

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT7139086

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	PAUL ANDREW YATES	12/21/2010
	KENNETH TRAN	04/01/2011
RECEIVING PARTY DATA		
Name:	UNIVERSITY OF VIRGINIA	
Street Address:	VARSITY HALL, 136 HOSPITAL DRIVE	
Internal Address:	P.O. BOX 400301	
City:	CHARLOTTESVILLE	
State/Country:	VIRGINIA	
Postal Code:	22904-4301	
PROPERTY NUMBERS Total: 1		
Property Type	Number	
Application Number:	17302123	
CORRESPONDENCE DATA		
Fax Number:		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Email:	request@slwip.com	
Correspondent Name:	SCHWEGMAN LUNDBERG & WOESSNER, P.A.	
Address Line 1:	P.O. BOX 2938	
Address Line 4:	MINNEAPOLIS, MINNESOTA 55402	
ATTORNEY DOCKET NUMBER:	1036.200US4	
NAME OF SUBMITTER:	BRENDA K. KOWALKE	
SIGNATURE:	/ Brenda K. Kowalke /	
DATE SIGNED:	01/25/2022	
Total Attachments: 4		
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RECORDATION FORM COVER SHEET
PATENTS ONLY

Atty Ref/Docket No.: 1036.200US4

Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Paul Andrew Yates, Kenneth Tran

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other

2. Name and address of receiving party(ies):

Name: University of Virginia

Street Address: Varsity Hall, 136 Hospital Drive
P.O. 400301

City: Charlottesville State: VA Zip: 22904-4301

Country: United States of America

Additional name(s) & address(es) attached? ☐ Yes
☒ No

Execution Date: December 21, 2010, April 1, 2011

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Serial No. 17/302,123

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Timothy J. Christman

Address:

Schwegman Lundberg & Woessner, P.A.
P.O. Box 2938
Minneapolis, Minnesota 55402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 0.00

☐ Enclosed

☐ Authorized to be charged to deposit account
19-0743

8. Please charge any additional fees or credit any over payments to our Deposit Account No.: 19-0743

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Timothy J. Christman/Reg. No. 59,481

Name of Person Signing



Signature

January 20, 2022

Date

Total number of pages including cover sheet: 4

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Mail Stop Assignment Recordation Services
P.O. Box 1450
Alexandria, VA 22313-1450

PATENT
REEL: 058759 FRAME: 0504

ASSIGNMENT

WHEREAS, we, **Paul A. Yates and Kenneth Tran**, (the "Assignors"), have made an invention entitled

"HAND-HELD PORTABLE FUNDUS CAMERA FOR SCREENING PHOTOGRAPHY"

described in U. S. Patent Application Serial No. 61/240,027 filed on September 4, 2009; U.S. Patent Application Serial No. 61/372,270 filed on August 10, 2010; International Patent Application Serial No. PCT/US2010/047909 filed on September 3, 2010 and all subsequent patent applications claiming priority thereto; and

WHEREAS, the **University of Virginia**, One Boars Head Pointe, P. O. Box 400301, Charlottesville, Virginia 22904-4301 (the "Assignee"), is desirous of acquiring the entire right, title and interest in and to the aforesaid invention, including any tangible materials embodied in or encompassed by the invention and any trade secrets pertaining to the invention, and any improvements thereon, (the "Invention") and in and to said applications for Letters Patent thereon in the United States, its territories and possessions ("United States") and all foreign countries, including rights to claim priority, to any provisional applications, and in and to any Letters Patent of the United States or any foreign country which may be granted therefor, including any and all reissues, divisions, continuations, continuations-in-part, renewals, substitutes or extensions thereof (the "Rights");

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and conveyed, and does hereby sell, assign, transfer and convey to Assignee, its successors and assigns, the entire right, title and interest in and to the aforesaid Invention and Rights, except that Assignee hereby grants back to the Assignors a royalty free non-transferable license to make and use the Invention under the Rights for educational and research purposes, only. The aforesaid assignment includes the right in and to all income, royalties, damages and payments now or hereafter due or payable with respect to any Letters Patent which may be granted, and in and to all causes of action (either in law or in equity), and the right to sue, counterclaim, and recover for past, present and future infringement of the rights assigned or to be assigned under this Assignment, as fully and entirely as the same would have been held and enjoyed by Assignor if this sale and assignment had not been made;

AND Assignors hereby authorize and request the appropriate governmental officials to issue any and all such United States or foreign Letters Patent under said invention, or resulting from any of said applications thereof, to the Assignee, as the assignee of the entire right, title and interest in and to the same;

AND Assignors hereby represent, warrant and covenant that they have the full right to convey the entire interest herein assigned, that they have not executed and will not

execute any instrument or assignment in conflict herewith, and that the rights assigned herein are not otherwise encumbered by any grant, license or right;

AND Assignors further covenant and agree that Assignors will at any time upon request make, execute and deliver without further compensation, any and all other instruments in writing, including further applications, papers, affidavits, power of attorney, assignments, and other documents, and do all lawful acts and things, which, in the opinion of counsel for said Assignee, its successors and assigns, may in any country be required or necessary more effectively to secure to and vest in said Assignee, its successors and assigns the entire right, title and interest in and to said Invention and Rights hereby sold, assigned, transferred and conveyed, and that Assignors will sign any applications for reissue, division, continuation, continuation-in-part, renewal, substitute or extension of said application for Letters Patent or any resulting Letters Patent;


AND Assignors further covenant and agree that Assignors will at any time upon request communicate to the Assignee, its successors, assigns or other legal representatives any facts relating to the aforesaid invention known to it, and will testify as to the same in any interference, litigation, mediation, arbitration or other proceeding when requested to do so.

IN WITNESS WHEREOF, Assignors have hereunto set their hands and seals.

Signature of Inventor / Date of Execution:

Inventor's Name:

Inventor's Resident Address:


Paul A. Yates, U.S. Citizen
2505 Hillwood Place
Charlottesville, VA 22901

PLACE OF EXECUTION:

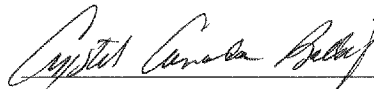
CHARLOTTESVILLE, VIRGINIA

CITY OF CHARLOTTESVILLE)

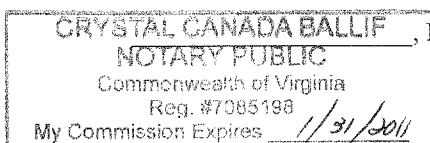
) SS:

COMMONWEALTH OF VIRGINIA)

On this 21st day of December, 2010, before me, a Notary Public in and for the Commonwealth of Virginia, personally appeared Paul A. Yates, known to me (or proven by evidence of satisfactory identification) to be the person described in and who executed the foregoing instrument and acknowledged the same to be of their free act and deed for the uses and purposes therein set forth.



[signature, please print name below]



Notary Public, my commission expires on 11/31/2011



Signature of Inventor / Date of Execution:

Inventor's Name:

Kenneth Tran, U.S. Citizen

Inventor's Resident Address:

101 Cresap Road
Charlottesville, VA 22903

PLACE OF EXECUTION:


CHARLOTTESVILLE, VIRGINIA

CITY OF CHARLOTTESVILLE)

) SS:

COMMONWEALTH OF VIRGINIA)

On this 15th day of April, ²⁰¹¹~~2010~~, before me, a Notary Public in and for the Commonwealth of Virginia, personally appeared Kenneth Tran, known to me (or proven by evidence of satisfactory identification) to be the person described in and who executed the foregoing instrument and acknowledged the same to be of their free act and deed for the uses and purposes therein set forth.

 [signature, please print name below]

