

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT7061943

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
LUOXIN PHARMACEUTICAL (SHANGHAI) CO., LTD.	06/21/2021
SHANDONG LUOXIN PHARMACEUTICAL GROUP STOCK CO., LTD.	06/21/2021
RECEIVING PARTY DATA	
Name:	LUOXIN HEALTHCARE SCIENCE AND TECHNOLOGY DEVELOPMENT (BEIJING) LTD.
Street Address:	ROOM 1411, 1412, 1413, 1414, 1415, 14F, BUILDING 6, YARD NO. 9, GUANG'AN ROAD, FENGTAI DISTRICT
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State/Country:	CHINA
Postal Code:	100055
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	16648943
Application Number:	16345271
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NAME OF SUBMITTER:	VICTORIA HANSON, PARALEGAL
SIGNATURE:	/Victoria Hanson, Paralegal/
DATE SIGNED:	12/08/2021
Total Attachments: 7	
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CONFIRMATORY PATENT ASSIGNMENT

专利权转让确认协议

PARTIES:

双方:

- (1) **LUOXIN PHARMACEUTICAL (SHANGHAI) CO., LTD.** (incorporated in the People's Republic of China) of Building 1 and 1st -3rd Floor, Building 2, No.85 Faladi Road China(Shanghai) Pilot Free Trade Zone, Pudong New Area Shanghai 201210, People's Republic of China ("ASSIGNOR")
罗欣药业(上海)有限公司(在中华人民共和国注册成立), 地址: 中国上海市浦东新区自由贸易试验区法拉第路 85 号 1 幢、2 幢 1-3 层 邮编: 201210 ("出让人")
- (2) **SHANDONG LUOXIN PHARMACEUTICAL GROUP STOCK CO., LTD.** (incorporated in the People's Republic of China) of Road Luo 7, National High & New Technology Industries Development Zone Linyi, Shandong 276017, People's Republic of China ("ASSIGNOR")
山东罗欣药业集团股份有限公司(在中华人民共和国注册成立), 地址: 中国山东省临沂市国家高新技术产业开发区罗七路 邮编: 276017 ("出让人")
- (3) **LUOXIN HEALTHCARE SCIENCE AND TECHNOLOGY DEVELOPMENT (BEIJING) LTD.** (incorporated in the People's Republic of China) of Room 1411, 1412, 1413, 1414, 1415, 14F, Building 6, Yard No. 9, Guang'an Road, Fengtai District, Beijing 100055, People's Republic of China ("ASSIGNEE")
罗欣健康科技发展(北京)有限公司(在中华人民共和国注册成立), 地址: 中国北京市丰台区广安路 9 号院 6 号楼 14 层 1411、1412、1413、1414、1415 邮编: 100055 ("受让人")

(The ASSIGNOR and the ASSIGNEE are together referred to as the "Parties")

(出让人和受让人统称为"双方")

RECITALS:

序言:

- A. The ASSIGNOR is the joint applicant in respect of the patent applications particularised in the Schedule (the "APPLICATIONS").
出让人是附表中详述之专利权申请("有关申请")的共同申请人。

OPERATIVE TERMS:

具体操作条款:

1. In consideration of the premises, and the representations, warranties, covenants, and undertakings of the parties hereinafter set forth, and in consideration of a sum of 1 US dollar paid to us in hand, (the receipt and sufficiency of which is hereby acknowledged), the Parties agree as follows:

鉴于上述事实和各方在下文所作的陈述、保证、立约和承诺，及1 美元的转让价格（特此确认并充分接受），双方协议如下：

2.

3. Assignment
转让

- 1). The ASSIGNOR hereby confirms the assignment (with full title guarantee) to the ASSIGNEE and the ASSIGNEE hereby confirms its acceptance of all the ASSIGNOR's property right title and interest in and to the APPLICATIONS/PATENTS for all designated states including without limitation:

出让人特此确认，向受让人转让出让人在所有指定州/地域对有关申请的全部产权所有权和权益（提供充分的所有权担保），且受让人特此确认接受所述所有权和权益，包括但不限于：

- (a) the APPLICATIONS and all patent applications, patents and supplementary protection certificates which may derive or result from any of the same, including any divisionals, continuations, reissues, extensions and registrations in relation to any thereof and all and any other rights in the inventions comprised in the above (together referred to as the "PATENT RIGHTS");

有关申请及所有专利申请、专利以及从中派生或产生的补充保护证书，包括其任何分案、继续申请、再发授权、延伸及注册以及其中包含之发明的所有和任何其他权利（统称为“专利权”）；

- (b) the benefit of all priority dates;

所有优先权日的利益；

- (c) the right to seek and obtain registrations in other countries;

在其他国家寻求和获得注册的权利；

- (d) the right to sue for and obtain injunctive relief, damages and all other relief in respect of any infringement or misuse (whether past present or future) of any of the PATENT RIGHTS or of the rights conferred by publication of any of the same.

就侵犯或滥用（无论是现在还是未来）任何专利权或专利权公开后赋予的权利、请求和获得禁令救济、损害赔偿及所有其他救济的权利。

4. Authorisation

授权

The ASSIGNOR hereby authorises the ASSIGNEE and the ASSIGNEE's agents to sign all such forms on behalf of the ASSIGNOR as the ASSIGNEE considers appropriate in order to record the APPLICATIONS and any other PATENT RIGHTS in the name of the ASSIGNEE. The ASSIGNOR shall try its best to assist the ASSIGNEE to complete the transfer, assignment and recordal of the APPLICATIONS and any other PATENT RIGHTS as reasonably requested by the ASSIGNEE.

出让人特此授权受让人和受让人的代理代表出让人签署受让人认为适当的
所有文件，以便以受让人的名义记录有关申请及其他专利权。根据受让人的合
理要求，出让人应尽力协助受让人完成有关申请及其他专利权的转让、让与
和登记。

5. Recordal

登记

After the execution of this Confirmatory Patent Assignment, the ASSIGNEE shall be responsible for the recordal of the transfer from the ASSIGNOR to the ASSIGNEE for all of the APPLICATIONS at the relevant patent offices. The ASSIGNOR shall coordinate with the ASSIGNEE to complete the recordal of the transfer (with each Party acting in good faith at all times).

在本专利权转让确认协议签署后，受让人应负责向有关专利机关办理有关申
请的转让登记手续。出让人应配合受让人完成有关转让登记（在任何时候双
方均应本着诚信行事）。

6. General

一般条款

For the avoidance of doubt, nothing in this Confirmatory Patent Assignment constitutes a waiver of either Party's powers, rights or remedies under the Agreements (or any of them).

为免生疑问，本专利权转让确认协议中无任何内容构成对任何一方对任何合
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This Assignment shall be written in both English and Chinese. Both versions are equally authentic. The Assignment shall be signed in Two (2) counterparts and all such counterparts have same legal force. Party A and Party B each have one copy of the Assignment. The remaining counterparts shall be submitted to the Examination and Approval Authority for recordation.

本合同用中文和英文写就。中文文本和英文文本具有同等效力。本合同签署本一式
贰（2）份，贰份文本具有同等法律效力，经双方签字盖章后生效，出让人及受让
人各持壹份。其余文本按要求报送有关审批机构审批，供其参考。

SCHEDULE - THE APPLICATIONS/PATENTS 附表 - 有关申请

国家/地区 Country/Region	发明名称 Title of Invention	申请人 Applicant	申请号 Application Number	申请日 Filing Date
美国 (US)	一种雌激素受体抑制剂的晶型及其制备方法 Crystal Form of Estrogen Receptor Inhibitor and Preparation Method thereof	罗欣药业(上海)有限公司; 山东罗欣药业集团股份有限公司 LUOXIN PHARMACEUTICAL (SHANGHAI) CO., LTD.; SHANDONG LUOXIN PHARMACEUTICAL GROUP STOCK CO., LTD.	US 16/648,943	2018/9/25
中国 (CN)			CN 201880054052.3	2018/9/25

国家/地区 Country/Region	发明名称 Title of Invention	申请人 Applicant	申请号 Application Number	申请日 Filing Date
中国 (CN)	喹唑啉类化合物及其应用 Quinazolinone Compound and Application Thereof	罗欣药业(上海)有限公司; 山东罗欣药业集团股份有限公司 LUOXIN PHARMACEUTICAL (SHANGHAI) CO., LTD.; SHANDONG LUOXIN PHARMACEUTICAL GROUP STOCK CO., LTD.	CN 201880073589.4	2018/11/12
美国 (US)			US 16/763,377	2018/11/12
日本 (JP)			JP 2020-528358	2018/11/12
欧洲 (EP)			EP 18876913.7	2018/11/12
韩国 (KR)			KR 10-2020-7017158	2018/11/12

国家/地区 Country/Region	发明名称 Title of Invention	申请人 Applicant	申请号 Application Number	申请日 Filing Date
中国 (CN)	作为雌激素受体降解剂的吡啶并取代哌啶类化合物 Indolo Substituted Piperidine Compound as Estrogen Receptor Down-regulator	罗欣药业(上海)有限公司; 山东罗欣药业集团股份有限公司 LUOXIN PHARMACEUTICAL (SHANGHAI) CO., LTD.; SHANDONG LUOXIN PHARMACEUTICAL GROUP STOCK CO., LTD.	CN 201780066789.2	2017/10/30
美国 (US)			US 16/345,271	2017/10/30

Confirmatory Assignment 转让确认协议

IN WITNESS of which the Parties have executed and delivered this Confirmatory Patent Assignment as a Deed effective on the date of the later of the Parties' signatures.
兹证明，双方已签订并交付本专利权转让确认协议，作为双方之间的契约并于双方签字之日（以较晚发生者为准）生效。

EXECUTED by the ASSIGNOR
LUOXIN PHARMACEUTICAL (SHANGHAI) CO., LTD.
由出让人签立
罗欣药业（上海）有限公司
(Company Seal)
(公司印章)



ASSIGNOR'S Authorised Representative:
出让人的授权代表:

✓ Signature 签字: 

Name 姓名: LIU, Zhenteng / 刘振腾

Title 职衔: CEO / 首席执行官

Date 日期: 2021/6/21

IN WITNESS of which the Parties have executed and delivered this Confirmatory Patent Assignment as a Deed effective on the date of the later of the Parties' signatures.

兹证明，双方已签订并交付本专利权转让确认协议，作为双方之间的契约并于双方签字之日（以较晚发生者为准）生效。

EXECUTED by the ASSIGNOR

SHANDONG LUOXIN PHARMACEUTICAL GROUP STOCK CO., LTD.

由出让人签立

山东罗欣药业集团股份有限公司

(Company Seal)

(公司印章)

ASSIGNOR'S Authorised Representative:

出让人的授权代表:

✓ Signature 签字:



Name 姓名: LIU, Zhenteng / 刘振腾

Title 职衔: CEO / 首席执行官

Date 日期: 2021/6/21

EXECUTED by the ASSIGNEE
LUOXIN HEALTHCARE SCIENCE AND TECHNOLOGY DEVELOPMENT
(BEIJING) LTD.

由受让人签立
罗欣健康科技发展(北京)有限公司
(Company Seal)
(公司印章)



ASSIGNEE'S Authorised Representative:
受让人的授权代表:

✓ Signature 签字: Jin Yimeng

Name 姓名: JIN, Yimeng / 金一梦

Title 职衔: CEO 首席执行官

Date 日期: 2021/6/21