

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT7166027

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
PETER PALESE	01/20/2021
ADOLFO GARCIA-SASTRE	01/14/2021
MEGAN ERMLER	01/26/2021
FLORIAN KRAMMER	01/19/2021
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State/Country:	NEW YORK
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PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	17583088
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DATE SIGNED:	02/08/2022
Total Attachments: 4	
source=06923-261-999 EXECUTED Assignment (Inventors to ISMMS)#page1.tif	
source=06923-261-999 EXECUTED Assignment (Inventors to ISMMS)#page2.tif	
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PATENT ASSIGNMENT

Peter PALESE, c/o Icahn School of Medicine at Mount Sinai, One Gustave L. Levy Place New York, NY 10029, **Adolfo GARCIA-SASTRE**, c/o Icahn School of Medicine at Mount Sinai, One Gustave L. Levy Place New York, NY 10029, **Megan ERMLER**, c/o Icahn School of Medicine at Mount Sinai, One Gustave L. Levy Place New York, NY 10029, and **Florian KRAMMER**, c/o Icahn School of Medicine at Mount Sinai, One Gustave L. Levy Place New York, NY 10029, (referred to as "Assignor(s)") has made an invention(s) (the "Invention(s)") set forth in an application for patent of the United States entitled:

INFLUENZA VIRUS HEMAGGLUTININ PROTEINS AND USES THEREOF

and which is a

(1) ☐ Provisional Application

- (a) ☐ to be filed herewith; or
(b) ☐ bearing Application No. _____,
and filed on _____; or

(2) ☒ Non-Provisional Application

- (a) ☐ to be filed herewith; or
(b) ☒ bearing Application No. **16/305,845**, and filed on
November 29, 2018.

WHEREAS **ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI**, an academic institution organized under the laws of New York, having a place of business at One Gustave L. Levy Place, New York, NY 10029, United States; and its successors and assigns (collectively hereinafter called "the Assignee"), is desirous of acquiring the entire right, title, and interest in: the Invention(s); the application for patent identified in paragraph (1) or (2); the right to file applications for patent of the United States or other countries on the Invention(s); any application(s) for patent of the United States or other countries claiming priority to these application(s); any provisional or other right to recover damages, including royalties, for prior infringements of these applications; and any patent(s) of the United States or other countries that may be granted therefor or thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, and to the extent that the Assignors have not done so already via a prior agreement with the Assignee, or if the Assignors have already done so via a prior agreement with the Assignee then in confirmation of any obligation to do

so in said prior agreement, the Assignors have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns, the Assignors' entire right, title, and interest in:

- (a) the Invention(s);
- (b) the application for patent identified in paragraph (1) or (2);
- (c) the right to file applications for patent of the United States or other countries on the Invention(s), including all rights under the Paris Convention for the Protection of Industrial Property and under the Patent Cooperation Treaty and all member countries;
- (d) any application(s) for patent of the United States or other countries claiming the Invention(s);
- (e) any application(s) for patent of the United States or other countries claiming priority to the application for patent identified in paragraph (1) or (2) or any application(s) for patent claiming the Invention(s), including any division(s), continuation(s), and continuation(s)-in-part; and
- (f) any provisional or other right to recover damages, including royalties, for prior infringements of any application for patent identified in the preceding paragraphs (b)-(e); and
- (g) any patent(s) of the United States or other countries that may be granted for or on any application for patent identified in the preceding paragraphs (b) - (e), including any reissue(s) and extension(s) of said patent(s).

The above-granted rights, titles, and interests are to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and assignment not been made.

The Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that the Assignors will sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done in connection with any and all proceedings for the procurement, maintenance, enforcement and defense of the Invention(s), said applications, and said patents, including interference proceedings, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns.

The Assignors hereby authorize and request the attorneys of

Customer No. 125738

to insert in the spaces provided above the filing date, the application number, and the attorney docket number of the application identified in paragraph (1) or (2) when known.

The Assignors hereby request the Commissioner of Patents to issue said patents of the United States to the Assignee for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

I hereby declare subject to the penalty of perjury that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true.

Date: 1/20/2021


Peter PALESE

Date: 1/14/2021


Adolfo GARCIA-SASTRE

Date: _____

Megan ERMLER

Date: 1/19/21


Florian KRAMMER

The Assignors hereby authorize and request the attorneys of

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to insert in the spaces provided above the filing date, the application number, and the attorney docket number of the application identified in paragraph (1) or (2) when known.

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I hereby declare subject to the penalty of perjury that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true.

Date:

Peter PALESE

Date:

Adolfo GARCIA-SASTRE

Date: 1-26-2021
~~1-26-2020~~ MEE

Megan ERLER
Megan ERLER

Date:

Florian KRAMMER