

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT7097607

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
THE UNIVERSITY OF BIRMINGHAM	07/20/2021
RECEIVING PARTY DATA	
Name:	4D MEDICINE LIMITED
Street Address:	97 VINCENT DRIVE
City:	EDGBASTON, BIRMINGHAM
State/Country:	UNITED KINGDOM
Postal Code:	B15 2SQ
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16621506
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	7038164000
Email:	jnm@nixonvan.com, ptomail@nixonvan.com
Correspondent Name:	NIXON & VANDERHYE PC
Address Line 1:	901 NORTH GLEBE ROAD
Address Line 2:	11TH FLOOR
Address Line 4:	ARLINGTON, VIRGINIA 22203
ATTORNEY DOCKET NUMBER:	JR-6045-4
NAME OF SUBMITTER:	JONATHAN A. ROBERTS
SIGNATURE:	/Jonathan A. Roberts/
DATE SIGNED:	12/29/2021
Total Attachments: 5	
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DATED: 9th November

2021

THE UNIVERSITY OF BIRMINGHAM

and

4D MEDICINE LIMITED

CONFIRMATORY ASSIGNMENT

OF

PATENT APPLICATIONS

THIS CONFIRMATORY ASSIGNMENT is dated 9th November

2021

BETWEEN

1. **The University of Birmingham** whose address is at is Edgbaston, Birmingham B15 2TT ("**the Assignor**"); and
2. **4D Medicine Limited** (Co. Registration Number 11598297) whose registered address is 97 Vincent Drive, Edgbaston, Birmingham B15 2SQ ("**the Assignee**")

Recitals

- A. The Assignor is the applicant for the Patent Applications (as defined below).
- B. By way of an Agreement dated 20 July 2021 the Assignor agreed to transfer to the Assignee absolutely with full title guarantee all right, title and interest in and to the Patent Applications (as defined below).
- C. The parties are entering into this Assignment to confirm the vesting of the Patent Applications (as defined below) in the Assignee.

Operative Clauses

1. **DEFINITION**

In this Agreement:

Patent Applications: means the patent applications short particulars of which are set out in Schedule A.

2. **ASSIGNMENT**

In consideration of the sum of £1 paid by the Assignee to the Assignor, receipt of which the Assignor hereby acknowledges, the Assignor hereby assigns to the Assignee and the Assignee hereby accepts an assignment :-

- 2.1 absolutely with full title guarantee of all right, title and interest in and to the Patent Applications;

- 2.2 of all rights of action, powers and benefits arising from ownership of the Patent Applications including without limitation the right to sue for damages and other legal and equitable remedies (and retain any financial award made in any action) in respect of all causes of action arising prior to, on or after the date of this Agreement; and
- 2.3 of the entire right to be absolutely entitled to all patents or other intellectual property that may be granted pursuant to the Patent Applications as well as the right to claim priority from the Patent Applications, and all patents or other intellectual property that may derive priority from or have equivalent claims to or be based upon the Patent Applications in any country of the world (and including supplementary protection certificates, divisions, continuations, continuations in part, re-issues and extensions).

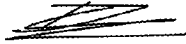
3. **FURTHER ASSURANCE**

The Assignor shall use reasonable endeavours to do or procure to be done all such further acts and things, and execute or procure the execution of all such other documents, as the Assignee may from time to time reasonably require in order to give the Assignee the full benefit of this Agreement, whether in connection with any registration of title or other similar right or otherwise.

4. **GOVERNING LAW AND JURISDICTION**

- 4.1 This Agreement shall be governed by and construed in accordance with the laws of England and Wales.
- 4.2 Each party irrevocably agrees to submit to the non-exclusive jurisdiction of the courts of England and Wales over any claim or matter arising under or in connection with this agreement.

THIS AGREEMENT has been entered into on the date stated at the beginning of it.



.....
Signed by
Name David Coleman.....
Director, Enterprise
for and on behalf of the Assignor



.....
Signed by
Name.....Philip Smith.....
Director
for and on behalf of the Assignee

SCHEDULE A – PATENT APPLICATIONS

Region	Application Number	Filing Date	Publication Number
Provisional GB	GB1709287.2	12/06/2017	-
PCT	PCT/GB2018/051521	12/06/2018	WO/2018/229456
Canada	3066901	12/12/2019	3066901
Europe	18730089.2	12/01/2020	3138710
United States	16/621506	12/12/2019	2020/0115490
Provisional GB	1906987.1	17/05/2019	-
PCT	PCT/GB2020/051210	18/05/2020	WO2020/234576
Provisional GB	2107999.1	04/06/2021	-
Provisional GB	2101142.4	28/01/2021	-