transmission (including electronic mail of .pdf files or any electronic signature complying with the U.S. federal ESIGN Act of 2000, e.g., www.docusign.com), shall be treated in all manner and respects as an original agreement or instrument and shall be considered to have the same binding legal effect as if it were the original signed version thereof delivered in person. No party hereto shall raise the use of electronic transmission to deliver a signature or the fact that any signature or agreement or instrument was transmitted or communicated through the use of electronic transmission as a defense to the formation or enforceability of a contract and each such party forever waives any such defense.

[THE SIGNATURE PAGE FOLLOWS]

IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of the date first above written.

ASSIGNOR:

CAPSTONE SURGICAL TECHNOLOGIES, LLC

By: 
Name: Peter L. Bono
Title: Manager

ASSIGNEE:

GLOBUS MEDICAL, INC.

By: _____
Name:
Title:

IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of the date first above written.

ASSIGNOR:

CAPSTONE SURGICAL TECHNOLOGIES, LLC

By: _____

Name: Peter L. Bono

Title: Manager

ASSIGNEE:

GLOBUS MEDICAL, INC.

By: _____

Name: David Demski

Title: CEO & President

[Signature Page to Assignment of Intellectual Property Agreement]

Schedule A

Trademarks

Mark	Reg. No.	Reg. Date
Aqualine SID	5,504,607	June 26, 2019

Schedule B

Patents

See attached.

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Capstone Surgical Technologies, LLC - Patent Portfolio

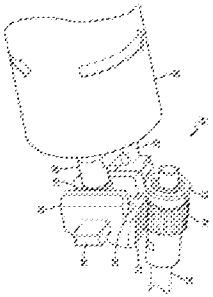
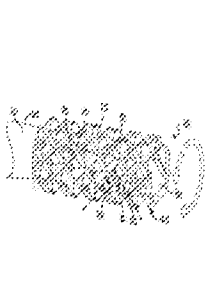
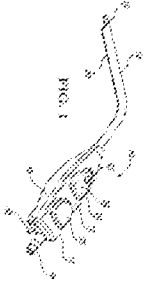
M&S Ref. #	Appl. No. / Filing Date	Publication No. / Publication Date	Patent No. / Issue Date	Title	Inventors	Assigned To	Document Status
4820U.001 US Utility	13/469,665 5/11/2012	US 2013-0304069 11/14/2013	10,194,922 02/05/2019	Rotary Oscillating Bone, Cartilage, and Disk Removal Tool Assembly	Peter L. Bono James D. Lark Corey A. Freimark Anthony J. Ruhala	Capstone Surgical Technologies, LLC	3 1/2 Yr. Maint. Fee Due: 8/5/2022 7 1/2 Yr. Maint. Fee Due: 8/5/2026 11 1/2 Yr. Maint. Fee Due: 8/5/2030 Projected Expiration: 1/16/2035
4820I.000001 PCT	PCT/US13/37071 4/18/2013	WO 2013/169456 11/14/2013	N/A	Rotary Oscillating Bone, Cartilage, and Disk Removal Tool Assembly	Peter L. Bono James D. Lark Corey A. Freimark Anthony J. Ruhala	Peter L. Bono	National Phase Filed In: Australia (4820I.061001) Canada (4820I.001001) China (4820I.086001) Europe (4820I.990001)
4820I.001001 Canada	2,873,234 4/18/2013	N/A	2,873,234 4/21/2020	Rotary Oscillating Bone, Cartilage, and Disk Removal Tool Assembly	Peter L. Bono James D. Lark Corey A. Freimark Anthony J. Ruhala	Peter L. Bono	Abandoned Per Client 4/9/2021
4820I.061001 Australia	2013260029 4/18/2013	N/A	2013260029 3/22/2018	Rotary Oscillating Bone, Cartilage, and Disk Removal Tool Assembly	Peter L. Bono James D. Lark Corey A. Freimark Anthony J. Ruhala	Assignment to Capstone Surgical Technologies, LLC in Progress	Annulity Due: 4/18/2022 Projected Expiration: 4/18/2033
4820I.086001 China	2013800332072 4/18/2013	N/A	ZL2013800332072 12/19/2017	Rotary Oscillating Bone, Cartilage, and Disk Removal Tool Assembly	Peter L. Bono James D. Lark Corey A. Freimark Anthony J. Ruhala	Assignment to Capstone Surgical Technologies, LLC in Progress	Annulity Due: 4/15/2022 Projected Expiration: 4/18/2033
4820I.851001 Hong Kong based on EP	15109183.1 9/18/2015	1208333A 3/4/2016		Rotary Oscillating Bone, Cartilage, and Disk Removal Tool Assembly	Peter L. Bono James D. Lark Corey A. Freimark Anthony J. Ruhala	Assignment to Capstone Surgical Technologies, LLC in Progress	Renewal Fee Due: 4/18/2025 Projected Expiration: 4/18/2033
4820I.990001 Europe	13787223.0 4/18/2013	1208333A 3/4/2016	EP2846712 1/6/2021	Rotary Oscillating Bone, Cartilage, and Disk Removal Tool Assembly	Peter L. Bono James D. Lark Corey A. Freimark Anthony J. Ruhala	Assignment to Capstone Surgical Technologies, LLC in Progress	Annulity Due: 4/18/2022 Validated in France, Germany, Ireland, and Switzerland Filed Divisional (4820I.990016) on CAM Embodiments 1/4/2021) Projected Expiration: 4/18/2033

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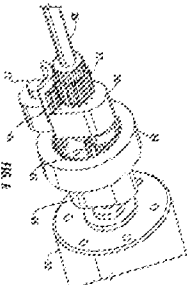
Capstone Surgical Technologies, LLC - Patent Portfolio

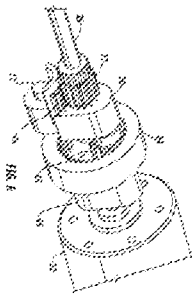
	M&S Ref. #	Appl. No. / Filing Date	Publication No. / Publication Date	Patent No. / Issue Date	Title	Inventors	Assigned To	Document Status
	48201.990001 France Validation (EP)	N/A	N/A	EP2846712 1/6/2022	Rotary Oscillating Bone, Cartilage, and Disk Removal Tool Assembly	Peter L. Bono James D. Laik Corey A. Freimark Anthony J. Ruhala	Peter L. Bono Assignment to Capstone Surgical Technologies, LLC in Progress	Annulity Due: 4/18/2025 Projected Expiration: 4/18/2033
	48201.990001 Germany Validation (EP)	N/A	N/A	EP2846712 1/6/2023	Rotary Oscillating Bone, Cartilage, and Disk Removal Tool Assembly	Peter L. Bono James D. Laik Corey A. Freimark Anthony J. Ruhala	Peter L. Bono Assignment to Capstone Surgical Technologies, LLC in Progress	Annulity Due: 4/18/2025 Projected Expiration: 4/18/2033
	48201.990001 Ireland Validation (EP)	N/A	N/A	EP2846712 1/6/2024	Rotary Oscillating Bone, Cartilage, and Disk Removal Tool Assembly	Peter L. Bono James D. Laik Corey A. Freimark Anthony J. Ruhala	Peter L. Bono Assignment to Capstone Surgical Technologies, LLC in Progress	Annulity Due: 4/18/2025 Projected Expiration: 4/18/2033
	48201.990001 Switzerland Validation (EP)	N/A	N/A	EP2846712 1/6/2025	Rotary Oscillating Bone, Cartilage, and Disk Removal Tool Assembly	Peter L. Bono James D. Laik Corey A. Freimark Anthony J. Ruhala	Peter L. Bono	Annulity Due: 4/18/2025 Projected Expiration: 4/18/2033
	4820U.002 US	13/647,101 10/6/2012	US 2014-0100574 4/10/2014	9,232,953 1/12/2016	Cutting Tool for Bone, Cartilage, and Disk Removal	Peter Bono D. Laik, II Anthony J. Ruhala	Capstone Surgical Technologies, LLC	3 1/2 Yr. Maint. Fee Paid 7 1/2 Yr. Maint. Fee Due: 7/12/2023 11 1/2 Yr. Maint. Fee Due: 7/12/2027 Projected Expiration: 12/4/2033
	48201.000002 PCT	PCT/US13/63182 10/3/2013	WO 2014/056693 4/17/2014	N/A	Cutting Tool for Bone, Cartilage, and Disk Removal	Peter Bono D. Laik, II Anthony J. Ruhala	Peter L. Bono	No National Phase Filing (Per Client)
	4820U.003 US	15/695,352 2/13/2018 62/460,481 2/17/2017	N/A	N/A	Surgical Rotary Tool	Peter L. Bono James D. Laik John S. Scales	Capstone Surgical Technologies, LLC	Abandoned
	4820U.004 US Cont.	15/012,407 2/1/2016	US 2016/0220807 8/04/2016	10,245,359 4/2/2019	Suction and Irrigation Apparatus with Anti-Clogging Capability	Peter L. Bono James D. Laik Anthony J. Ruhala John S. Scales	Capstone Surgical Technologies, LLC	3 1/2 Yr. Maint. Fee Due: 10/2/2022 7 1/2 Yr. Maint. Fee Due: 10/2/2026 12 1/2 Yr. Maint. Fee Due: 10/2/2030 Projected Expiration: 1/18/2033
								

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MAS Ref. #	Appl. No./ Filing Date	Publication No./ Publication Date	Patent No./ Issue Date	Title	Inventors	Assigned To	Document Status
4820U.005 US	15/814,891 11/16/2017 62/423,624 11/17/2016	US 2018/0140307 5/24/2018	10,835,263 11/17/2020	Rotary Oscillating Surgical Tool	Peter L. Bono James D. Lark John S. Scates	Capstone Surgical Technologies, LLC	3 1/2 Yr. Maint. Fee Due: 5/17/2024 7 1/2 Yr. Maint. Fee Due: 5/17/2028 12 1/2 Yr. Maint. Fee Due: 5/17/2032 Projected Expiration: 2/3/2038 Filed Continuation Application (4820U.040)
4820.005 PCT	PCT/US17/62052 11/16/2017	WO 2018/094072 5/24/2018	N/A	Rotary Oscillating Surgical Tool	Peter L. Bono James D. Lark John S. Scates	Peter L. Bono	National Phase Filed In: Australia (4820.061005) Canada (4820.001005) China (4820.086005) Europe (4820.990005)
4820.001005 Canada	3,044,235 5/16/2019	N/A		Rotary Oscillating Surgical Tool	Peter L. Bono James D. Lark John S. Scates	Peter L. Bono	Pending Annuity Due: 11/16/2023 Request for Examination Due: 11/16/2022 Projected Expiration: 11/16/2037
4820.061005 Australia	2017361417 5/23/2019			Rotary Oscillating Surgical Tool	Peter L. Bono James D. Lark John S. Scates	Peter L. Bono	Pending Annuity Due: 11/16/2023 Projected Expiration: 11/16/2037
4820.086005 China	201780080527.1 7/3/2019	201780080527.1 8/6/2019		Rotary Oscillating Surgical Tool	Peter L. Bono James D. Lark John S. Scates	Peter L. Bono	Assignment to Capstone Surgical Technologies, LLC in Progress Projected Expiration: TBD
4820.852005 Hong Kong (CN)	62020002281.7 2/5/2020			Rotary Oscillating Surgical Tool	Peter L. Bono James D. Lark John S. Scates	Peter L. Bono	Assignment to Capstone Surgical Technologies, LLC in Progress Projected Expiration: TBD
4820.990005 Europe	17818657.3 6/13/2019	3541302 9/25/2019	EP3541302 10/6/2021	Rotary Oscillating Surgical Tool	Peter L. Bono James D. Lark John S. Scates	Peter L. Bono	Variations Due 12/6/2021 Wuhan Ltd. Beijing, France, Germany and Switzerland Renewal Fee Due: 11/15/2021 Projected Expiration: 11/16/2037
4820.851005 Hong Kong (EP)	62020004729.3 3/23/2020			Rotary Oscillating Surgical Tool	Peter L. Bono James D. Lark John S. Scates	Peter L. Bono	Pending Projected Expiration: 11/16/2037

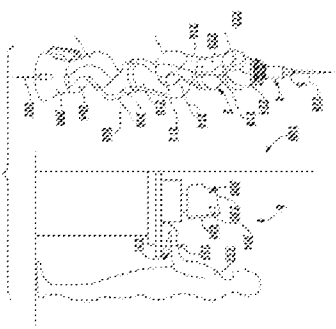
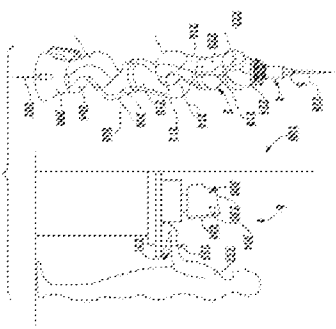


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Capstone Surgical Technologies, LLC - Patent Portfolio

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	48201.086008	201780080500.2			Robotic Surgical System	Peter L. Bono James D. Laik John S. Scates	Peter L. Bono	Pending
	China	7/3/2019				Add Thomas J. Lord in progress.	Assignment to Capstone Surgical Technologies, LLC in Progress	
	48201.852008	62020002280.9			Robotic Surgical System	Peter L. Bono James D. Laik John S. Scates	Peter L. Bono	Pending
	Hong Kong (CN)	2/5/2020				Add Thomas J. Lord in progress.	Assignment to Capstone Surgical Technologies, LLC in Progress	
	48201.990008	17818661.5	EP3541303	9/25/2019	Robotic Surgical System	Peter L. Bono James D. Laik John S. Scates Thomas J. Lord	Peter L. Bono	Pending
	Europe	6/13/2019				Assignment to Capstone Surgical Technologies, LLC in Progress		Pending Renewal Fee Due: 11/16/2021
	48201.851008	62020004730.1	40015191A	8/28/2019	Robotic Surgical System	Peter L. Bono James D. Laik John S. Scates	Peter L. Bono	Pending
	Hong Kong (EP)	3/23/2020				Add Thomas J. Lord in progress.	Assignment to Capstone Surgical Technologies, LLC in Progress	
	4820U.010	16/168.011	US-2019-0117249	11,000.306	Robotic Oscillating/ Reciprocating Surgical Tool	Peter L. Bono James D. Laik John S. Scates	Peter L. Bono	3 1/2 Yr. Maint. Fee Due: 11/11/2024 7 1/2 Yr. Maint. Fee Due: 11/11/2028 11 1/2 Yr. Maint. Fee Due: 11/11/2032 Projected Expiration: 3/8/2039 Filed Continuation Application U.S. No. 17/230.528 (.046) 4/14/2021
	US	10/23/2018	4/25/2019	5/11/2021				
		62/575.775						
		10/23/2017						
	48201.010	PCT/US18/57078	WO2019/083983	N/A	Robotic Oscillating/ Reciprocating Surgical Tool	Peter L. Bono James D. Laik John S. Scates	Peter L. Bono	National Phase filed in: Canada (48201.001010) Australia (48201.061010) New Zealand (48201.064010) China (48201.086010) Europe (48201.990010)
	PCT	10/23/2018	5/2/2019					
	48201.001010	3,080.151	N/A		Robotic Oscillating/ Reciprocating Surgical Tool	Peter L. Bono James D. Laik John S. Scates	Peter L. Bono	Pending Annuity Due: 10/23/2022 Request for Examination Due: 10/23/2023
	Canada	4/23/2020						

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Capstone Surgical Technologies, LLC - Patent Portfolio

	M&S Ref #	Appl. No. / Filing Date	Publication No. / Publication Date	Patent No. / Issue Date	Title	Inventors	Assigned To	Document Status
	48201.061010	20183355215			Rotary Oscillating/ Reciprocating Surgical Tool	Peter L. Bono James D. Laik John S. Scales	Peter L. Bono	Pending Annuity Due: 10/23/2022 Request for Examination Due: 10/23/2023
	48201.064010 New Zealand	764646 5/22/2020			Rotary Oscillating/ Reciprocating Surgical Tool	Peter L. Bono James D. Laik John S. Scales	Peter L. Bono	Pending Annuity Due: 10/23/2022 Request for Examination Due: 10/23/2023
	48201.086010 China	201880083174.5 6/22/2020	CN111836589A 10/27/2020		Rotary Oscillating/ Reciprocating Surgical Tool	Peter L. Bono James D. Laik John S. Scales	Peter L. Bono	Pending
	48201.990010 Europe	18819228.0 5/22/2020	EP3700439 9/2/2020		Rotary Oscillating/ Reciprocating Surgical Tool	Peter L. Bono James D. Laik John S. Scales	Peter L. Bono	Pending Annuity Due: 10/23/2022
	48201.851010 Hong Kong (EP)	62021026530.7 3/1/2021	40036666 5/26/2021		Rotary Oscillating and Linearly Reciprocating Surgical Tool	Peter L. Bono James D. Laik John S. Scales	Peter L. Bono	Pending
AQUALINE SID	4820U.012 Trademark	87/495,156 6/19/2017	U.S.P.T.O. Trademark Official Gazette Publication 10/31/2017	Registration No. 5,504,607	AQUALINE SID	Applicant: Jim Laik II	Capstone Surgical Technologies, LLC	Section 8&15 Due: 6/26/2023-6/26/2024

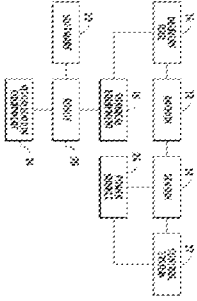
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	MAS Ref #	Appl. No. / Filing Date	Publication No. / Publication Date	Patent No. / Issue Date	Title	Inventors	Assigned To	Document Status



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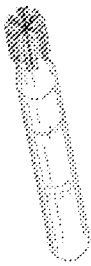
M&S Ref #	Appl. No. / Filing Date	Publication No. / Publication Date	Patent No. / Issue Date	Title	Inventors	Assigned To	Document Status
4820U 014 US	15/928,865 3/22/2018	US 2019-0290290 9/26/2019	10,562,933 3/10/2020	Oscillating Surgical Cutting Tool	Peter L. Bono James D. Laik John S. Scates	Capstone Surgical Technologies, LLC	3 1/2 Yr. Maint. Fee Due: 9/10/2023 3 1/2 Yr. Maint. Fee Due: 9/10/2027 7 1/2 Yr. Maint. Fee Due: 9/10/2031 Foreign Filed In: Australia (4820I,061014) Canada (4820I,001014) China (4820I,086014) Europe (4820I,990014)
4820I,001014 Canada	3,023,868 11/13/2018	N/A		Oscillating Surgical Cutting Tool	Peter L. Bono James D. Laik John S. Scates	Peter L. Bono Assignment to Capstone Surgical Technologies, LLC in Progress	Pending Annuity Due: 11/13/2023 Request for Examination Due: 11/13/2023 Projected Expiration: 11/13/2038
4820I,061014 Australia	2018264064 11/12/2018	N/A		Oscillating Surgical Cutting Tool	Peter L. Bono James D. Laik John S. Scates	Peter L. Bono Assignment to Capstone Surgical Technologies, LLC in Progress	Pending Annuity Due: 11/12/2022 Projected Expiration: 11/12/2038
4820I,086014 China	201811340348.X 11/12/2018			Oscillating Surgical Cutting Tool	Peter L. Bono James D. Laik John S. Scates	Peter L. Bono Assignment to Capstone Surgical Technologies, LLC in Progress	Pending Projected Expiration: TBD
4820I,990014 Europe	18205734.9 11/12/2018	EP3542741 A Bulletin 2019/39 9/25/2019		Oscillating Surgical Cutting Tool	Peter L. Bono James D. Laik John S. Scates	Peter L. Bono Assignment to Capstone Surgical Technologies, LLC in Progress	Pending Annuity Due: 11/12/2023 Projected Expiration: 11/12/2038
4820I,851014 Hong Kong	42020004731.4 3/23/2020	40014853A 8/28/2020		Oscillating Surgical Cutting Tool	Peter L. Bono James D. Laik John S. Scates	Peter L. Bono Assignment to Capstone Surgical Technologies, LLC in Progress	Pending Projected Expiration: TBD

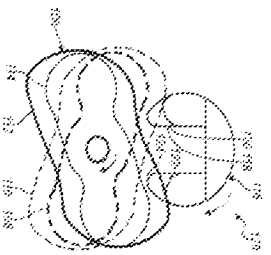
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Capstone Surgical Technologies, LLC - Patent Portfolio

	M&S Ref #	Appl. No. / Filing Date	Publication No. / Publication Date	Patent No. / Issue Date	Title	Inventors	Assigned To	Document Status
	4820U 015	29/633,162 1/12/2018	N/A	D864172 5/12/2020	Surgical Cutting Tool	Peter L. Bono James D. Laik John S. Scales	Capstone Surgical Technologies, LLC	Complete - All Fees Paid Projected Expiration: 5/12/2035
	4820I 001015 Canada	181,424 5/15/2018	N/A	181,424 3/6/2019	Surgical Cutting Tool	Peter L. Bono James D. Laik John S. Scales	Peter L. Bono Assignment to Capstone Surgical Technologies, LLC in Progress	Annuitly (One Time Renewal) Due: 3/6/2024 Projected Expiration: 3/6/2029
	4820I 061015 Australia	201812851 5/15/2018	N/A	201812851 08/02/2018	Surgical Cutting Tool	Peter L. Bono James D. Laik John S. Scales	Peter L. Bono Assignment to Capstone Surgical Technologies, LLC in Progress	Annuitly Due 05/15/2023 Projected Expiration: 5/15/2043
	4820I 086015 China	201830220543.3 05/15/2018	N/A	ZL201830220543.3 5/3/2019	Surgical Cutting Tool	Peter L. Bono James D. Laik John S. Scales	Peter L. Bono	Abandoned Per Client 4/9/2021
	4820I 990015 Europe	005268984 5/15/2018	NA	005268984-0001 5/15/2018	Surgical Cutting Tool	Peter L. Bono James D. Laik John S. Scales	Peter L. Bono Assignment to Capstone Surgical Technologies, LLC in Progress	Annuitly Due: 5/15/2023 Projected Expiration: 5/15/2043
	4820U 016 US	16/266,802 02/04/2019	US2019/0209185		Rotary Oscillating Bone, Cartilage, and Disk Removal Tool Assembly	Peter L. Bono James D. Laik Corey A. Freimark Anthony J. Ruhala	Capstone Surgical Technologies, LLC	Pending
	4820I 001016 Canada Divisional	3073241 2/21/2020	N/A	N/A	Rotary Oscillating Bone, Cartilage, and Disk Removal Tool Assembly	Peter L. Bono James D. Laik Corey A. Freimark Anthony J. Ruhala	Peter L. Bono	Abandoned Per Client 4/9/2021
	4820I 061016 Australia	2018201584 3/6/2018	N/A	2018201584 7/18/2019	Rotary Oscillating Bone, Cartilage, and Disk Removal Tool Assembly	Peter L. Bono James D. Laik Corey A. Freimark Anthony J. Ruhala	Peter L. Bono	Abandoned Per Client 4/9/2021
	4820I 990016 Europe	21150046.7 1/4/2021	3834751 6/16/2021	N/A	Rotary Oscillating Bone, Cartilage, and Disk Removal Tool Assembly	Peter L. Bono James D. Laik Corey A. Freimark Anthony J. Ruhala	Peter L. Bono	Abandoned Per Client 4/9/2021
	4820I 990001 Divisional of 4820I 990001	Priority Date: 4/18/2013						



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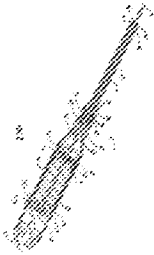
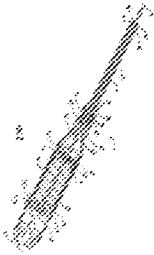
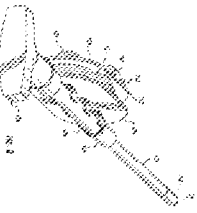
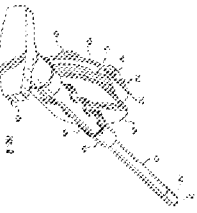
Capstone Surgical Technologies, LLC - Patent Portfolio

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4820U 017	US 16/245,830 01/11/2019	US2019/0216553 7/16/2019	NOA Recd.	Robotic Surgical Control System	Peter L. Bono James D. Laik John S. Scates Thomas J. Lord	Capstone Surgical Technologies, LLC	Notice of Allowance Received Issue Fee Paid 10/12/2021
4820.017	PCT/US19/013225 1/11/2019	WO2019/140214 7/16/2019	N/A	Robotic Surgical Control System	Peter L. Bono James D. Laik John S. Scates	Peter L. Bono	FILE CONTINUATION (053) (December Surges)
4820.001017	Canada 3,088,304 7/10/2020	N/A		Robotic Surgical Control System	Peter L. Bono James D. Laik John S. Scates	Peter L. Bono	National Phase Filed In: Australia (4820.061017) Canada (4820.001017) China (4820.086017) New Zealand (4820.064017) Europe (4820.990017)
4820.061017	Australia 2019207913 8/11/2020			Robotic Surgical Control System	Peter L. Bono James D. Laik John S. Scates	Peter L. Bono	Pending Annuity Due: 1/11/2022 Request for Examination Due: 1/11/2024
4820.086017	China 201980018059.4 9/8/2020			Robotic Surgical Control System	Peter L. Bono James D. Laik John S. Scates	Peter L. Bono	Pending Annuity Due: 1/11/2023 Request for Examination Due: 8/11/2025
4820.064017	New Zealand 767030 8/11/2020			Robotic Surgical Control System	Peter L. Bono James D. Laik John S. Scates	Peter L. Bono	Pending Annuity Due: 1/11/2023 Request for Exam / Optional Div. Filing Due: 1/11/2024 (or before)
4820.990017	Europe 19705599.9 8/11/2020	EP3737318 11/18/2020		Robotic Surgical Control System	Peter L. Bono James D. Laik John S. Scates	Peter L. Bono	Pending Annuity Due: 1/11/2022
4820.851017	Hong Kong (EP) 62021031181.2 5/14/2021			Robotic Surgical Control System	Peter L. Bono James D. Laik John S. Scates Add Thomas J. Lord in progress.	Peter L. Bono	Pending

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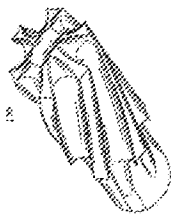
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	4820U 018 US	15/932,361 2/16/2018	US2018/0263637 9/20/2018		Surgical Rotary Tool	Peter L. Bono James D. Laik John S. Scales	Capstone Surgical Technologies, LLC	Pending Response to Office Action Due: 12/7/2021
	4820U 018 PCT	PCT/US18/00017 3/9/2018	N/A	N/A	Surgical Rotary Tool	Peter L. Bono James D. Laik John S. Scales	Peter L. Bono	Abandoned 5/24/2018
	4820U 019 US UT	16/784,331 2/7/2020	US2020/0170660 6/4/2020		Rotary Oscillating and Reciprocating Surgical Tools	Peter L. Bono James D. Laik John S. Scales	Capstone Surgical Technologies, LLC	Pending Response to Office Action Due: 12/27/2021
	4820U 019 PCT	PCT/US20/17127 2/7/2020	WO2020/163667 8/13/2020	N/A	Rotary Oscillating and Reciprocating Surgical Tools	Peter L. Bono James D. Laik John S. Scales	Peter L. Bono	National Phase Filed In: Europe (4820U 990019) Attorney Campbell to file new claims upon receipt of Rule 161 Communication from Europe.
	4820U 990019 Europe	20712082.5 2/7/2020			Rotary Oscillating and Reciprocating Surgical Tools	Peter L. Bono James D. Laik John S. Scales	Peter L. Bono	Pending Response to Rule 161/162 Comm. Due: 3/15/2022 (File New Claims) Third Year Renewal Due: 2/7/2022
	4820U 022 CIP of: 013	62/681,462 6/6/2018	N/A	N/A	Surgical Sensor Anchor System	Peter L. Bono James D. Laik John S. Scales	Peter L. Bono	U.S. and PCT applications filed 01/11/2019, used the docket number 4820U 013, this application combines 013, 022, and 023
	4820U 023 US	62/754,754 11/02/2018	N/A	N/A	Robotic Surgical System (Mini Robot)	Peter L. Bono James D. Laik John S. Scales	Peter L. Bono	U.S. and PCT applications filed 01/11/2019, used the docket number 4820U 013, this application combines 013, 022, and 023
	4820U 024 Cont. of: 014	16/773,564 1/27/2020	US-2020-0155167 5/21/2020		Oscillating Surgical Cutting Tool	Peter L. Bono James D. Laik John S. Scales	Capstone Surgical Technologies, LLC	Pending

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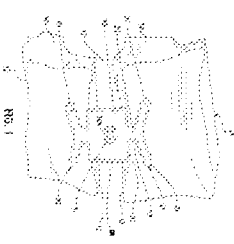
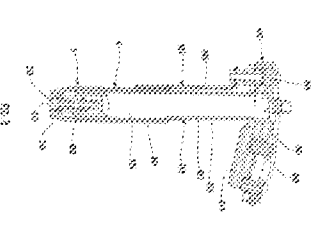
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	4820U 025	29/659,060	N/A	D878,437 3/17/2020	Surgical Cutting Tool	Peter L. Bono James D. Laik John S. Scales	Capstone Surgical Technologies, LLC	Projected Expiration: 3/17/2035 Foreign Filed In: Australia (4820, 061025) Canada (4820, 001025) China (4820, 086025) Europe (4820, 990025)
	4820, 001025 Canada	186, 001 2/6/2019	N/A	186, 001 02/06/2020	Surgical Cutting Tool	Peter L. Bono James D. Laik John S. Scales	Peter L. Bono Assignment to Capstone Surgical Technologies, LLC in Progress	Annuly Due: 02/06/2025 Projected Expiration: 2/6/2030
	4820, 061025 Australia	201910640 2/6/2019	N/A	201910640 5/6/2019	Surgical Cutting Tool	Peter L. Bono James D. Laik John S. Scales	Peter L. Bono Assignment to Capstone Surgical Technologies, LLC in Progress	Annuly Due: 2/6/2024 Projected Expiration: 2/6/2029
	4820, 086025 China	201930061277.9 2/7/2019	N/A	ZL 201930061277.9 1/7/2020	Surgical Cutting Tool	Peter L. Bono James D. Laik John S. Scales	Peter L. Bono Assignment to Capstone Surgical Technologies, LLC in Progress	Annuly Due: 1/28/2022 Projected Expiration: 2/7/2029
	4820, 990025 Europe	006181038-0001 2/6/2019	N/A	006181038-0001 2/6/2019	Surgical Cutting Tool	Peter L. Bono James D. Laik John S. Scales	Peter L. Bono Assignment to Capstone Surgical Technologies, LLC in Progress	Annuly Due: 2/6/2024 Projected Expiration: 2/6/2044
	4820U 026 US Design	29/659,066 8/6/2018	N/A	D878,438 3/17/2020	Helical Fluted Forward and Reverse Rotation Cutting Tool	Peter L. Bono James D. Laik John S. Scales	Capstone Surgical Technologies, LLC	Projected Expiration: 3/17/2035 Foreign Filed In: Australia (4820, 061026) Canada (4820, 001026) China (4820, 086026) Europe (4820, 990026)
	4820, 001026 Canada	186, 002 2/6/2019	N/A	186, 002 1/29/2020	Helical Fluted Forward and Reverse Rotation Cutting Tool	Peter L. Bono James D. Laik John S. Scales	Peter L. Bono Assignment to Capstone Surgical Technologies, LLC in Progress	Annuly Due: 1/29/2025 Projected Expiration: 1/29/2030

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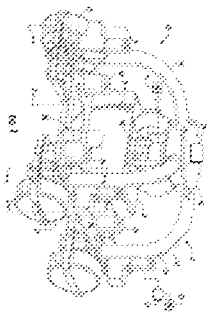
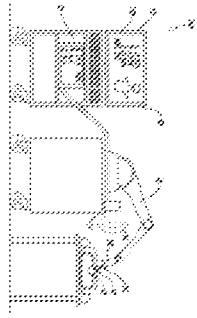
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Capstone Surgical Technologies, LLC - Patent Portfolio

	MKS Ref #	Appl. No./ Filing Date	Publication No./ Publication Date	Patent No./ Issue Date	Title	Inventors	Assigned To	Document Status
	48201.061026 Australia	201910641 2/6/2019	N/A	201910641 5/6/2019	Helical Fluted Forward and Reverse Rotation Cutting Tool	Peter L. Bono James D. Lark John S. Scales	Peter L. Bono Assignment to Capstone Surgical Technologies, LLC in Progress	Annuity Due: 2/6/2024 Projected Expiration: 2/6/2029
	48201.086026 China	201930061278.3 2/7/2019	N/A	ZL 201930061278.3 3/6/2020	Helical Fluted Forward and Reverse Rotation Cutting Tool	Peter L. Bono James D. Lark John S. Scales	Peter L. Bono Assignment to Capstone Surgical Technologies, LLC in Progress	Annuity Due: 1/28/2022 Projected Expiration: 2/7/2029
	48201.990026 Europe	006181038-0002 2/6/2019	N/A	006181038-0002 2/6/2019	Helical Fluted Forward and Reverse Rotation Cutting Tool	Peter L. Bono James D. Lark John S. Scales	Peter L. Bono Assignment to Capstone Surgical Technologies, LLC in Progress	Annuity Due: 2/6/2024 Projected Expiration: 2/6/2044
	4820U.029 US UT	16/6/5.714 11/6/2019 62/756.364 11/6/2018	US-2020-0138592 5/7/2020	N/A	Interlocking Intervertebral Spacer and Method	Peter L. Bono James D. Lark John S. Scales	Capstone Surgical Technologies, LLC	Abandoned Per Client 4/9/2021
	48201.029 PCT	PCT/US19/060067 11/6/2019	WO2020/097204 5/14/2020	N/A	Interlocking Intervertebral Spacer and Method	Peter L. Bono James D. Lark John S. Scales	Peter L. Bono	Abandoned Per Client 4/9/2021
	4820U.030 US UT	16/6/6.092 11/6/2019 62/756.377 11/6/2018	US-2020-0138537 5/7/2020		Robotic Surgical System and Method	Peter L. Bono James D. Lark John S. Scales Thomas J. Lord	Capstone Surgical Technologies, LLC	Pending First Action Prediction: February 2022
	48201.030 PCT	PCT/US19/060098 11/6/2019	WO2020/097224 5/14/2020		Robotic Surgical System and Method	Peter L. Bono James D. Lark John S. Scales	Peter L. Bono	National Phase Filed In: Europe (48201.990030)
	48201.990030 Europe	19835984.6 11/6/2019	EP3876860 9/15/2021		Robotic Surgical System and Method	Peter L. Bono James D. Lark John S. Scales Add Thomas J. Lord in progress.	Peter L. Bono Assignment to Capstone Surgical Technologies, LLC in Progress	Referenced to EP3876860 Due: 12/15/2024 Client wishes to file in Hong Kong through EP, then validate in Ireland, France, Germany, and Switzerland.
								

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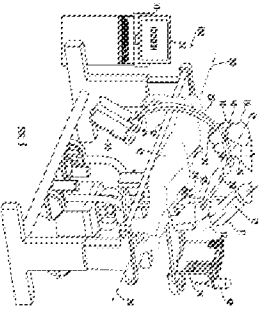
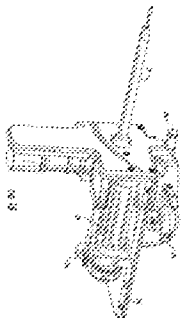
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	4820U.031 US UT	16/676,203 11/6/2019 62/757,139 11/7/2018	US-2020-0138544 5/7/2020	NOA Recd.	Robotic Base with Controlled Movement for Surgical Procedures	Confirm Inventors: Peter L. Bono James D. Laik John S. Scales Thomas J. Lord	Capstone Surgical Technologies, LLC	Notice of Allowance Received Issue Fee Paid 10/12/2021 No Continuation
	4820U.031 PCT	PCT/US19/060124 11/6/2019	WO2020/097239 5/14/2020	N/A	Robotic Base with Controlled Movement for Surgical Procedures	Peter L. Bono James D. Laik John S. Scales	Peter L. Bono	Abandoned Per Client 4/9/2021 (Did not proceed with National Phase Filing)
	4820U.032 US UT	16/743,620 01/15/2020 62/792,559 01/15/2019	US-2020-0222099 7/16/2020		Orthopedic Surgical Method and Structure	Peter L. Bono James D. Laik John S. Scales	Capstone Surgical Technologies, LLC	Pending Response to Office Action Date: 11/6/2021
	4820U.034 US (Continuation of 4820U.004 and 4820U.006)	16/371,871 4/1/2019	US-2019-0290811 9/26/2019	N/A	Suction and Irrigation Apparatus with Anti-Clogging Capability	Peter L. Bono James D. Laik Anthony J. Ruhlala John S. Scales	Capstone Surgical Technologies, LLC	Abandoned per Client 5/24/2021
	4820U.035 US UT	16/655,119 4/22/2020 62/839,023 4/26/2019	US-2020-0337783 10/29/2020		System and Method for Reducing Interference in Positional Sensors for Robotic Surgery	Peter L. Bono James D. Laik John S. Scales Thomas J. Lord	Capstone Surgical Technologies, LLC	Pending First Action Prediction: January 2022
	4820U.035 PCT	PCT/US20/029225 4/22/2020	WO2020/219493 10/29/2020	N/A	System and Method for Reducing Interference in Positional Sensors for Robotic Surgery	Peter L. Bono James D. Laik John S. Scales Thomas J. Lord	Capstone Surgical Technologies, LLC	National Phase Filed In: Europe (4820U.990035)
	4820U.990035 Europe	20725329.5 4/2/2020			System and Method for Reducing Interference in Positional Sensors for Robotic Surgery	Peter L. Bono James D. Laik John S. Scales Thomas J. Lord	Capstone Surgical Technologies, LLC	Pending Annuity Due: 4/22/2022
	4820U.851035 Hong Kong (EP)				System and Method for Reducing Interference in Positional Sensors for Robotic Surgery	Peter L. Bono James D. Laik John S. Scales Thomas J. Lord	Capstone Surgical Technologies, LLC	Will be registered in Hong Kong when the European application publishes.

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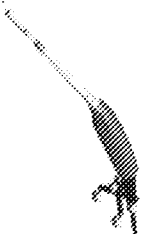
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	4820U 036	US UT 16/906,159 6/19/2020 62/864,269 6/20/2019	US 2021-0007688 1/14/2021		Robotically Positioned X-Ray and C-Arm	Peter L. Bono James D. Laik John S. Scates Thomas J. Lord	Capstone Surgical Technologies, LLC	Pending First Action Prediction: December 2021
	4820I 036	PCT/US20/038615 6/19/2020	WO2020/257565 12/24/2020	N/A	Robotically Positioned X-Ray and C-Arm	Peter L. Bono James D. Laik John S. Scates Thomas J. Lord	Capstone Surgical Technologies, LLC	National Phase Filing Due: 12/19/2021
	4820U 037	US UT 16/879,447 5/20/2020 62/850,323 5/20/2019	US 2020/0367989 11/26/2020		Retractable Tool for Robotic Surgeries	Peter L. Bono James D. Laik John S. Scates Thomas J. Lord	Capstone Surgical Technologies, LLC	Pending First Action Prediction: June 2022
	4820I 037	PCT/US20/33822 5/20/2020	WO2020/236942 11/26/2020		Retractable Tool for Robotic Surgeries	Peter L. Bono James D. Laik John S. Scates Thomas J. Lord	Capstone Surgical Technologies, LLC	National Phase Filing In: Europe (4820I 990037)
	4820I 990037	Europe	TBD		Retractable Tool for Robotic Surgeries	Peter L. Bono James D. Laik John S. Scates Thomas J. Lord	Capstone Surgical Technologies, LLC	Awaiting confirmation of filing.
	4820I 851037	Hong Kong EP			Retractable Tool for Robotic Surgeries	Peter L. Bono James D. Laik John S. Scates Thomas J. Lord	Capstone Surgical Technologies, LLC	Will be registered in Hong Kong when the European application publishes.
	4820U 038	US PROV			Drill Tips	Peter L. Bono James D. Laik		On Hold
	4820U 039	US PROV			DICOM Processing Software for Surgical Procedures	Confirm: Peter L. Bono James D. Laik John S. Scates		On Hold

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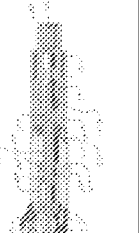
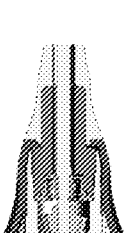
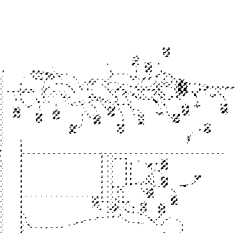
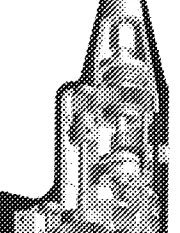
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	4820U 040 CONT of .005	17/094,274 11/10/2020	US-2021-0052284 2/25/2021		Rotary Oscillating Surgical Tool	Peter L. Bono James D. Lark John S. Scales	Capstone Surgical Technologies, LLC	Pending
	4820U 041 US PROV (Related to .035)	63/142,714 1/28/2021			Surgical Tool Tracking System and Method	Peter L. Bono James D. Lark John S. Scales Thomas J. Lord	Capstone Surgical Technologies, LLC	Conv/FF Due: 1/28/2022 OR before .035 issues!
	4820U 042 US PROV (Related to .008)	17/461,151 8/30/2021 63/142,716 1/28/2021			Surgical Image System and Method	Peter L. Bono James D. Lark John S. Scales Thomas J. Lord	Capstone Surgical Technologies, LLC	Pending Foreign Filing Due: 1/28/2022
	4820U 043 US PROV	63/142,719 1/28/2021			Vertebral Disc Cutter and Method	Peter L. Bono James D. Lark John S. Scales	Capstone Surgical Technologies, LLC	Conv/FF Due: 1/28/2022
	4820U 044 US PROV				Disposable Tip Design	Peter L. Bono James D. Lark John S. Scales		On Hold
	4820U 045 US PROV	63/167,689 3/30/2021			Bi-Directional Drill Point Screw	Peter L. Bono James D. Lark John S. Scales	Capstone Surgical Technologies, LLC	Conv/FF Due: 3/30/2022

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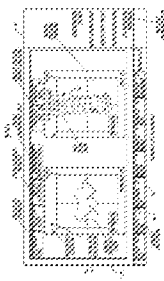
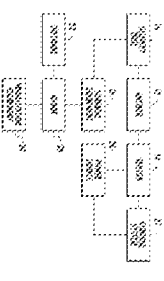
Capstone Surgical Technologies, LLC - Patent Portfolio

	M&S Ref. #	Appl. No. / Filing Date	Publication No. / Publication Date	Patent No. / Issue Date	Title	Inventors	Assigned To	Document Status
	4820U 046 US CONT (Cont. of .010)	17/230,528 4/14/2021	US-2021-0298784 9/30/2021		Rotary Oscillating/ Reciprocating Surgical Tool	Peter L. Bono James D. Laik John S. Scales	Capstone Surgical Technologies, LLC	Pending
	4820U 047 US PROV	63/180,444 4/27/2021			Bi-Directional Disk Removal and Deorientation Tool	Peter L. Bono James D. Laik John S. Scales Anthony J. Ruhala	Capstone Surgical Technologies, LLC	Conv/FF Due: 4/27/2022
	4820U 048 US PROV	63/180,470 4/27/2021			Rotary Oscillating and Reciprocating Surgical Tools	Peter L. Bono James D. Laik John S. Scales	Capstone Surgical Technologies, LLC	Conv/FF Due: 4/27/2022
	4820U 049 US PROV	TBD			Bi-Directional Drill Point Screw	Peter L. Bono James D. Laik John S. Scales		To Be Written
	4820U 050 US UT (Cont. of .008)	17/459,754 8/27/2021			Robotic Surgical System & Method	Peter L. Bono James D. Laik John S. Scales Thomas J. Lord	Capstone Surgical Technologies, LLC	Pending
	4820U 051 US PROV	63/239,698 9/1/2021			Powered Surgical Tool with Transmission	Corey Freimark Peter L. Bono James D. Laik Marshall Eric Finley	Capstone Surgical Technologies, LLC	Conv/FF Due: 9/1/2022

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Capstone Surgical Technologies, LLC - Patent Portfolio

	MAS Ref #	Avail. Mo./ Filing Date	Publication No./ Publication Date	Patent No./ Issue Date	Title	Inventors	Assigned To	Document Status
PATENT	4820U 052 US PROV (CIP of .051)				Powered Surgical Tool with Transmission	Corey Freimark Peter L. Bono James D. Lark Marshal Eric Finley	To be assigned to: Capstone Surgical Technologies, LLC (confirm)	Draft Ready Send to Capstone or Gibson?
	INFORMAL							
	4820U 053 US UT (Cont. of .017)				Robotic Surgical Control System	Peter L. Bono James D. Lark John S. Scales Thomas J. Lord	Assign to: Capstone Surgical Technologies, LLC (confirm)	To Be Written
								
	4820U 054 US UT (Cont. of .013)				Surgical Sensor Anchor System	Peter L. Bono James D. Lark John S. Scales	Assign to: Capstone Surgical Technologies, LLC (confirm)	To Be Written
								
4820U xxx					Macromolecular Explanation of OSCAR			Need More Information
4820U xxx					Kinematic Software			Need More Information

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