

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT7054864

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the ASSIGNEE'S NAME AND ADDRESS ON THE COVER SHEET previously recorded on Reel 050221 Frame 0424. Assignor(s) hereby confirms the ASSIGNMENT.
CONVEYING PARTY DATA	
Name	Execution Date
EDWARD R. HOWORKA	03/19/1998
RECEIVING PARTY DATA	
Name:	EBS DEALING RESOURCES, INC.
Street Address:	535 MADISON AVENUE
Internal Address:	24TH FLOOR
City:	NEW YORK
State/Country:	NEW YORK
Postal Code:	10022
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	09927868
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	3122910860
Email:	jpadalino@lsk-iplaw.com
Correspondent Name:	LEMPIA SUMMERFIELD KATZ LLC
Address Line 1:	20 SOUTH CLARK STREET
Address Line 2:	SUITE 600
Address Line 4:	CHICAGO, ILLINOIS 60603
ATTORNEY DOCKET NUMBER:	004672-95456W-US
NAME OF SUBMITTER:	JAMES L. KATZ
SIGNATURE:	/James L. Katz/
DATE SIGNED:	12/03/2021
Total Attachments: 8	
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PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5695707

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	EDWARD R. HOWORKA	03/19/1998
RECEIVING PARTY DATA		
Name:	NEX GROUP PLC <u>EBS DEALING RESOURCES, INC.</u>	
Street Address:	2 BROADGATE <u>535 MADISON AVENUE, 24TH FLOOR</u>	
City:	LONDON <u>NEW YORK</u>	
State/Country:	UNITED KINGDOM <u>NEW YORK</u>	
Postal Code:	EC2M 7UR <u>10022</u>	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	09927868
CORRESPONDENCE DATA		
Fax Number:		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Email:	michelle.marsh@cmegroup.com, jpadalino@lsk-iplaw.com	
Correspondent Name:	LEMPIA SUMMERFIELD KATZ LLC	
Address Line 1:	20 S. CLARK STREET, SUITE 600	
Address Line 4:	CHICAGO, ILLINOIS 60603	
ATTORNEY DOCKET NUMBER:	004672-00996Y-US	
NAME OF SUBMITTER:	JAMES L. KATZ	
SIGNATURE:	/JAMES L. KATZ/	
DATE SIGNED:	08/30/2019	
Total Attachments: 7		
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ASSIGNMENT

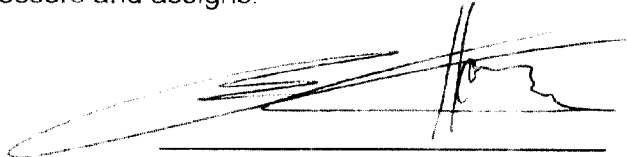
WHEREAS, I, Edward R. Howorka residing at 2043 Gates Court, Morris Plains, NJ 07950 have invented a new and useful **ANONYMOUS TRADING SYSTEM WITH IMPROVED QUOTE INPUT CAPABILITIES** for which an application for United States Letters Patent thereon was filed in the United States Patent and Trademark Office on February 25, 1998, as Serial No. 09/029,181, said application being identified as Docket No. 6047-108 (976291) of the law firm of Fulbright & Jaworski L.L.P.; and

WHEREAS, EBS DEALING RESOURCES, INC. a Delaware corporation, having its principal place of business at 535 Madison Avenue 24th Floor, New York, NY 10022 is desirous of acquiring the entire and exclusive right, title and interest in and to the said invention in the United States and throughout the world, and in and to any Letters Patent which may be granted and issued therefor;

NOW, THEREFORE, for the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I the said Edward R. Howorka by these presents do hereby sell, assign, transfer and set over unto the said EBS DEALING RESOURCES, INC. its successors and assigns, the entire and exclusive right, title and interest in and to said invention, in the United States and throughout the world, and in and to any Letters Patent which may be granted and issued therefor, and in and to any continuations, divisions, reissues and extensions of said Letters Patent and all international priority rights associated therewith; and I further agree to sign all papers and perform all acts, without any further consideration but at no cost or expense to me, as said Edward R. Howorka may deem necessary to make this Assignment fully effective, including the execution of any and

all original, reissue, divisional and continuing United States and foreign patent applications.

And I hereby authorize and request the Commissioner of Patents and Trademarks to issue the said United States Letters Patent to said EBS DEALING RESOURCES, INC. its successors and assigns.

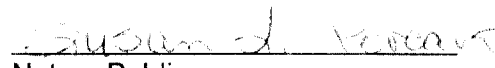

Edward ^{R.} Howorka

STATE OF CALIFORNIA

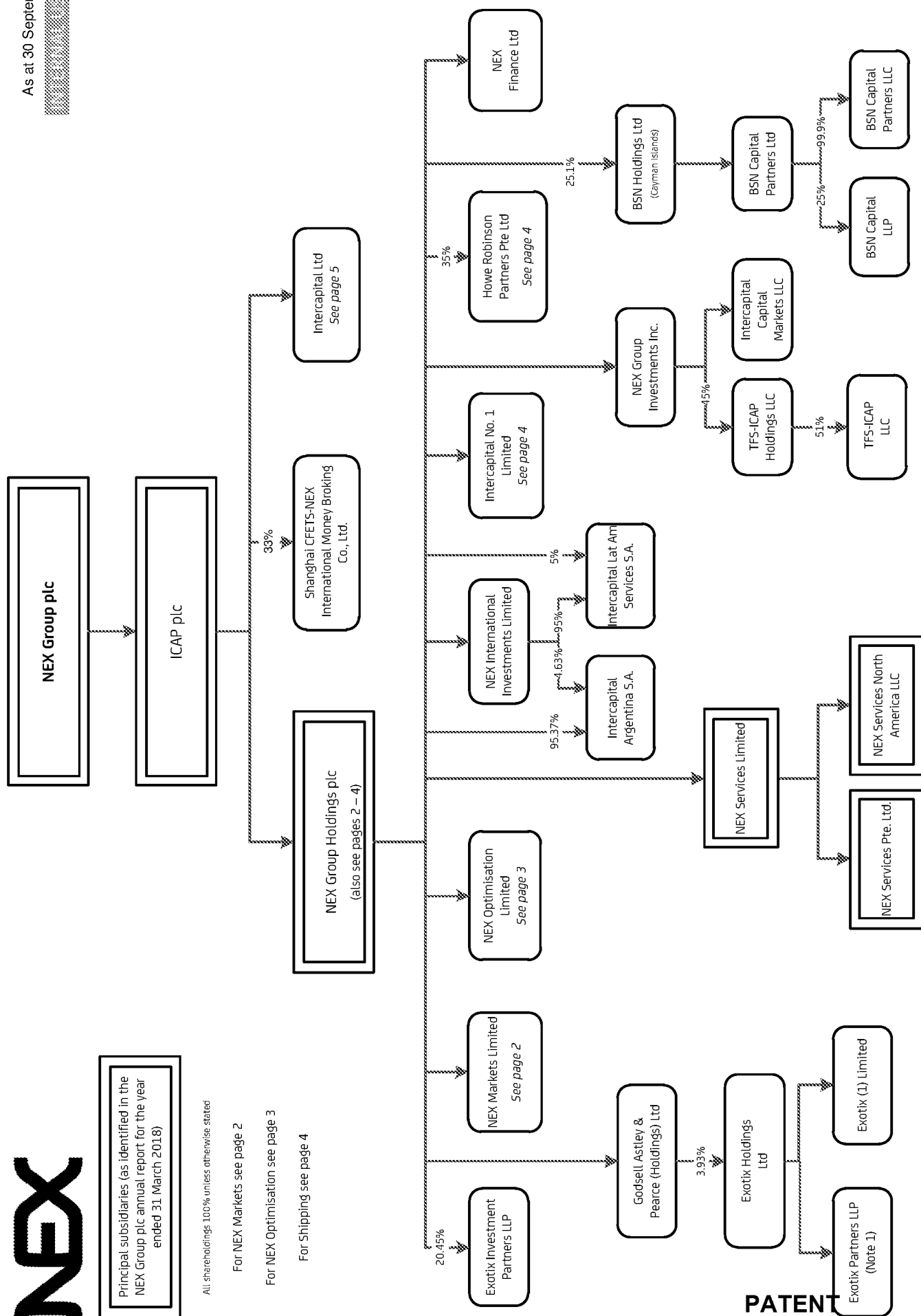
SS

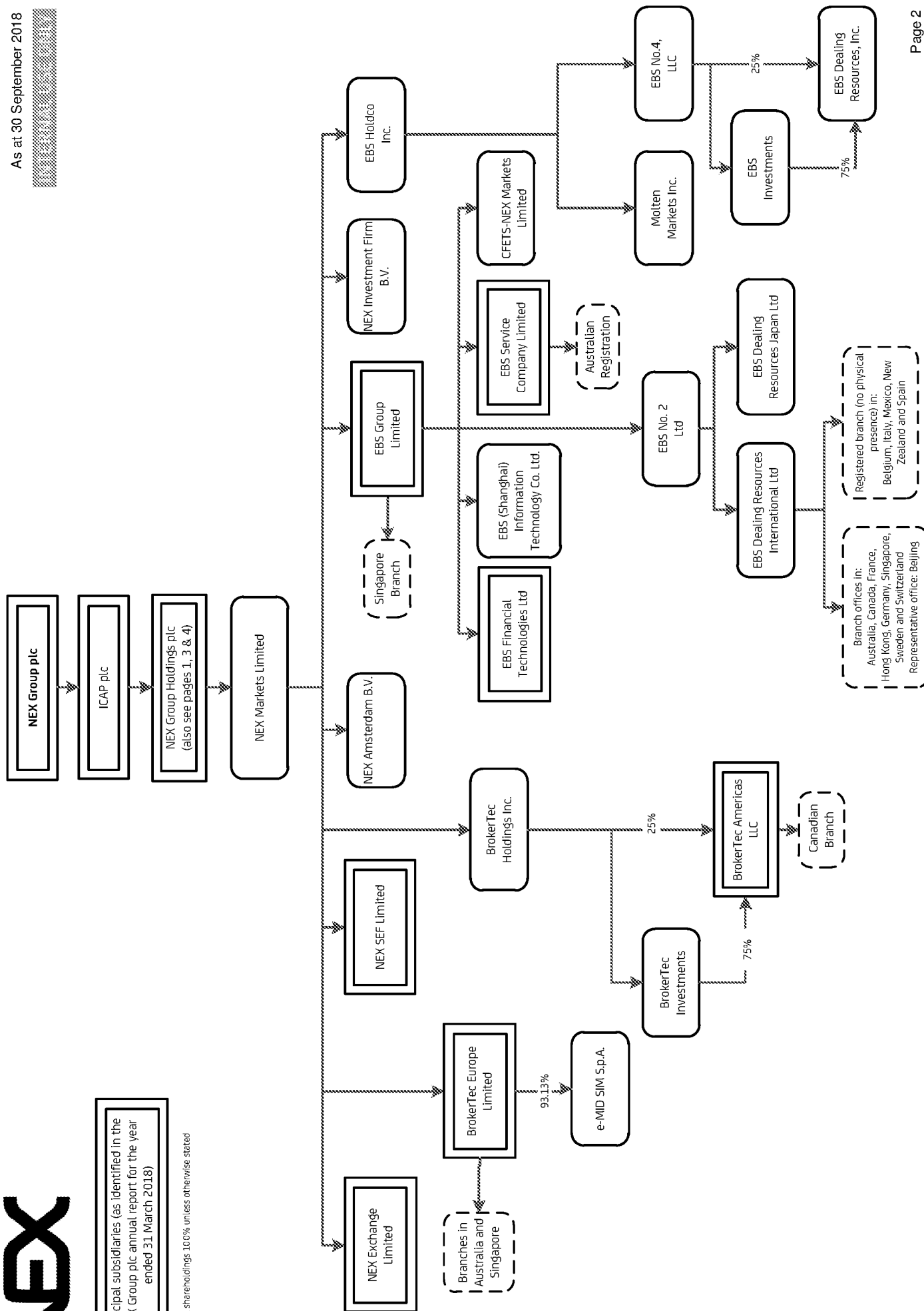
COUNTY OF San Diego

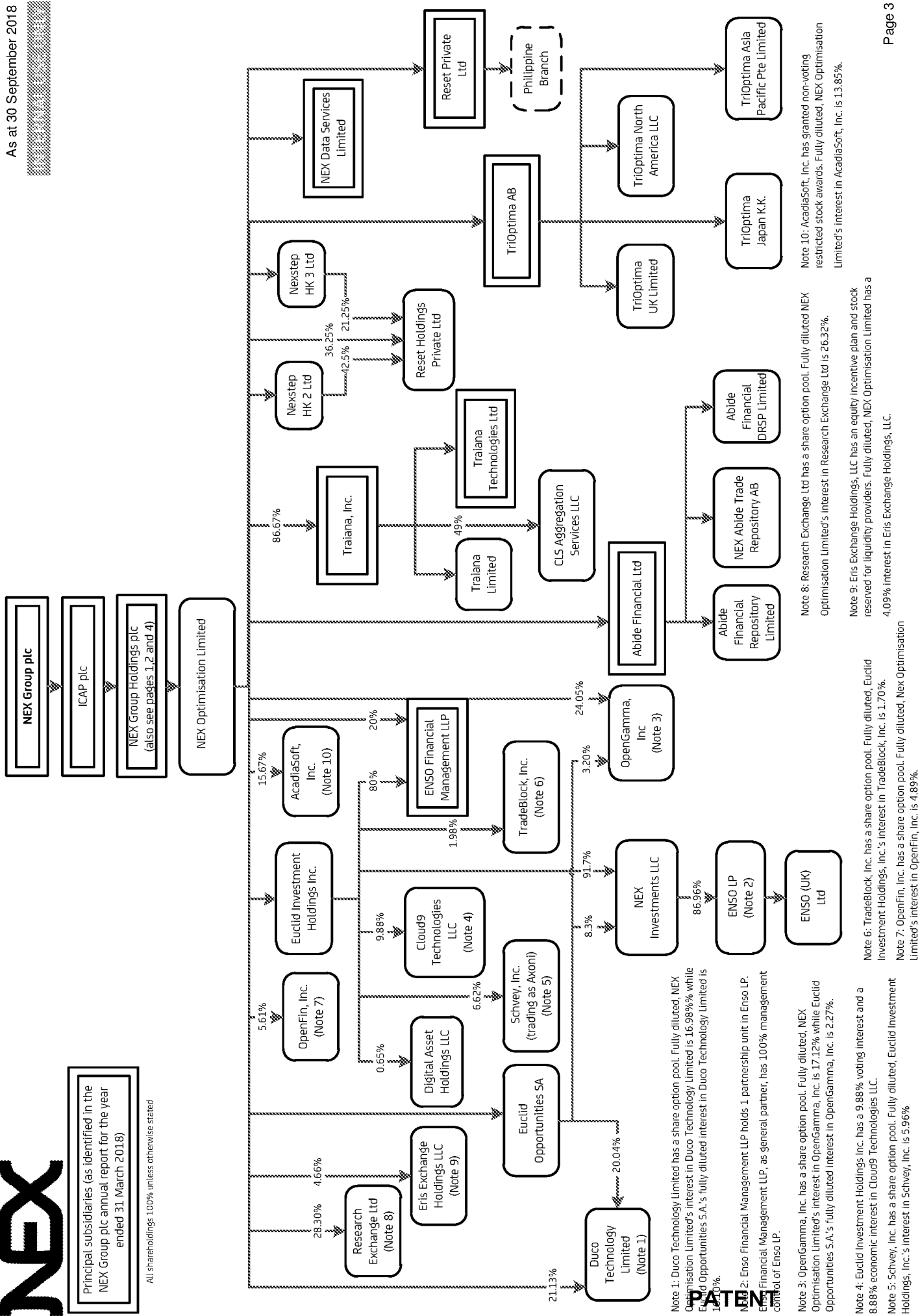
On March 17, 1998 before me Susan L. Porcaro a Notary Public, personally appeared Edward R. Howorka, ☒ personally known to me or ☐ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument.

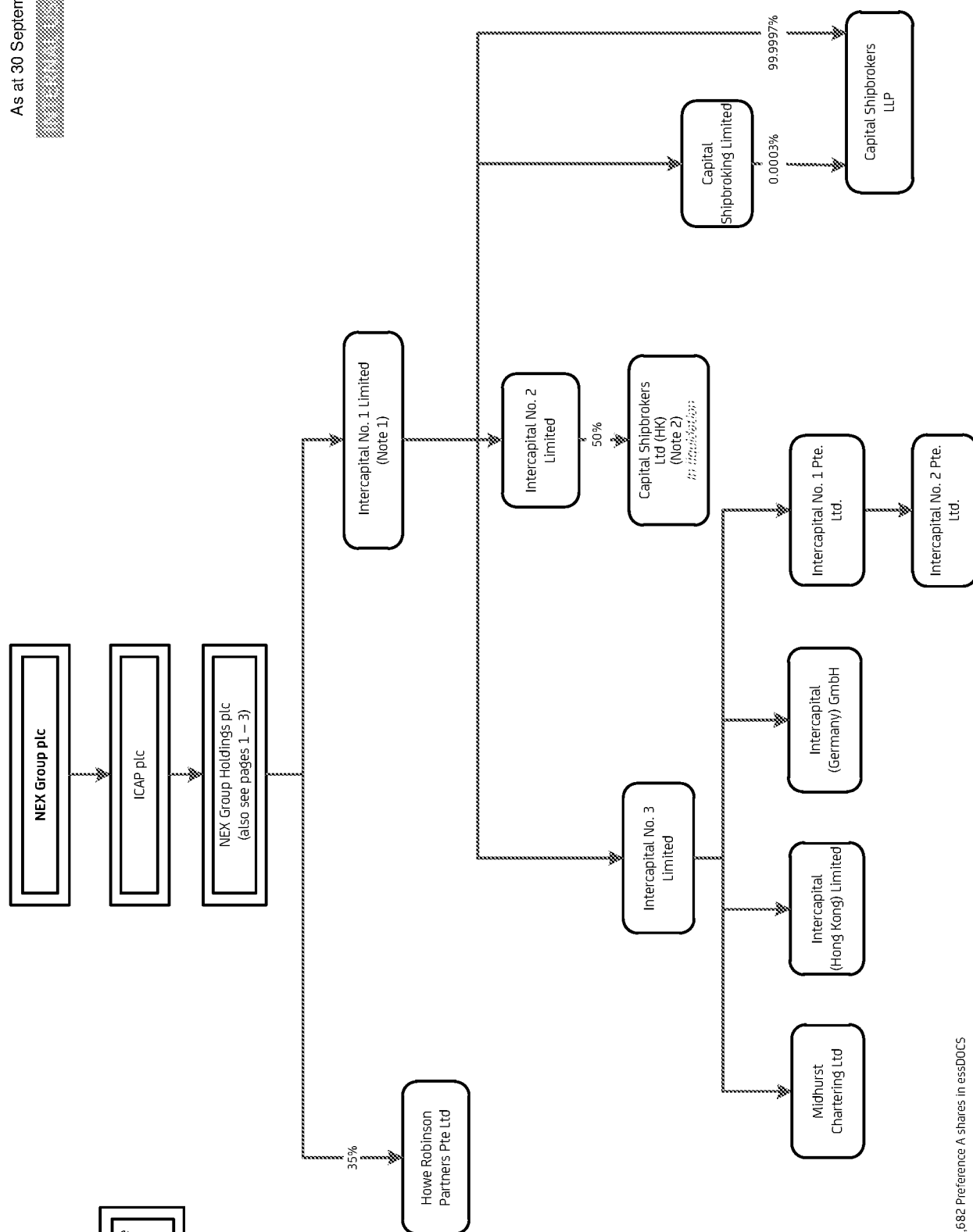

Notary Public

SUSAN L. PORCARO
A Notary Public of New Jersey
My Commission Expires January 24, 2001







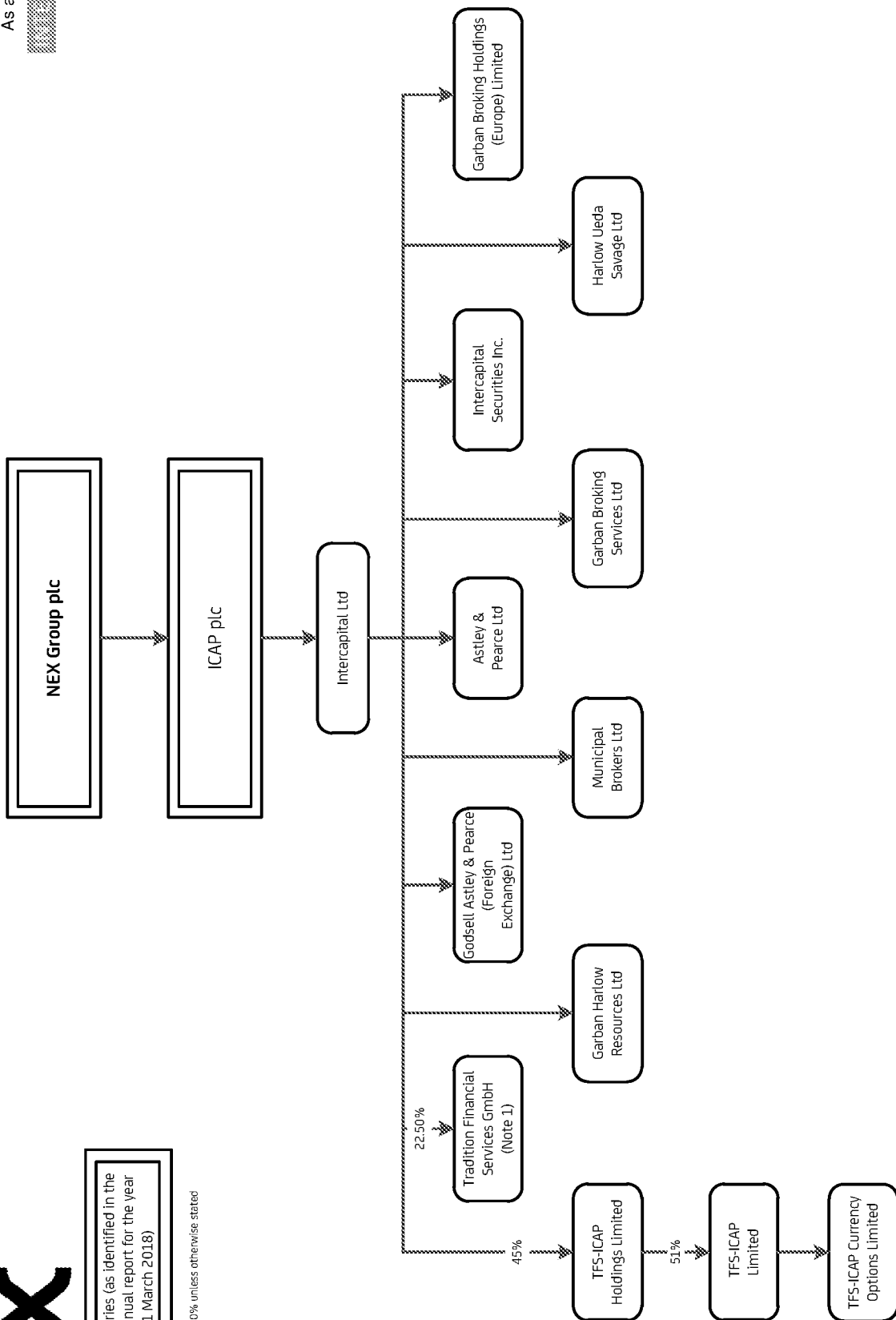


Note 1: Intercapital No. 1 Limited holds 11,682 Preference A shares in essDOCS Limited.

Note 2: Intercapital No. 2 Limited has 50% voting interest and 45% economic interest in Capital Shipbrokers Ltd.

Principal subsidiaries (as identified in the NEX Group plc annual report for the year ended 31 March 2018)

All shareholdings 100% unless otherwise stated



Note 1: Intercapital Limited has 33.33% nominal holding and 22.50% voting and economic interest in Tradition Financial Services GmbH.