

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT7024917

<b>SUBMISSION TYPE:</b>	CORRECTIVE ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	Corrective Assignment to correct the ASSIGNEE ADDRESS previously recorded on Reel 058051 Frame 0055. Assignor(s) hereby confirms the ASSIGNMENT.
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
AXT, INC.	11/04/2021
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	AXT, INC.
<b>Street Address:</b>	4281 TECHNOLOGY DRIVE
<b>City:</b>	FREMONT
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94538
<b>Name:</b>	BEIJING TONGMEI XTAL TECHNOLOGY, CO., LTD.
<b>Street Address:</b>	#4 EASTERN SECOND STREET
<b>Internal Address:</b>	ZHANGJIWAN INDUSTRIAL DEVELOPMENT ZONE, TONGZHOU DISTRICT
<b>City:</b>	BEIJING
<b>State/Country:</b>	CHINA
<b>PROPERTY NUMBERS Total: 7</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	16711019
Application Number:	16711882
Application Number:	17186671
Application Number:	62779036
Application Number:	62779039
Application Number:	62983244
PCT Number:	US2021020111
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(312)775-8100
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	312-775-8000
<b>Email:</b>	mhmpto@mcandrews-ip.com

<b>Correspondent Name:</b>	MCANDREWS, HELD & MALLOY, LTD.
<b>Address Line 1:</b>	500 W. MADISON STREET
<b>Address Line 4:</b>	CHICAGO, ILLINOIS 60661

<b>NAME OF SUBMITTER:</b>	CHRISTOPHER C. WINSLADE
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<b>SIGNATURE:</b>	/Christopher C. Winslade/
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<b>DATE SIGNED:</b>	11/15/2021
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**Total Attachments: 5**

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The coversheet of the assignment is displayed below:

**PATENT ASSIGNMENT COVER SHEET**

Electronic Version v1.1

Stylesheet Version v1.2

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT										
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT										
<b>CONVEYING PARTY DATA</b>											
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>AXT, INC.</td><td>11/04/2021</td></tr></tbody></table>	Name	Execution Date	AXT, INC.	11/04/2021							
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<b>State/Country:</b>	CHINA										
<b>PROPERTY NUMBERS Total: 7</b>											

**PATENT**

Property Type	Number
Application Number:	62779036
Application Number:	16711882
Application Number:	62779039
Application Number:	16711019
Application Number:	62983244
Application Number:	17186671
PCT Number:	US2021020111

<b>CORRESPONDENCE DATA</b>	
Fax Number:	(312)775-8100
Phone:	312-775-8000
Email:	mhmpto@mcandrews-ip.com
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Correspondent Name:	MCANDREWS, HELD & MALLOY, LTD.
Address Line 1:	500 W. MADISON STREET
Address Line 4:	CHICAGO, ILLINOIS 60661

NAME OF SUBMITTER:	CHRISTOPHER C. WINSLADE
Signature:	/Christopher C. Winslade/
Date:	11/08/2021

<b>Total Attachments: 3</b> source=AXT-Tongmei patent assignment#page1.tif source=AXT-Tongmei patent assignment#page2.tif source=AXT-Tongmei patent assignment#page3.tif
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<b>RECEIPT INFORMATION</b>	
EPAS ID:	PAT7012973
Receipt Date:	11/08/2021

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**PATENT**

## INTELLECTUAL PROPERTY ASSIGNMENT

This ASSIGNMENT between, on the one hand, AXT, Inc., a Delaware company having an address at 4281 Technology Drive, Fremont, California 94538 (“AXT”) and, on the other hand, Beijing Tongmei Xtal Technology, Co., Ltd., a Chinese company having an address at #4, Eastern Second Street; Zhangjiwan Industrial Development Zone; Tongzhou District, Beijing China (“Tongmei Xtal”) is executed and delivered as of the Execution Date set forth below.

Whereas, AXT owns all right, title and interest in the patents and patent applications listed in Schedule A, along with any and all other applications related to the inventions disclosed therein, whether filed in the United States or a foreign country, and any continuation, continuation-in-part, divisional, re-examination, reissue or extension thereof (collectively, the “Assigned Patents”);

Whereas AXT wishes to convey partial ownership rights in the Assigned Patents to Tongmei Xtal such that AXT and Tongmei Xtal will jointly and severally own all right, title and interest in the Assigned Patents;

Now, therefore, for good and valuable consideration, which the parties acknowledge to have received, the parties hereby agree as follows:

1. AXT hereby conveys, assigns and transfers to Tongmei Xtal partial right, title and interest in and to the Assigned Patents, such that all right, title and interest to the Assigned Patents will be held and enjoyed jointly and severally by AXT and Tongmei Xtal as co-owners for the full extent of the life of the assigned patents. Without limiting the generality of the foregoing, this Assignment shall include all rights to sue for claims and remedies against past, present and future infringements of any or all of the foregoing, and rights for priority and protection of interests therein under the laws of any jurisdiction such that either AXT or Tongmei Xtal may file suit jointly or individually. Should either party file suit individually, that party shall be solely responsible for all costs incurred, and solely entitled to all damages awarded, in that suit.

2. Notwithstanding any provisions of this Assignment to the contrary, nothing contained in this Assignment shall in any way supersede, modify, replace, amend, change, rescind, waive, exceed, expand, enlarge or in any way affect the provisions, including warranties, covenants, agreements, conditions, representations, or in general any of the rights and remedies, and any of the obligations of AXT or Tongmei Xtal set forth in any other written agreement between the parties. This Assignment is intended only to effect the transfer of certain property rights in the Assigned Patents.

3. This Assignment shall be construed, performed and enforced in accordance with, and governed by the laws of the State of Delaware, without giving effect to the principles of conflicts of laws thereof.

4. This Assignment shall be binding on, and shall inure to the benefit of, the parties hereto and their respective successors and assigns.

In witness whereof, the parties have caused their authorized officers to hereunder set his/her hand on the date shown below.

ON BEHALF OF AXT, INC.

Execution Date: Nov 4, 2021

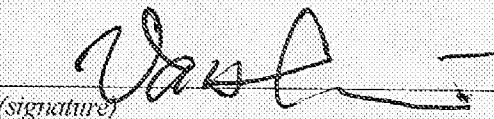
  
(signature)

Typed or printed name of signatory:

Gary Fischer, CFO

ON BEHALF OF BEIJING TONGMEI XTAL TECHNOLOGY, CO., LTD.

Execution Date: 11/5/2021

  
(signature)

Typed or printed name of signatory:

VINCENT WENSEN Liu

**SCHEDULE A**

<b>Country</b>	<b>Application Number</b>	<b>Application Date</b>
United States	62/779036	12/13/2018
United States	16/711882	12/12/2019
United States	62/779039	12/13/2018
United States	16/711019	12/11/2019
United States	62/983244	2/28/2020
United States	17/186671	2/26/2021
PCT	PCT/US2021/020111	2/26/2021
Taiwan	110107297	3/2/2021