

PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

EPAS ID: PAT7081414

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JAY M SHORT	07/07/2020
GERHARD FREY	06/29/2020
HWAI WEN CHANG	07/02/2020
RECEIVING PARTY DATA	
Name:	BIOATLA, INC.
Street Address:	11085 TORREYANA ROAD
Internal Address:	SUITE 100
City:	SAN DIEGO
State/Country:	CALIFORNIA
Postal Code:	92121
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	62860092
PCT Number:	US2020037096
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	858-558-0708
Email:	jbutler@bioatla.com
Correspondent Name:	JAMES BUTLER
Address Line 1:	11085 TORREYANA ROAD
Address Line 4:	SAN DIEGO, CALIFORNIA 92121
ATTORNEY DOCKET NUMBER:	BIAT-1029
NAME OF SUBMITTER:	JAMES BUTLER
SIGNATURE:	/JAMES BUTLER/
DATE SIGNED:	12/17/2021
Total Attachments: 5	
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ASSIGNMENT

WHEREAS, Jay M. Short, residing at 12985 Via Esperia, Del Mar, California 92014, United States of America; Gerhard Frey, residing at 13768 Via Cima Bella, San Diego, California 92129, United States of America; and Hwai Wen Chang, residing at 1318 Shadow Hills Drive, San Marcos, California 92069, United States of America (hereinafter referred to as "the Assignors"), are the inventors of certain inventions or improvements as described and/or claimed in U.S. Provisional Patent Application No. 62/860,092; filed June 11, 2019; entitled, **"CONDITIONALLY ACTIVE ANTI-EPCAM ANTIBODIES, ANTIBODY FRAGMENTS, THEIR IMMUNOCONJUGATES AND USES THEREOF"** and assigned attorney docket number BIAT-1029USP; for which will be filed application for Letters Patent under the Patent Cooperation Treaty, identified as International Patent Application entitled, **"CONDITIONALLY ACTIVE ANTI-EPCAM ANTIBODIES, ANTIBODY FRAGMENTS, THEIR IMMUNOCONJUGATES AND USES THEREOF"** having PCT application no. PCT/US20/37096; filed on June 10, 2020, docket number **BIAT-1029WO**.

WHEREAS, BioAtla, LLC hereinafter referred to as the ASSIGNEE, having its principal place of business at 11085 Torreyana Road, Suite 100, San Diego, California 92121, United States of America has acquired or is desirous of acquiring the entire right, title and interest in and to the said Patent Property in any and all countries;

NOW, THEREFORE, for and in consideration of good and valuable consideration to us in hand paid by said assignee, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said assignors, have sold, assigned, transferred or set over, and by these presents do hereby sell, assign, transfer and set over to said assignee, the entire right, title and interest in and to said invention(s) or improvement(s) and said application(s) including the right to claim priority to the application(s) in any application entitled to claim such priority under national law, international conventions, treaties or otherwise, and any and all continuations, divisions and renewals of and substitutes for said application(s), and in, to and under any and all Letters Patent which may be granted on or as a result thereof in the United States and any and all other countries, and any reissue or reissues or extension or extensions of said Letters Patent, and assign to and authorize said assignee, to file in our name applications for Letters Patent in all countries, the same to be held and enjoyed by said assignee, its successors, assigns, nominees or legal representatives, to the full end of the term or terms for which said Letters Patent respectively may be granted, reissued or extended, as fully and entirely as the same would have been held and enjoyed by us had this assignment, sale and transfer not been made.

AND we hereby covenant that we have or had full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement in conflict herewith, and we further covenant and agree that we will each time request is made and without undue delay, execute and deliver all such papers as may be necessary or desirable to perfect the title to said invention(s) or improvement(s), said application(s) and said Letters Patent to said assignee, its successors, assigns, nominees, or legal representatives, and we agree to communicate to said assignee or to its nominee all known facts respecting said invention(s) or improvement(s), said application(s) and said Letters Patent, to testify in any legal proceedings, to sign all lawful papers, to execute all disclaimers and divisional, continuing, reissue and foreign applications, to make all rightful oaths, and generally to do everything possible to aid said

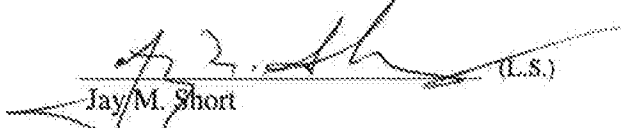
assignee, its successors, assigns, nominees and legal representatives to obtain and enforce for its or their own benefit proper patent protection for said invention(s) or improvement(s) in any and all countries.

AND we hereby authorize and request the Director – U.S. Patent and Trademark Office and any official of any country or countries foreign to the United States whose duty it is to issue patents on application(s) as aforesaid, to issue to said assignee, as assignee of the entire right, title and interest, any and all Letters Patent for said invention(s) or improvement(s), including any and all Letters Patent of the United States which may be issued and granted on or as a result of the application(s) aforesaid, in accordance with the terms of this assignment.

This assignment shall be governed by the laws of the State of Pennsylvania, United States of America.

IN WITNESS WHEREOF, I have hereunto set my hand and seal.

Dated: 7/7/2020

 (L.S.)
Jay M. Short

ACKNOWLEDGMENT

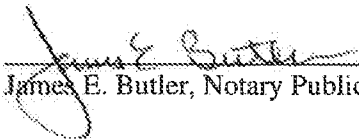
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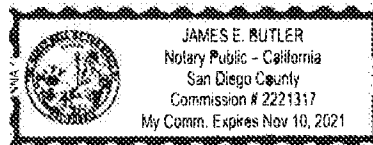
State of California)
County of San Diego)

On July 7, 2019, before me, James E. Butler, Notary Public, personally appeared Jay M. Short, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct

WITNESS my hand and official seal.


James E. Butler, Notary Public



IN WITNESS WHEREOF, I have hereunto set my hand and seal.

Dated: 06-24-20 Gerhard Frey (L.S.)
Gerhard Frey

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

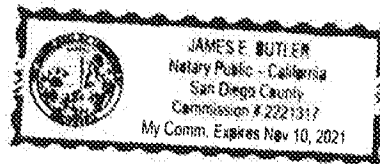
State of California)
County of San Diego)

On June 29, ²⁰²⁰ ~~2019~~, before me, James E. Butler, Notary Public, personally appeared **Gerhard Frey**, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct

WITNESS my hand and official seal.

James E. Butler
James E. Butler, Notary Public



IN WITNESS WHEREOF, I have hereunto set my hand and seal,

Dated: July 2, 2020 _____ (L.S.)
Hwai Wen Chang

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

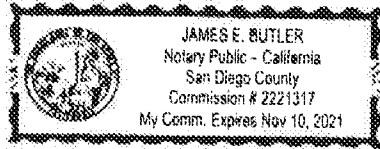
State of California)
County of San Diego)

On July 2, 2019, before me, James E. Butler, Notary Public, personally appeared **Hwai Wen Chang**, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct

WITNESS my hand and official seal.

James E. Butler
James E. Butler, Notary Public



IN WITNESS WHEREOF, I have hereunto set my hand and seal.

Dated: 7-7-20

Carolyn Short (L.S.)
Carolyn Short
Title: Director

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California)
County of San Diego)

On July 7, ²⁰²⁰~~2019~~, before me, James E. Butler, Notary Public, personally appeared Carolyn Short

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct

WITNESS my hand and official seal.

James E. Butler
James E. Butler, Notary Public

