

<b>PATENT ASSIGNMENT COVER SHEET</b>
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Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT7151726

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	CORRECTION BY DECLARATION TO UPDATE OWNERSHIP ERRONEOUSLY MADE IN A PREVIOUSLY RECORDED DOCUMENT AT REEL 050257, FRAME 0647 THAT ERRONEOUSLY AFFECTS THE IDENTIFIED PATENT

**CONVEYING PARTY DATA**

Name	Execution Date
EBS GROUP LIMITED	02/01/2022

**RECEIVING PARTY DATA**

<b>Name:</b>	EBS GROUP LIMITED
<b>Street Address:</b>	4TH FLOOR LONDON STOCK EXCHANGE
<b>Internal Address:</b>	10 PATERNOSTER SQUARE
<b>City:</b>	LONDON
<b>State/Country:</b>	UNITED KINGDOM
<b>Postal Code:</b>	EC4M 7LS

**PROPERTY NUMBERS Total: 1**

Property Type	Number
<b>Patent Number:</b>	7937306

**CORRESPONDENCE DATA****Fax Number:**

*Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.*

**Phone:** 3122910860  
**Email:** jpadalino@lsk-iplaw.com  
**Correspondent Name:** LEMPIA SUMMERFIELD KATZ LLC  
**Address Line 1:** 20 SOUTH CLARK STREET  
**Address Line 2:** SUITE 600  
**Address Line 4:** CHICAGO, ILLINOIS 60603

<b>ATTORNEY DOCKET NUMBER:</b>	004672-00994Y-US
<b>NAME OF SUBMITTER:</b>	JAMES L. KATZ
<b>SIGNATURE:</b>	/James L. Katz/
<b>DATE SIGNED:</b>	02/01/2022

**Total Attachments: 2**

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Edward R. Howorka  
Vladimir Neyman  
Steven Iaccheo  
Neena Jain  
James Shu

U.S. Patent No. 7,937,306  
Serial No: 12/020,759

Filed: January 28, 2008  
Granted: May 3, 2011

For: ARCHITECTURE FOR ANONYMOUS  
TRADING SYSTEM

**DECLARATION UNDER MPEP § 323.01(c)**

Dear Sir:

Per MPEP § 323.01(c), as representative of EBS Group Limited, owner of the above referenced patent, I hereby declare that the document recorded on September 3, 2019 at reel/frame 050257/0647 relating to a conveyance comprising an acquisition by CME GROUP INC. of NEX GROUP PLC was improperly filed and recorded against the above referenced patent.

I further declare that:

- (a) My name is James L. Katz and I am the legal representative of EBS Group Limited, owner of the above referenced patent;
- (b) A completed cover sheet is included herewith identifying the patent against which the conveyance was improperly recorded;
- (c) EBS Group Limited is the correct owner;
- (d) The conveyance recorded on September 3, 2019 at reel/frame 050257/0647 included a cover sheet with erroneous information and the recorded document did not actually

convey the above referenced patent as indicated on the cover sheet recorded therewith;

(e) The required fee under 37 CFR 3.41, presently \$0, is included herewith;

(f) The true chain of title is as follows:

Reel/ Frame	Conveyance	Execution date	Date recorded	Assignor names	Assignee name	Assignee address
057982/0439	ASSIGNMENT OF ASSIGNORS INTEREST	April 12, 2001 March 11, 2002 April 12, 2001 April 6, 2001 June 30, 2001	November 1, 2021	Edward R. Howorka Vladimir Neyman Steven Iaccheo Neena Jain James Shu	Electronic Broking Services Limited	55-56 Lincolns Inn Fields, London, England WC2A 3LJ
057797/0823	CHANGE OF NAME	June 29, 2004	October 14, 2021	Electronic Broking Services Limited	EBS Group Limited	4 <sup>th</sup> Floor London Stock Exchange, 10 Paternoster Square, London, United Kingdom EC4M 7LS

(g) The chain of title for the above referenced patent should not be considered altered by the incorrect assignment recorded on September 3, 2019 at reel/frame 050257/0647; and

(h) The last correct assignee, EBS Group Limited, has been, and continues to be, the owner of the patent at issue.

I declare that, to the best of my knowledge and belief, the information herein is true and complete. I understand this statement is made for use as evidence in court and is subject to penalty for perjury

Respectfully submitted,

Dated: February 1, 2022

/James L. Katz/  
James L. Katz (Reg. No. 42,711)