# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT7019302

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CORRECTION BY DECLARATION TO UPDATE OWNERSHIP ERRONEOUSLY MADE IN A PREVIOUSLY RECORDED DOCUMENT AT REEL 050304, FRAME 0249 THAT ERRONEOUSLY AFFECTS THE IDENTIFIED PATENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
EBS GROUP LIMITED	11/11/2021

## **RECEIVING PARTY DATA**

Name:	EBS GROUP LIMITED		
Street Address:	4TH FLOOR LONDON STOCK EXCHANGE		
Internal Address:	10 PATERNOSTER SQUARE		
City:	LONDON		
State/Country:	UNITED KINGDOM		
Postal Code:	EC4M 7LS		

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number		
Patent Number:	8255317		

## **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 3122910860

**Email:** jpadalino@lsk-iplaw.com

Correspondent Name: LEMPIA SUMMERFIELD KATZ LLC

Address Line 1: 20 SOUTH CLARK STREET

Address Line 2: SUITE 600

Address Line 4: CHICAGO, ILLINOIS 60603

ATTORNEY DOCKET NUMBER:	004672-03276X-US
NAME OF SUBMITTER:	JAMES L. KATZ
SIGNATURE:	/James L. Katz/
DATE SIGNED:	11/11/2021

**Total Attachments: 2** 

source=Declaration#page1.tif source=Declaration#page2.tif

PATENT 506972464 REEL: 058860 FRAME: 0399

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Edward R. Howorka Tracey Riordan

U.S. Patent No. 8,255,317 Serial No: 13/209,602

Filed: August 15, 2011 Granted: August 28, 2012

For: VOCALISATION OF TRADING DATA

IN TRADING SYSTEMS

# **DECLARATION UNDER MPEP § 323.01(c)**

#### Dear Sir:

Per MPEP § 323.01(c), as representative of EBS Group Limited, owner of the above referenced patent, I hereby declare that the document recorded on September 6, 2019 at reel/frame 050304/0249 relating to a conveyance comprising an acquisition by CME GROUP INC. of NEX GROUP PLC was improperly filed and recorded against the above referenced patent.

## I further declare that:

- (a) My name is James L. Katz and I am the legal representative of EBS Group Limited, owner of the above referenced patent;
- (b) A completed cover sheet is included herewith identifying the patent against which the conveyance was improperly recorded;
- (c) EBS Group Limited is the correct owner;
- (d) The conveyance recorded on September 6, 2019 at reel/frame 050304/0249 included a cover sheet with erroneous information and the recorded document did not actually convey the above referenced patent as indicated on the cover sheet recorded therewith:
- (e) The required fee under 37 CFR 3.41, presently \$0, is included herewith;
- (f) The true chain of title is as follows:

PATENT REEL: 058860 FRAME: 0400

Reel/	Conveyance	Execution	Date	Assignor	Assignee	Assignee address
Frame		date	recorded	names	name	
058005/0383	ASSIGNMENT	August 4, 2004	November 3, 2021	Edward R.	Electronic Broking	55-56 Lincoln's Inn
	OF ASSIGNORS	August 4, 2004		Howorka	Services, Limited,	Fields, London, United
	INTEREST			Tracey Riordan	Inc.	Kingdom WC2A 3LJ
058005/0422	CHANGE OF	June 29, 2004	November 3, 2021	Electronic Broking	EBS Group Limited	4th Floor London Stock
	NAME			Services Limited		Exchange, 10 Paternoster
						Square, London, United
						Kingdom EC4M 7LS

- (g) The chain of title for the above referenced patent should not be considered altered by the incorrect assignment recorded on September 6, 2019 at reel/frame 050304/0249; and
- (h) The last correct assignee, EBS Group Limited, has been, and continues to be, the owner of the patent at issue.

I declare that, to the best of my knowledge and belief, the information herein is true and complete. I understand this statement is made for use as evidence in court and is subject to penalty for perjury

Respectfully submitted,

Dated: November 11, 2021 /James L. Katz/
James L. Katz (Reg. No. 42,711)

**RECORDED: 11/11/2021** 

PATENT REEL: 058860 FRAME: 0401