507068297 01/11/2022 PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT7115133

SUBMISSION TYPE:			NEW ASSIGNMENT		
NATURE OF CONVEYANCE:			ASSIGNMENT		
CONVEYING PARTY	/ DATA				
			Name	Execution Date	
NICHOLAS JOHN AI	NGER			07/31/2018	
WEI GAO				08/28/2018	
ADAM PETER JARV	IS			08/01/2018	
KINJALBAHEN JOSH	-11			09/11/2018	
CURTIS SCHWARTZ	<u>Z</u>			08/26/2018	
INNA SHULMAN				08/27/2018	
PIERRE STARCK				06/08/2018	
SALLY ELIZABETH V	WOOD			06/08/2018	
FANWEN ZENG				08/28/2018	
NEIL SCOTT SHAW				06/08/2018	
Street Address: City: State/Country: Postal Code: PROPERTY NUMBE	ENGLE NEW J 07632				
Property Ty	pe		Number		
Application Number		1676	1065		
-	ill be sent to , if provided	o the o d; if th 202-6 ipdoc JANE FOLE	672-5399 e-mail address first; if that is uns hat is unsuccessful, it will be sen 672-5300 keting@foley.com, jalee@foley.cor ET LEE EY & LARDNER LLP K STREET, N.W., SUITE 600	t via US Mail.	
Address Line 2: Address Line 4:			HINGTON, D.C. 20007		
TORNEY DOCKET	NUMBER:		J30210USW 190058-0772		

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NAME OF SUBMITTER:	JANET LEE		
SIGNATURE:	/JANET LEE/		
DATE SIGNED:	01/11/2022		
Total Attachments: 10			
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source=190058-0772_J30210USw_Assignment#page10.tif			

DECLARATION / ASSIGNMENT - STATEMENT

WHEREAS, I/We,

AINGER Nicholas John, with Residence address at: Wallasey, Wirral, GB and Mailing Address at Unilever R&D Port Sunlight, Quarry Road East, Bebington, Wirral, Merseyside, CH63 3JW, United Kingdom

GAO Wei, with Residence address at: Fort Washington, US and Mailing Address at 400 Arcola Road, Collegeville, Pennsylvania, 19426, United States of America

JARVIS Adam Peter, with Residence address at: Liverpool, GB and Mailing Address at Unilever R&D Port Sunlight, Quarry Road East, Bebington, Wirral, Merseyside, CH63 3JW, United Kingdom

JOSHI Kinjalbahen, with Residence address at: Collegeville, US and Mailing Address at 400 Arcola Road, Collegeville, Pennsylvania, 19426, United States of America

SCHWARTZ Curtis, with Residence address at: Ambler, US and Mailing Address at 400 Arcola Road, Collegeville, Pennsylvania, 19426, United States of America

SHAW Neil Scott, with Residence address at: Warrington, GB and Mailing Address at Unilever R&D Port Sunlight, Quarry Road East, Bebington, Wirral, Merseyside, CH63 3JW, United Kingdom

SHULMAN Inna, with Residence address at: Langhorne, US and Mailing Address at 2020 Dow Center, Midland, Michigan, 48674, United States of America

STARCK Pierre, with Residence address at: Chester, GB and Mailing Address at Unilever R&D Port Sunlight, Quarry Road East, Bebington, Wirral, Merseyside, CH63 3JW, United Kingdom

WOOD Sally Elizabeth, with Residence address at: Warrington, GB and Mailing Address at Unilever R&D Port Sunlight, Quarry Road East, Bebington, Wirral, Merseyside, CH63 3JW, United Kingdom

ZENG Fanwen, with Residence address at: Audubon, US and Mailing Address at 400 Arcola Road, Collegeville, Pennsylvania, 19426, United States of America

invented certain improvements in:

ANTIDANDRUFF COMPOSITION AND METHOD OF USE

which is found in:

\boxtimes	a patent application, attached he	reto, said application bein	g identified as Unilever Case No.	<u>J30210</u>
	OR		-	
		41		

International Application No. filed on ("the Filing Date");

- I. DECLARATION of inventorship (Rules 4.17(iv) and 51*bis*.1(a)(iv)) for the purposes of the designation of the United States of America:
- (a) This declaration is directed to the above-identified international application of which it forms a part (if filing declaration with application)
 OR
- (b) \square This declaration is directed to the above-identified international application (if furnishing declaration pursuant to Rule 26*ter*).

March 2014

Form 1 – filed with International Application

I hereby declare that I believe I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby declare that the above-identified international application was made or authorized to be made by me.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

My signature is set forth at the end of this statement.

II. DUTY OF DISCLOSURE

I/We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above; and

I/We acknowledge the duty to disclose to the United States Patent and Trademark Office all information known by me to be material to patentability as defined in 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

My/Our signature below is applicable to all pages above, setting out the Declaration and the Duty of Disclosure:

Dated:	
Dated:8 /> 01 &	Nicholas John AINGER
Dated:	
Dated: <u>4/11/2018</u>	Adam Peter JARVIS <u>Joshi Kirytul K</u> . Kinjalbahen JOSHI
Dated:	Curtis SCHWARTZ
Dated:	Neil Scott SHAW

Form 1 - filed with International Application

ÔR

(b) In This declaration is directed to the above-identified international application (if furnishing declaration pursuant to Rule 26/er).

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Dated:	
	WeiGAO
Oatest:	
an a	Adam Peter JARVIS
Dated:	
	Kinjalbahen JOSHI
Dated: <u>26 august. 20</u> 18	Cutie Schwart
\mathcal{O}	Curtis SCHWARTZ
Dated:	
March 2014	Page 2 of 4 Form 1 – filed with International Application

Dated: August 27, 2018

Dated:

Inna Shulman

Inna SHULMAN

Dated:

Pierre STARCK

Sally Elizabeth WOOD

2 Fanwen ZENG

March 2014

Form 1 - filed with International Application

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Page 4 of 4

Form 1 - filed with International Application

J30210WO

DECLARATION

WHEREAS, I/We,

AINGER Nicholas John, with Residence address at: Wallasey, Wirral, GB and Mailing Address at Unilever R&D Port Sunlight, Quarry Road East, Bebington, Wirral, Merseyside, CH63 3JW, United Kingdom

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ANTIDANDRUFF COMPOSITION AND METHOD OF USE

which is found in:

\boxtimes	a patent application,	attached hereto,	, said application bei	ng identified as	Unilever Ca	ase No	J30210
	OR						

International Application No. filed on ("the Filing Date");

I. DECLARATION of inventorship (Rules 4.17(iv) and 51*bis*.1(a)(iv)) for the purposes of the designation of the United States of America:

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March 2014

Page 1 of 4

Form 1 – filed with International Application

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I/We acknowledge the duty to disclose to the United States Patent and Trademark Office all information known by me to be material to patentability as defined in 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

My/Our signature below is applicable to all pages above, setting out the Declaration and the Duty of Disclosure:

Dated:	11# July 2018	Nicholas John AINGER	huffeste mi - H. L. Hählen - Notery Public - 3/1/18
Dated:		Wei GAO	······································
Dated:	144 Aug 2018	Adam Peter JARVIS	Sulforder, 1944 - <u>Harler Harler</u> N CH CH My Parlot - 1/8/18
Dated:		Kinjalbahen JOSHI	<u></u>
Dated:		Curtis SCHWARTZ	
Dated:	6/8/18	Neil Scott SHAW	Not any Fielden 6/0/18
March 2014	Michael Glenn Walker 'Glenthørne' Little Storeton Lane Storeton, Wirral CH63 6HP United Kingdom NOTARY PUBLIC	age 2 of 4 Form 1 – filed with Internat	tional Application

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ated.				
ated	6/8/18	Inna SHULMAN pione_ st	arele !	Selferat inn M. l. h/addam
ated:	6/8/18	Pierre STARCK	bell / Mil	Notony Pentsein 618/18 Before nu
ated:		Sally Elizabeth W	VOOD	M. C N. adm Notomy Paloh 6/8)
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March 2014

Page 3 of 4

Form 1 - filed with International Application

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Form 1 – filed with International Application

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1. The information on this form will be treated confidentially to the extent allowed under the Freedom of



If this document is to be used in a country not party to the Hague Convention of the 5th of October 1961, it should be presented to the consular section of the mission representing that country

To verify this apostille go to www.verifyapostille.service.gov.uk

March 2014

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Form 1 – filed with International Application

PATENT REEL: 058621 FRAME: 0687

RECORDED: 01/11/2022