

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT7153644

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	CHANGE OF NAME	
CONVEYING PARTY DATA		
	Name	Execution Date
	AMPLIPHI BIOSCIENCES CORPORATION	05/09/2019
RECEIVING PARTY DATA		
Name:	ARMATA PHARMACEUTICALS, INC.	
Street Address:	4503 GLENCOE AVENUE	
City:	MARINA DEL REY	
State/Country:	CALIFORNIA	
Postal Code:	90292	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	16729014
CORRESPONDENCE DATA		
Fax Number:	(617)542-2241	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	617-542-6000	
Email:	IPDocketingBOS@mintz.com, AOlivos@mintz.com	
Correspondent Name:	MINTZ LEVIN	
Address Line 1:	ONE FINANCIAL CENTER	
Address Line 4:	BOSTON, MASSACHUSETTS 02111	
ATTORNEY DOCKET NUMBER:	054249-510C01US	
NAME OF SUBMITTER:	GERTRUD U. REY	
SIGNATURE:	/GERTRUD U. REY/	
DATE SIGNED:	02/01/2022	
Total Attachments: 8		
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ARTICLES OF AMENDMENT TO
AMENDED AND RESTATED ARTICLES OF INCORPORATION
OF
AMPLIPHI BIOSCIENCES CORPORATION
(a Washington corporation)

Pursuant to the provisions of the Washington Business Corporation Act (the "WBCA"), RCW 23B.10.020 and RCW 23B.10.060, the undersigned corporation hereby submits these Articles of Amendment for filing:

FIRST: The name of the corporation is AmpliPhi Biosciences Corporation (the "Corporation").

SECOND: This amendment to the Corporation's Amended and Restated Articles of Incorporation ("Amended Articles") was adopted by the Board of Directors of the Corporation (the "Board") on May 8, 2019.

THIRD: Effective as of 8:00 a.m., Pacific time, on May 9, 2019 (the "Effective Time"), Section 4.1 of Article 4 of the Amended Articles be, and it hereby is, amended and restated in its entirety as follows:

"4.1 Authorized Capital.

The total authorized stock of this corporation shall consist of 217,000,000 shares of Common Stock, par value \$0.01 per share, and 10,000,000 shares of Preferred Stock, par value \$0.01 per share.

Effective as of the Effective Time, each fourteen (14) shares of the Corporation's Common Stock, par value \$0.01 per share, issued and outstanding immediately prior to the Effective Time shall, automatically and without any action on the part of the Corporation or the respective holders thereof, be combined into one (1) share of Common Stock without increasing or decreasing the par value of each share of Common Stock (the "Reverse Split"); *provided, however*, no fractional shares of Common Stock shall be issued as a result of the Reverse Split and, in lieu thereof, upon receipt after the Effective Time by the exchange agent selected by the Corporation of a properly completed and duly executed transmittal letter and, where shares are held in certificated form, the surrender of the stock certificate(s) formerly representing shares of pre-Reverse Split Common Stock, any shareholder who would otherwise be entitled to a fractional share of post-Reverse Split Common Stock as a result of the Reverse Split, following the Effective Time (after taking into account all fractional shares of post-Reverse Split Common Stock otherwise issuable to such shareholder), shall be entitled to receive a cash payment (without interest) equal to the fractional share of post-Reverse Split Common Stock to which such shareholder would otherwise be entitled multiplied by the average of the closing sales prices of a share of the Corporation's Common Stock (as adjusted to give effect to the Reverse Split) on the NYSE American during regular trading hours for the five (5) consecutive trading days immediately preceding the date these Articles of Amendment are filed with the Secretary of State of the State of Washington. Each stock certificate that, immediately prior to the Effective Time, represented shares of pre-Reverse Split Common Stock shall, from and after the Effective Time, automatically and without any action on the part of the Corporation or the respective holders thereof, represent that number of whole shares of post-Reverse Split Common Stock into which the shares of pre-Reverse Split Common Stock represented by such certificate shall have been combined (as well as the right to receive cash in lieu of any fractional shares of post-Reverse Split Common Stock as set forth above; *provided, however*, that each holder of record of a certificate that represented shares of pre-Reverse Split Common Stock shall receive, upon surrender of such certificate, a new certificate representing the number of whole shares of post-Reverse Split Common Stock into which the shares of pre-Reverse Split Common Stock represented by such certificate shall have been combined pursuant to the Reverse Split, as well as any cash in lieu of fractional shares of post-Reverse Split Common Stock to which such holder may be entitled as set forth above. The Reverse Split shall be effected on a record holder-by-record holder basis, such that any fractional shares of post-Reverse Split Common Stock resulting from the Reverse Split and held by a single record holder shall be aggregated."

FOURTH: The only sentence contained in Article 1 of the Amended Articles is amended to read in its entirety as follows:

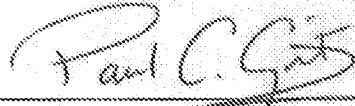
"The name of this corporation shall be Armata Pharmaceuticals, Inc."

Fifth: Thereafter, pursuant to a resolution by the Board, the amendment contained in these Articles of Amendment that required approval of the Corporation's shareholders was submitted to the shareholders of the Corporation for their approval at a special meeting of the Corporation's shareholders that was duly called and held, upon notice, at which meeting the necessary number of shares as required by statute were voted in favor of such amendment. Accordingly, said amendment was duly adopted in accordance with the provisions of RCW 23B.10.030 and RCW 23B.10.040.

{Signature page follows}

IN WITNESS WHEREOF, AmpliPhi Biosciences Corporation has caused these Articles of Amendment to be executed by its duly authorized officer on May 9, 2019.

AMPLIPHI BIOSCIENCES CORPORATION

By: _____

Name: Paul C. Grist, M.D.

Title: Chief Executive Officer

[Washington Secretary of State name change form to be attached]

Work Order #: 2019050900245074- 1



Office of the Secretary of State
Corporations & Charities Division

(360) 725 - 8377 | www.sos.wa.gov/corps
801 Capitol Way S, Olympia, WA 98504-0134

This Box For Office Use Only

☐ Amendment Fee \$30

* Amendment Fee with Expedited Service \$80

**ARTICLES OF AMENDMENT
PROFIT CORPORATION
RCW 23B.10**

Please provide UBI # 601 166 399

NAME OF PROFIT CORPORATION: (as currently recorded with the Office of the Secretary of State)
Amplphi Biosciences Corporation

BUSINESS TYPE: Are you changing your business type? ☐ Yes ☒ No (If no, continue to next section)

If yes, select the change being made:

☐ WA PROFESSIONAL SERVICE CORPORATION ☐ WA PUBLIC UTILITY CORPORATION

☐ WA SOCIAL PURPOSE CORPORATION

ENTITY NAME CHANGE: Are you changing your business name? ☒ Yes ☐ No If no, continue to Jurisdiction

If yes, do you already have an entity name reserved? ☒ Yes ☐ No

If Yes, provide the Name Reservation Number and Name If No, provide only the name

Reservation Number: 1277541

Name: Annata Pharmaceuticals, Inc.

CORPORATE SHARES: Are you changing your business's authorized shares? ☐ Yes ☒ No If no, continue to next section

New number of authorized shares: _____ Class of shares: ☐ Common Stock ☐ Preferred Stock

Did your share information change? (check one) ☐ Yes ☐ No If No, continue to next section

If Yes, implementation plan for change: (attach additional pages if needed)

Has your registered agent changed? ☐ YES ☒ NO If Yes, please be sure to complete page 2

NEW REGISTERED AGENT:

Is the Registered Agent a Commercial Registered Agent? ☐ Yes ☐ No

If Yes, provide the name of the Commercial Registered Agent: _____

A Commercial Registered Agent is an entity or individual that is registered with the Office of the Secretary of State to receive legal documents on behalf of a corporation. A Commercial Registered Agent has the entities/individual's address on record with the office.

A Registered Agent consent is still required for a Commercial Registered Agent located below.

If No, please continue below

Please complete ONE type of Registered Agent below, be sure to include the name below the checked box.
Then continue to provide the required street address. Mailing address if needed.

☐ Individual

☐ Entity

☐ Office or Position

First and last name of a Non-commercial
Registered Agent. (Any person not registered
as a Commercial Registered Agent.)

Name of a Non-commercial Registered Agent.
(Any business not registered as a Commercial
Registered Agent.)

List the Office or Position serves as agent. (Only
if using the specific office or position as the
registered agent, no matter who holds the
position like: Secretary, Member or Treasurer.)

Phone: _____

Email: _____

Registered Agent Street Address (required)
(Must be a physical address No PO Box or FMB)

Registered Agent Mailing Address (optional)
☐ Check if mailing address is the same as street address

Country: United States State: Washington

Country: United States State: Washington

Address : _____

Address : _____

Zip: _____ City: _____

Zip: _____ City: _____

CONSENT TO SERVE AS REGISTERED AGENT - REQUIRED FOR ALL TYPES

I hereby consent to serve as Registered Agent in the State of Washington for the named entity. I understand it will be my responsibility to accept service of process, notices, and demands on behalf of the entity; to forward mail to the entity; and to immediately notify the Office of the Secretary of State if I resign or change the Registered Office Address.

Signature of Registered Agent

Printed Name/Title

Date

DURATION: *Required only if changed. Please check ONE of the following.*

☐ This Company shall have a perpetual duration ☐ This Company shall have a duration of _____ years.

☐ This Company shall expire on _____

ADOPTION OF ARTICLES OF AMENDMENT: This Amendment was duly adopted by the following method

☒ By a sufficient vote of shareholders

☐ By the board of directors

☐ By the incorporators prior to the issuance of shares

EFFECTIVE DATE:

☒ Date of filing ☐ Specify a Date _____ cannot be more than 90 days following received date

DATE OF ADOPTION: When was this Amendment adopted?

☐ Date of filing ☒ Specify a date: 05/08/2019

RETURN ADDRESS FOR THIS FILING: *(Optional)*

This address will be sent document(s) regarding this specific filing in addition to document(s) being sent to the Registered Agent's street/mailling address.

Attention to: Steven V. Masillo

Email: steve.masillo@thompsonline.com

Address: 335 Madison Ave

City New York State NY Zip 10017

AUTHORIZED PERSON:

This record is hereby executed under penalties of perjury, and is, to the best of my knowledge, true and correct.



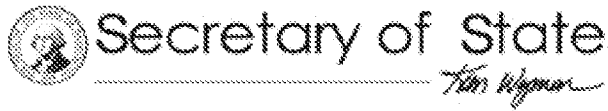
Steven V. Masillo

5/9/19

Signature of Authorized Person

Printed Name/Title

Date



Washington Secretary of State
Corporations and Charities Division
801 Capitol Way South
PO Box 40234
Olympia, WA 98504-0234
(360) 725-0377
corps@sos.wa.gov

05/09/2019

ARMATA PHARMACEUTICALS, INC.
NATIONAL REGISTERED AGENTS, INC.
711 CAPITOL WAY S STE 204
OLYMPIA WA 98501

UBI Number: 601 166 399
Business Name: ARMATA PHARMACEUTICALS, INC.

Dear NATIONAL REGISTERED AGENTS, INC.,

Thank you for your recent submission. This letter is to confirm that the following documents have been received and successfully filed:

ARTICLES OF AMENDMENT

You can view and download your filed document(s) for no charge at our website, www.sos.wa.gov/ccfs.

If you haven't already, please sign up for a user account on our website, www.sos.wa.gov/ccfs, to file online, conduct searches, and receive status updates.

Please contact our office at corps@sos.wa.gov or (360) 725-0377 if you have any questions.

Sincerely,
Corporations and Charities Division
Office of the Secretary of State
www.sos.wa.gov/corps